

**City of Los Angeles  
Responsible Banking Investment Monitoring Program  
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1<sup>st</sup> of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

**Contact Information:**

Morgan Stanley & Co. LLC			
Name of Financial Institution			
555 California Street	San Francisco	CA	90067
Street Address	City	State	Zip Code
Esther Berg, Executive Director			
Contact Person Name and Title			
(415) 576-2072	Esther.Berg@morganstanley.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?  
Yes  No  If yes, please complete the attached form.
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?  
Yes  No 
  - a. How many scholarships were awarded? N/A\*
  - b. What was the total value of the awarded scholarships? N/A\*
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?  
Yes  No  If yes, please provide a copy of your policies. \*\*

**CERTIFICATION UNDER PENALTY OR PERJURY**

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Esther Berg, Executive Director		6/24/2019
Print Name, Title	Signature	Date

**PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO [CAO.DEBT@LACITY.ORG](mailto:CAO.DEBT@LACITY.ORG).**

**Office of the City Administrative Officer  
200 North Main St. Room 1500  
Los Angeles, CA 90012  
Attention: Debt Management Group**

\*MS&CO LLC offers a number of scholarships through the Richard B. Fisher Scholarship Program and a Morgan Stanley Fellowship MBA Program. While scholarships have been awarded to residents of L.A., we do not keep historical data on each individual scholarship or the amount awarded

\*\* No formal written policy, but MS&CO LLC encourages opportunities for diverse business owners; please see <http://www.morganstanley.com/about-us/supplier-diversity>

**Attachment for Question #1 - Responsible Banking Investment Monitoring  
Program for Investment Banks**

<b>Name of Charitable Organization</b>	<b>Type</b>	<b>Amount (\$)</b>
Arts High Foundation		6,000.00
Asian Pacific Community Fund		1,500.00
Cedars-Sinai Sports		5,000.00
Children's Bureau		22,500.00
Childrens Hospital Los Angeles		10,500.00
City Year, Inc.		5,000.00
College Track		2,500.00
Collins & Katz Family YMCA		3,000.00
Coro Southern California		500.00
Cystic Fibrosis Foundation		2,500.00
Downtown Women's Center		500.00
Farhang Foundation		1,000.00
Find Your Light Foundation		5,000.00
Friendly Hand Foundation		1,000.00
Geffen Playhouse		30,000.00
Getglobal Inc		3,000.00
Giving Back Fund Inc		110,000.00
Glaad		65,000.00
Heart Of The Brain		12,500.00
Hillsides		1,000.00
Homeboy Industries		10,000.00
Institute Of Contemporary Art		5,000.00
Israel Emergency Alliance		4,000.00
Jewish Federation Council Of		10,000.00
Jewish Los Angeles Special		5,000.00
Jonsson Cancer Center		8,500.00
LA Latino Chamber Of Commerce		2,500.00
Ladylike Foundation		25,000.00
LAPRAAC		500.00
Learning Lab Ventures		5,000.00
Legacy Ladies Inc		5,000.00
Look What She Did!		100.00
Los Angeles County Museum Of Natural History		30,000.00
Los Angeles Police Emerald		1,250.00
Los Angeles Urban League		10,000.00
Loyola Law School		<b>370.00</b>
Make-A-Wish Greater Los		12,500.00
Mercy For Animals		1,000.00
Mexican American Bar		5,000.00
Mexican American Legal Defense		1,550.00
Music Forward Foundation		5,000.00
NAASC-LA		9,200.00
One Archives Foundation		2,566.24
Para Los Ninos		850.00
Reaching Out MBA Inc		20,000.00
Simon Wiesenthal Center Inc		6,000.00
St. Barnabas Senior Center Of Los Angeles		1,500.00
The Blackhouse Foundation		5,000.00
The New Hollywood Women'S Goal Group Inc.		1,500.00
The UCLA Foundation		110,500.00
UCLA Undergraduate Business		550.00
University Of Southern California		25,000.00
USC Athletics		2,250.00
Variety Boys & Girls Club		500.00
Waco Theater Center		75,000.00
Westcoast Sports Associates		1,600.00
<b>Total</b>		<b>\$697,286.24</b>