

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

Backstrom McCarley Berry & Co., LLC				
Name of Financial Institution				
115 Sansome St. Mez. A		San Francisco, CA 94104		
Street Address		City	State	Zip Code
Don Backstrom		Managing Director & Principal		
Contact Person Name and Title				
310-221-0640		dbackstrom@bmcaco.com		
Telephone No.		Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? _____
 - b. What was the total value of the awarded scholarships? _____

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Don Backstrom Managing Director & Principal	<i>Don Backstrom</i>	9/11/19
Print Name, Title	Signature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**



Backstrom McCarley Berry & Co., LLC

BMCB'S EQUAL OPPORTUNITY POLICY STATEMENT

Backstrom McCarley Berry & Co., LLC is a 100% African-American owned investment banking firm specializing in public finance. It is the firm's policy not to discriminate against any applicant, employee, or vendor on the basis of race, color, religion, national origin, citizenship status, ancestry, gender sexual orientation, marital status, pregnancy or pregnancy-related condition, age, disability, or veteran status. All employment and promotion decisions are made on the basis of job related criteria. Such criteria are used to ensure the principles of equal opportunity. This policy extends to every phase of the employment and procurement process. Recruiting and procurement sources, techniques and achievements are regularly reviewed to assure representative minority and female applicants and candidates. Local, regional and national resources are used. Efforts are utilized to recruit and procure from all sectors of the population with an added outreach toward emerging populations.