

## REPORT FROM

## OFFICE OF THE CITY ADMINISTRATIVE OFFICER

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Date: May 31, 2017

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Council District: All

To: Eric Garcetti, Mayor  
Herb J. Wesson, Council President  
Paul Krekorian, Chair, Budget and Finance Committee

From: Richard H. Llewellyn, Jr., Interim City Administrative Officer

Reference: 2016-17 Budget

Subject: **YEAR-END (FOURTH) FINANCIAL STATUS REPORT**



### SUMMARY

Pursuant to the City Charter Section 291, the Office of the City Administrative Officer (CAO) monitors the budget and transmits periodic reports to the Mayor and Council detailing the City's current financial condition. As such, this Office is transmitting the Year-End Financial Status Report (FSR) for this fiscal year. This report provides an update on the current-year budget—including changes to projected departmental deficits, trends in revenue, the Reserve Fund, and current issues of concern and their potential impact to the City. Recommendations totaling approximately \$130.4 million for appropriations, transfers, and other budgetary adjustments are included in this report. Further, the recommendations in this report will address all previously identified shortfalls and institute actions consistent with the recommendations in the 2017-18 Adopted Budget.

The City's Fiscal Year 2016-17 began with a strong Reserve Fund of 5.99 percent on July 1, 2016, well above the 5 percent policy goal. However, due to a significant increase in Liability Claims expenditures in the current year, the Reserve Fund dropped below 5 percent for the first time since 2012-13. Although the drop below 5 percent was marginal (4.90 percent), due to a projected \$81.68 million expenditure shortfall identified in the Second FSR, the City was compelled to exercise fiscal restraint and to limit the use of the Reserve Fund to address areas of greatest financial risk and liability to the City, namely the payment of judgments and settlements. Through these efforts, the City weathered other financial pressures including the adoption of labor agreements after the approval of the 2016-17 Budget, and shortfalls in the Human Resources Benefits Fund. Although the current Reserve Fund is lower than 5 percent, year-end receipts are projected to end at approximately \$34.4 million above budget. This, combined with the anticipated year-end reversions, is expected to increase the Reserve Fund to 6.70 percent on June 30, 2017.

Additionally, to manage the significant amount of judgment and settlement payouts in the current year, this Office proposed a potential issuance of Judgment Obligation Bonds (JOB) with proceeds to be used to reimburse the Reserve Fund (or other City Funds) for advances or loans

made to pay these expenses. On April 4, 2017, the Council adopted a resolution to proceed with the issuance of the JOB in an amount not-to-exceed \$60 million and to authorize the City to seek judicial validation of the JOB. The City is currently undergoing the validation process which is anticipated to conclude in July of 2017. As instructed by the Council, this Office will report to the Budget and Finance Committee after the validation process concludes for further consideration on proceeding with the JOB issuance.

As indicated in the Second FSR, a year-end expenditure deficit of \$81.68 million was identified earlier this year, however, the shortfall was reduced to \$57.33 million by mid-year. After Council and Mayor approval of the Mid-Year FSR recommendations, the expenditure shortfall was further reduced to \$27.08 million. Our analysis of expenditure data through the end of March reveals that the expenditure shortfall has continued to decline.

The Year-End FSR identifies a remaining year-end expenditure deficit of \$20.8 million, which is summarized in Table 1 below. The decrease is due to a continued reduction in the Fire and Police Department shortfalls. Specifically, the remaining year-end shortfalls are largely attributed to the following:

- Fire (\$12.28 million) mainly due to unbudgeted sworn labor agreements;
- Police (\$4.03 million) largely due to shortfalls in the Sworn Salaries, Accumulated Overtime, and Contractual Services accounts.
- City Clerk (\$2.15 million) due to a shortfall in the Elections expense account as elections reimbursements from the Department of Water and Power were much lower than anticipated; and,
- City Attorney (\$2.14 million) due to increased Litigation account expenditures and new labor agreements with the City Attorney bargaining units.

The projected year-end expenditure deficit is summarized in Table 1 below.

**Table 1.**  
**Year-End FSR Projected Shortfalls/Unfunded Expenditures**  
*(millions)*

<b>Department/Initiative</b>	<b>Mid-Year</b>	<b>Change</b>	<b>Year-End</b>	<b>Reason for Deficit</b>
Fire	\$15.03	\$(2.75)	\$12.28	Mainly labor agreement with the Firefighters and Fire Captains Representation Unit (MOU 23).
Police	9.68	(5.65)	4.03	Sworn Salaries, Accumulated Overtime, and Contractual Services account shortfalls, and Local Public Safety Fund shortfall.
City Clerk	0.00	2.15	2.15	Elections Expense Shortfall
City Attorney	3.98	(1.84)	2.14	Litigation expense account and salaries shortfall due to new labor agreements.
PW-Street Lighting	0.10	0.00	0.10	Shortfall in the Operating Supplies account due to installation of Electric Vehicle Charging stations.
PW-Board	0.09	(0.06)	0.03	Salaries shortfall primarily due to unanticipated retirement and MOU retro-payouts.
General Services	2.85	(2.85)	0.00	Shortfall resolved.
PW-Street Services	0.88	(0.88)	0.00	Shortfall resolved.
Housing	0.14	(0.14)	0.00	Shortfall resolved.
<b>Non-Departmental</b>				
Lugo Fire Clean Up	2.00	(1.93)	0.07	Clean-up costs related to the 2900 E. Lugo Street fire.
Human Resources Benefits Fund	21.16	(21.16)	0.00	Shortfall resolved.
Attorney Conflicts Panel	1.00	(1.00)	0.00	Shortfall resolved.
Mayor reimbursement	0.22	(0.22)	0.00	Shortfall resolved.
Correct reversion of Council Salary Reimbursements	0.20	(0.20)	0.00	Shortfall resolved.
<b>Total Year-End Shortfall</b>	<b>\$57.33</b>	<b>\$(36.53)</b>	<b>\$20.80</b>	

As noted in Table 2 to follow, only \$2.24 million remains available in the Unappropriated Balance, 2016-17 Budgetary Shortfalls account to partially offset the year-end deficit. However, this FSR includes recommendations to transfer \$7.31 million into this account from General Fund savings within the Capital Finance Administration Fund and the Public Works-Bureau of Contract Administration salary savings. These transfers will increase available funding to \$9.55 million. This report recommends the transfer of \$9.55 million from the UB, 2016-17 Budgetary Shortfalls account to partially offset the Citywide year-end expenditure deficit of \$20.80 million.

**Table 2.**  
**Status of UB, 2016-17 Budgetary Shortfalls (millions)**

<b>2016-17 Adopted Budget</b>	<b>\$ 15.00</b>	
Reappropriations from 2015-16 Year-End	5.00	From 2015-16 Reserve for Mid-Year Adjustment savings.
<b>Total Appropriations</b>	<b>\$ 20.00</b>	
<b>First FSR</b>		
Transfer to UB, Accessible Housing Settlement account	(3.00)	Implementation of Independent Living Center Settlement Agreement.
<b>Subtotal</b>	<b>\$ 17.00</b>	
<b>Second FSR</b>		
Transfer to Liability Claims Account	(16.00)	Independent Living Center Settlement attorneys' fees.
Transfer to Planning Department	(0.25)	Land use efforts relative to cannabis regulation.
<b>Subtotal</b>	<b>\$ 00.75</b>	
<b>Mid-Year FSR</b>		
Transfer from various City Departments, UB line items.	25.91	Various mid-year budget balancing actions.
Transfers to address Citywide Shortfalls	(24.42)	Recommended transfers at mid-year to various departments to partially offset Citywide Shortfall
<b>Subtotal</b>	<b>\$ 2.24</b>	
<b>Year-End FSR</b>		
Transfers from City Departments and Non-departmental Funds	\$7.31	Transfer savings from Capital Finance Administration Fund (\$5M) and Public Works Contract Administration (\$2.31M).
<b>Subtotal</b>	<b>\$ 9.55</b>	
Transfer to various Departments to address year-end shortfalls.	(9.55)	
<b>Year-End Status</b>	<b>\$ 0.00</b>	

Table 3 below identifies the budget balancing measures recommended in this FSR to eliminate the remaining \$20.80 million year-end expenditure deficit. As previously noted, this report recommends the transfer of \$9.55 million from the UB, 2016-17 Budgetary Shortfalls account to various City Departments. In addition, the amount of \$840,000 is recommended to be transferred from the November 2016 General Election Costs and the Electric Vehicles Charging Stations accounts within the UB. Also, \$6.38 million in Medi-Cal Intergovernmental Transfer Program funding is recommended to be appropriated to the Fire Sworn Salaries account. About \$2.76 million in revenue mainly from the Los Angeles Rams football team, other special events, and reimbursements from the State of California for 9-1-1 Dispatch Center expenses is recommended to be appropriated to the Police Department. Lastly, the Police Department has identified savings in various internal accounts to offset its remaining \$1.27 million shortfall.

The following table summarizes the anticipated budget balancing solutions along with the impact on the year-end expenditure deficit.

**Table 3.**  
**2016-17 Budget Balancing Solutions**  
**(millions)**

<b>Year-End FSR Deficit</b>	<b>\$ (20.80)</b>	
<b>Year-End FSR Recommendations</b>		
Transfer from UB, 2016-17 Budgetary Shortfalls account	9.55	Transfer to Fire (\$5.9M), City Attorney (\$2.14M), City Clerk (\$1.41M), Lugo Fire (\$0.07M), and, Board of Public Works (\$0.03M).
Transfer from various UB line items	0.84	November 2016 General Election Costs (\$0.74M) and Electric Vehicle Charging Stations (\$.10M)
Fire: Appropriate Intergovernmental Medi-Cal Transfer Program (IGT) Funds	6.38	Appropriate to Fire Sworn Salaries account.
Police: Appropriate revenue from Los Angeles Rams football team, the University of Southern California, and other special events.	1.88	Appropriate to Police Sworn Salaries account.
Police: Appropriate revenue from State of California, California 9-1-1 Emergency Communications Branch.	0.88	Appropriate to Police Sworn Salaries account.
Police: Absorb by transferring savings from other internal accounts within Police Department.	1.27	Transfer to Police Sworn Salaries account.
<b>Year-End Problem Solved</b>	<b>\$ 0.00</b>	

#### **2016-17 Revised Revenue**

In the Mid-Year FSR, concerns about a year-end revenue deficit were driven by known and predicted shortfalls in the power revenue transfer, electricity users tax, departmental receipts, and parking fine revenue. As a result of unplanned receipts from the sale of former Community Redevelopment Agency (ex-CRA) property, higher-than-projected transient occupancy tax (TOT) receipts from the Airbnb tax collection agreement, and higher growth in business tax receipts, these shortfalls have been more than offset. The 2016-17 General Fund revenue budget was subsequently revised upward by \$34.4 million (0.6 percent) to approximately \$5.61 billion, concurrent with the adoption of the 2017-18 budget. Booked receipts through April are below the revised plan by \$609,000.

In previous FSRs, this Office reported a shortfall in property tax due to the early receipt of secured property tax at the end of 2015-16, higher-than-budgeted refunds and lower unsecured receipts. However, the revised revenue budget adjusted the monthly plan to account for predicted higher receipts of secured property tax revenue in May, eliminating this shortfall. Receipts are close to the revised plan.

The revised budget reduces the total departmental revenue estimate by \$25 million to account for lower City Clerk election reimbursements and reduced overhead reimbursements from Measure R. Current receipts are \$8.7 million above the revised plan.

Lower-than-projected power sales in the prior and current year will result in year-end deficits for the Power Revenue Fund transfer and electricity users taxes (\$26.6 million and \$16 million, respectively), which have been reduced in the revised budget. The Power Revenue Fund transfer has been decreased to \$264.4 million per the Ordinance adopted by the Council in January (C.F. No. 16-1419). Current transfer receipts are on target with the revised budget. The revised budget assumes total utility users tax revenue will end \$2.5 million below the adopted budget, with surpluses in the gas users tax and communications users tax offsetting the electricity users tax shortfall. However, current users tax receipts are \$2.9 million behind the revised plan.

Due to the continued decline in ticket issuances from unfilled part-time traffic officer positions and increased demand in traffic control services, the Department of Transportation increased its estimate for the year-end deficit from \$10 million to \$11.1 million, which is now reflected in the revised budget. Current receipts are consistent with the revised plan. The revised budget also reduced the parking occupancy tax revenue by \$1.0 million; however, current receipts are \$2.5 million behind the revised plan.

The revised revenue budget was also increased in several areas to include: \$43.6 million for ex-CRA receipts, \$17.4 million for TOT revenue and \$14.7 million for business tax. Additionally, the revised budget was increased by \$9.5 million for the documentary transfer tax to account for the high December remittance resulting from sales made in anticipation of mortgage rate changes. Revenue from the documentary transfer tax is currently \$1.2 million below the revised plan. Finally, grants receipts, which were increased by \$5.6 million, are tracking close to the revised plan.

The 2016-17 revised revenue estimates as assumed in the 2017-18 Adopted Budget are provided below. (See Attachment 1A for more detail.)

**2016-17 Revised General Fund Revenue Budget (in millions)**

	Adopted	Revised
Property Tax	\$1,786.1	\$1,794.3
Department Licenses, Permits, Fees and Fines	923.5	898.5
Utility Users Tax	634.0	631.5
Sales Tax	520.0	520.0
Business Tax	502.3	517.0
Power Revenue Transfer	291.0	264.4
Transient Occupancy Tax	246.5	264.0
Documentary Transfer Tax	202.2	211.7
Parking Fines	152.0	140.9
Parking Occupancy Tax	111.0	110.0
Redirection of ex-CRA Tax Increment Monies	54.6	98.3
Franchise Income	42.2	42.7
Reserve Fund Transfer	35.5	35.5
Special Parking Revenue Transfer	28.3	28.3
Interest	19.7	20.2
Grants Receipts	12.0	17.7
Tobacco Settlement	9.1	9.2
Residential Development Tax	4.7	4.8
State Motor Vehicle License Fees	1.6	1.8
Total Revised General Fund Revenue	\$5,576.4	\$5,610.9
<i>Increase from Adopted General Fund Revenue</i>		\$34.4

**2017-18 Adopted Budget Revenue**

The 2017-18 Adopted Budget includes \$5.83 billion in General Fund revenues, representing a \$250.0 million (4.5 percent) increase from the 2016-17 adopted revenue budget and a \$215.5 million (3.8 percent) increase from the revised revenue estimate (Attachment 1B). Revenue estimates for 2017-18 were based on analyses of trends in actual receipts, market indicators, and growth forecasts from the state, county, and local economists. Projected estimates assume moderate growth in property, business, documentary, sales, and transient occupancy tax revenues, as well as recovery in the electricity users tax. The budget adds new revenue from billboard leasing and relocation agreements (\$12 million), solid waste collection franchises (\$15.7 million), and reimbursements from Metro for LAPD security services (\$56.5 million). The budget also assumes \$65.4 million in one-time receipts, including: \$3.8 million in receipts from the sale of ex-CRA properties; a \$9.1 million transfer from the Reserve Fund; \$10 million in new linkage fee revenue; a \$15.1 million transfer from the Special Parking Revenue Fund above the base transfer amount; and, \$27.3 million in miscellaneous departmental receipts.

**2017-18 Adopted General Fund Budget (in millions)**

	<b>Adopted</b>
Property Tax	\$1,833.8
Licenses, Permits, Fees and Fines	1,047.0
Utility Users Tax	661.2
Sales Tax	528.7
Business Tax	518.9
Transient Occupancy Tax	282.1
Power Revenue Transfer	242.5
Documentary Transfer Tax	219.1
Parking Fines	140.9
Parking Users' Tax	112.9
Redirection of ex-CRA Tax Increment Monies	74.2
Franchise Income	58.1
Special Parking Revenue Transfer	38.7
Interest	24.0
Grants Receipts	19.8
Reserve Fund Transfer	9.1
Tobacco Settlement	9.1
Residential Development Tax	4.8
State Motor Vehicle License Fees	1.8
<b>Total Adopted General Fund Revenue</b>	<b>\$5,826.5</b>
<i>Increase from 2016-17 Adopted General Fund Revenue</i>	<i>\$250.0</i>
<i>Increase from 2016-17 Revised General Fund Revenue</i>	<i>\$215.5</i>

Any deviations from planned revenue receipts will be reported in 2017-18 FSRs along with deviations in the appropriations budget.

**Reserve Fund**

Since the Mid-Year FSR, the Reserve Fund balance decreased from \$277.6 million to \$273.4 million. This amount reflects \$3.6 million in loan repayments and other receipts and outgoing transfers totaling \$7.8 million. This balance consists of a \$153.4 million in Emergency Reserve and \$120.0 million Contingency Reserve. Please refer to Attachment 2 for greater detail on the Reserve Fund.

The resulting Reserve Fund balance represents 4.90 percent of the Adopted General Fund budget, which is below the City's 5 percent policy goal. The reduction from the previously reported 4.98 percent to 4.90 percent is primarily attributed to a \$5.2 million transfer from the Reserve Fund to the Police Department for a contract with the Metropolitan Transportation Authority (C.F. 16-0358) and an advance of \$0.7 million to the Mayor's Office for the CalGrip

Grant (C.F. 13-0723-S6). Both of these amounts are expected to be fully reimbursed in 2017-18.

While the Reserve Fund is currently below the 5 percent policy goal, it is anticipated that the Reserve Fund will end the Fiscal Year above 5 percent through year-end reversions, loan repayments, and other receipts. This assumes that there will be no further action to reduce the Reserve Fund before year-end. Furthermore, it is anticipated that the Reserve Fund will be at 5.12 percent on July 1, 2017 based on the 2017-18 Adopted Budget.

As previously reported, the City Council recently adopted a resolution to proceed with the issuance of a Judgment Obligation Bond (JOB) in order to reimburse Reserve Fund loans for various liability settlements (C.F. 16-1420). The City is undergoing a validation process for the JOB and, once completed, will be presented before the City Council for further consideration on proceeding with the JOB issuance. If approved, reimbursement from bond proceeds would be used to replenish the City's Reserve Fund.

## **BUDGET STABILIZATION FUND**

To supplement the Reserve Fund, the Budget Stabilization Fund (BSF) was established as part of the 2008-09 Budget to prevent overspending during prosperous years and to provide resources to help maintain service levels during lean years. The current balance in the BSF is \$94.4 million (i.e., through the end of April). Including the BSF increases the City's total reserves from 4.90 percent to 6.60 percent of the General Fund budget. The 6.60 percent reflects a decrease from the 6.67 percent reported in the Second FSR. It is anticipated that the BSF balance will be \$95.06 million by July 1, 2017.

## **Issues of Concern**

In addition to the aforementioned expenditure shortfalls, there are additional internal and external factors that may adversely impact the City, the size and/or timing of which cannot be accurately determined at this time.

**Federal Funding:** This Office is closely monitoring the federal appropriations process and any potential impacts to the City. Pursuant to Council instruction, the CAO anticipates releasing a report that identifies and categorizes all federal grants, loans, and other funding that the City currently receives (CF 17-0080 and CF 16-1320).

While the federal government is currently funded through the Consolidated Appropriations Act 2017 that expires on September 30, 2017, and provides level funding for the Consolidated Plan, the funding allocations for the current year have not yet been released by the Department of Housing and Urban Development (HUD). Further, the Trump Administration is seeking the elimination of Community Development Block Grant (CDBG) and the HOME Investment Partnerships Program (HOME) in its proposed 2018 HUD Budget.

Additionally, the Trump Administration budget proposal includes Federal Emergency Management Administration (FEMA) and Department of Homeland Security grant program

reductions (along with a 25-percent cost matching requirement). If approved, the reductions could impact the Emergency Management, Police, and Fire Departments through the following grant programs: Emergency Management Performance Grant (EMPG), Urban Areas Security Initiative (UASI), Port Security, and State Homeland Security Grant Program (SHSGP).

This Office will continue to monitor developments at the federal level and report as more information is known. In light of the uncertainty on funding availability with the 43<sup>rd</sup> Consolidated Plan, we previously recommended that departments with Consolidated Plan-funded vacant positions refrain from filling these vacancies until actual funding awards have been identified. Since awards are still not final, we continue to recommend that Departments be mindful about hiring. Departments should weigh hiring with potential federal cuts and examine the possibility of moving positions to other sources of funds. Departments should consider creating or maintaining opportunities that will facilitate the retention of employees in the case of a reduction or elimination in federal funding.

### Labor Relations

***Impact of Labor Agreements:*** The City reached agreements with the United Firefighters of Los Angeles City (MOU 23), the bargaining units represented by the Coalition of Los Angeles City Unions, the Municipal Construction Inspectors Association (MOU 5), the Personnel Director Unit (MOU 63), the City Attorneys (MOU 29), Confidential City Attorneys (MOU 31), and Management City Attorneys (MOU 32). The Year-End FSR reflects the fiscal impact from these agreements on the current fiscal year. An agreement with the Fire Chief Officers (MOU 22) is pending.

### Litigation

***DWP Litigation:*** A total of four class action lawsuits have been filed relating to the Department of Water and Power (DWP) Revenue Fund transfer. Three class action lawsuits were subsequently consolidated into a single consolidated complaint (referred to as the Eck Litigation). It is alleged that the City charges its electric utility customers fees exceeding the cost of providing electric utility service, as evidenced by the Power Revenue Fund transfer, in violation of the State Constitution. The complaint seeks to discontinue the alleged collection of excess fees from ratepayers, to discontinue any transfer of surplus funds to the City General Fund, and a refund of alleged excess fees and charges for electric service. Pursuant to an April 25, 2016 Court ruling, the plaintiffs are currently barred from recovering any refunds of alleged excess electricity fees paid prior to April 15, 2016. The plaintiffs filed an amended consolidated complaint on July 1, 2016 and the City's time to file a response has been stayed by the court.

A fourth class action lawsuit, *Abcarian et al. v. Levine, et al.*, was filed in the U.S. District Court against twenty-six public officials and employees alleging that the City's electric rates are an illegal tax because they exceed the cost of providing electric utility service and, thus, the defendants as well as the City should be held liable for alleged civil rights and Racketeer Influenced and Corrupt Organizations Act (RICO) violations. The U.S. District Court subsequently stayed this lawsuit pending resolution of the Eck Litigation, and denied the plaintiffs' request to enjoin both the charging of rates above the alleged cost of service, as well as the transfer of

funds from the DWP to the City. The plaintiffs have appealed both rulings to the U.S. Court of Appeals. Oral argument is scheduled for June 9, 2017. As a result of these ongoing proceedings, it is difficult to estimate potential liability at this time. However, should the plaintiffs prevail, the City may realize a reduction in General Fund revenues attributable to the Power Fund transfers.

The 2016-17 DWP Power Revenue Fund transfer is \$264.5 million, \$26.5 million less than the budget of \$291 million. The 2017-18 DWP budget for the DWP Power Revenue Fund transfer is \$242 million. Each transfer is subject to available net income and is approved by an ordinance of the City Council prior to the transfer being made.

**Various Litigation:** Liability claims payments are always difficult to project. There is potential that ongoing litigation may result in additional liability claims payments beyond what has been accounted for in the current fiscal year. Attachment 9 of the FSR provides a breakdown of the liability account expenditures to date according to each of the separate tracking accounts established as part of the 2016-17 budget. In collaboration with the Office of the City Attorney, this Office will continue to monitor and report back on options to mitigate shortfalls in the current year and reduce liabilities going forward.

## **Budgetary Adjustments**

Budgetary adjustments totaling approximately \$130.4 million are recommended in Sections 1, 2, 7 and 10 of this report which include:

- \$0.002 million in reappropriations from various special funds;
- \$9.3 million in new appropriations, which includes a \$6.38 million appropriation from the Medi-Cal Intergovernmental Transfer Program (IGT) to partially offset the Fire Department shortfall;
- \$14.4 million for transfers between accounts within various departments and funds;
- \$14.5 million for transfers between departments and funds;
- \$14.4 million in appropriations from various accounts within the UB, including \$9.55 million from the UB, 2016-17 Budgetary Shortfalls account;
- \$7.3 million in transfers to the UB, 2016-17 Budgetary Shortfalls account from Capital Finance Administration Fund and Bureau of Contract Administration savings;
- \$0.351 million in reductions in appropriations to the General Fund from the Local Public Safety Fund;
- Up to \$40.6 million in prior year FMS encumbrances exempted from the General Fund Encumbrance Policy;
- \$13 million in Related Cost reimbursements to the General Fund from various Transportation special funds;
- Approximately \$0.13 million in 2017-18 Special Fund reappropriations;
- Approximately \$6.4 million in 2017-18 General Fund reappropriations;
- \$6.1 million in 2017-18 transfers between and within funds.

- \$0.630 million in MICLA transfers (\$0.354 million for 2016-17 and \$0.275 million for 2017-18);
- \$3.09 million in new appropriations for 2017-18; and,
- \$0.183 million in miscellaneous adjustments.

## Attachments

- 1A 2016-17 Adopted and Revised General Fund Revenue and Receipts through April
- 1B 2016-17 Adopted and Revised General Fund Revenue and 2017-18 Council-Adopted General Fund Revenue
- 2 Current Status of Reserve Fund
- 3 Special Fund Reappropriations (Current Year)
- 4 New Appropriations
- 5 Transfers between Accounts within Departments and Funds
- 6 Transfers between Departments and Funds
- 7 Appropriations from the Unappropriated Balance (UB)
- 8 Appropriations to the UB, 2016-17 Budgetary Shortfalls Account
- 9 2017-18 Special Fund Reappropriations
- 10 2017-18 General Fund Reappropriations
- 11A Status of the Unappropriated Balance- General Account
- 11B Status of the Unappropriated Balance- non-General Accounts
- 12 Employment Level Report
- 13 120 Day Employees
- 14 Status of 2016-17 Positions Added via Budget Motion
- 15 Status of Liability Claims Account
- 16 Status of Gang Reduction Youth Development Program (GRYD)
- 17 2017-18 Transfers
- 18 General Fund Encumbrance Policy Exemptions-FMS

## RECOMMENDATIONS

*(Refer to Discussion Sections 1, 2, 7, and 10)*

That the Council, subject to the approval of the Mayor:

1. Reappropriate \$2,547.39 from various special funds as noted in Attachment 3;
2. Appropriate \$2,935,466.14 to the Department accounts as specified in Attachment 4;
3. Transfer \$14,320,415.00 between accounts within various departments and funds as specified in Attachment 5;
4. Transfer \$14,499,613.67 between various departments and funds as specified in Attachment 6;

5. Appropriate \$14,434,802.20 from the Unappropriated Balance to various departments and funds as specified in Attachment 7;
6. Appropriate \$7,310,952.20 from various departments and funds to the Unappropriated Balance, 2016-17 Budgetary Shortfalls Account as specified in Attachment 8;
7. Exempt up to \$40,601,016.87 in Financial Management System prior-year encumbrances (various) from the General Fund Encumbrance Policy as per Attachment 18 with the final amounts to reflect the most current encumbrance balance as of June 30, 2017;

#### Convention Center and Tourism Development

8. Authorize the Convention and Tourism Development Department to prepare the necessary warrant in the amount of \$25,683.55 for 2017-18, payable to "AEG Management LACC, LLC", addressed to AEG Facilities, LLC, 800 W. Olympic Blvd., Suite 305, Los Angeles, CA 90015, from the Convention Center Revenue Trust Fund No. 725/48, Balance Sheet Account, Account 2204, to provide AEG the show deposits received by the City for shows to be hosted at the Los Angeles Convention Center facility, under the management and operation of AEG for 2017-18, pursuant to the Management Agreement between AEG and the City executed on October 29, 2013, Contract No. 123199. See table below regarding show deposits to be transferred to AEG.

<u>Event</u>	<u>Show Date</u>	<u>Balance</u>
California Gift Show	07/24/17	\$ 5,635.00
California Gift Show	07/24/17	3,400.00
LA Auto Show 2017	11/26/17	12,248.55
California Gift Show	01/22/18	3,400.00
World Police and Fire Games 2017	08/07/17	<u>1,000.00</u>
	Total	\$ 25,683.55

#### Economic and Workforce Development

9. Authorize Controller to:
  - A. Increase receivables within Miscellaneous Sources Fund No. 45L/22 from LA County by \$156,592.
  - B. Increase appropriation accounts within Miscellaneous Sources Fund No. 45L/22 as follows:

<u>Account No.</u>	<u>Account Name</u>	<u>Amount</u>
22N122	Economic and Workforce Dev. Dept.	\$4,479.00
22N299	Reimbursement of General Fund Costs	1,772.00
22N843	LA County WIOA – Pass thru	<u>150,341.00</u>
	Total	\$156,592.00

C. Increase appropriation within the Economic and Workforce Development Fund No. 100/22 as follows:

<u>Account No.</u>	<u>Account Name</u>	<u>Amount</u>
001010	Salaries General	\$3,238.00
001070	Salaries As Needed	9.00
003040	Contractual Services	97.00
006010	Office and Administrative	740.00
006030	Leasing	<u>395.00</u>
	Total	\$4,479.00

#### Fire

10. Relative to a Sworn Salaries shortfall for the Fire Department, transfer \$6,386,270.39 from Fire Department Trust Fund No. 848/38, Account No. 38022N, FY 2015 Medi-Cal IGT, to Fire Fund No. 100/38, Account 001012, Salaries Sworn. The funding source involves Medi-Cal Intergovernmental Transfer Program Funds;

#### Police

11. Relative to the \$351,000 Local Public Safety Fund shortfall for the Police Department:

- A. Reduce appropriations to Police Fund No. 100/70, from Account 001012, Salaries Sworn, from the Local Public Safety Fund No. 574/70 by \$351,000.
- B. Transfer \$351,000 within the Police Fund No. 100/70, from Account 001010, Salaries General, to Account 001012, Salaries Sworn.

#### Transportation

12. Establish and appropriate a new appropriation account entitled Prop C Grant Reimbursements, in the amount of \$5,714,843.16 within the Transportation Grant Fund (TGF) No. 655/94, and subsequently transfer \$5,714,843.16 from this account to the Proposition C Anti-Gridlock Transit Improvement Fund 540/94 Revenue Source Code 5301, Transportation Grant Fund Salary Reimbursements account. This will reimburse TGF positions that were front-funded by the 2016-17 Proposition C Anti-Gridlock Fund Adopted Budget.

13. Establish and appropriate a new appropriation account entitled Grant Reimbursement to the General Fund in the amount of \$4,662,610.95 within the Transportation Grant Fund (TGF) 655/94; and, subsequently transfer \$4,662,610.95 from this account to the General Fund, Related Costs Reimbursements, Revenue Source Code 5331 for related costs reimbursements received from grantors in FY 2015-16 for various Departments:

<u>Fund No.</u>	<u>Department</u>	<u>Amount</u>
100/94	Transportation	\$ 3,064,172.36
100/76	Bureau of Contract	586,945.30
	Administration	
100/78	Bureau of Engineering	672,640.78
100/86	Bureau of Street Services	194,962.98
100/84	Bureau of Street Lighting	<u>143,889.53</u>
	Total	\$4,662,610.95

14. Establish and appropriate a new appropriation account entitled Grant Reimbursement to the General Fund in the amount of \$2,573,943.27 within the Transportation Grant Fund (TGF) No. 655/94 and subsequently transfer \$2,573,943.27 from this account to the General Fund, Related Costs Reimbursements Revenue Source Code 5361 for related costs reimbursements received from grantors in FY 2016-17 for various Departments:

<u>Fund No.</u>	<u>Department</u>	<u>Amount</u>
100/94	Transportation	\$ 1,323,597.86
100/76	Bureau of Contract	208,811.65
	Administration	
100/78	Bureau of Engineering	797,731.31
100/86	Bureau of Street Services	37,420.00
100/84	Bureau of Street Lighting	<u>206,382.45</u>
	Total	\$2,573,943.27

15. Establish a new appropriation account entitled Grant Reimbursements to the General Fund within the Measure R Local Return Fund No. 51Q/94, and transfer therefrom \$121,420.64 to the Bureau of Street Services Fund No. 100/86, Related Costs Reimbursements Revenue Source Code No. 5331 for reimbursements of related costs received from grantors in FY 2015-16;

16. Instruct the Department of Transportation to begin the de-obligation process (i.e., return remaining funds to grantor) for the Exposition Park Traffic Circulation Improvements Project; and, upon de-obligation of the project by the grantor, authorize LADOT to

return any remaining match funds and all front funding appropriated for the Exposition Park Traffic Circulation Improvements Project to the Proposition C Anti-Gridlock Fund No. 540;

17. Instruct the Department of Transportation to begin the de-obligation process for the De Soto Avenue Widening – Ronald Reagan (SR-118) Freeway to Devonshire Street Project; and, upon de-obligation of the project by the grantor, authorize LADOT to return any remaining match funds and all front funding appropriated for the De Soto Avenue Widening – Ronald Reagan (SR-118) Freeway to Devonshire Street Project to the Proposition C Anti-Gridlock Fund No. 540;

Zoo

18. Authorize the Controller to disencumber \$146,077.66 in Fiscal Year 2015-16 encumbered balances for Contract No. C-127523, Torre Design Consortium, LTD (GAE 87 CO16127523M) within the Zoo Fund No. 100/87, Account No. 003040, Contractual Services, and revert \$146,077.66 to the Zoo Enterprise Trust Fund No. 40E/87 to be appropriated therefrom to the Zoo Department Fund No. 100/87, Account 3040, Contractual Services;

MICLA

19. Relative to the Public Works-Bureau of Engineering (BOE):

- A. Authorize the Controller to transfer up to \$354,712 from MICLA Fund No. 29B/50 MICLA Commercial Paper Notes (Convention Center) Taxable Series B-1 Accounting Fund, Account name entitled "Bureau of Engineering Staffing," to the Bureau of Engineering Fund No. 100/78, Account No. 001010, Salaries General, for the installation of solar panels at the Los Angeles Convention Center and for labor related to the Los Angeles Convention Center renovation and expansion project;
- B. Establish and appropriate \$206,612 within Fund No. 29B/50 MICLA Commercial Paper Notes (Convention Center) Taxable Series B-1 Accounting Fund to a new appropriation account entitled Reimbursement of General Fund Costs, and upon verification of labor amounts subsequently transfer these funds for General Fund current year related cost reimbursements;

Various Actions for 2017-18

20. Instruct the City Clerk to place on the agenda of the first regular Council meeting on July 1, 2017, or shortly thereafter, the following instructions (Nos. 20A through 20G):

*Reappropriations/Appropriations*

A. Reappropriate up to the specified Special Fund amounts noted, from the unencumbered balance remaining in the Funds and accounts identified in Attachment 9 of the 2016-17 Year-End Financial Status Report in the same amount and into the same accounts as exist on June 30, 2017, for the purposes noted therein;

- B. Reappropriate up to the specified General Fund amounts noted, from the unencumbered balance remaining in the Funds and accounts identified in Attachment 10 of the 2016-17 Year-End Financial Status Report in the same amount and into the same accounts as exist on June 30, 2017, for the purposes noted therein;
- C. Transfer \$6,071,219.68 between accounts within various departments and funds as specified in Attachment 17;
- D. Authorize the Controller to disencumber up to \$88,959 in Fiscal Year 2015-16 encumbered balances for Macias Gini & O'Connell LLP (Vendor Code 100000917) within the General City Purposes Fund No. 100/56, Account 000506, Annual City Audit/Single Audit, and revert \$88,959 to the Reserve Fund and transfer therefrom to the Unappropriated Balance Fund No. 100/58 and appropriate therefrom to the General City Purposes Fund No. 100/56, Account 000506, Annual City Audit/Single Audit for the annual and single audits for Fiscal Year 2016-17;
- E. Appropriate \$3,000,000 from the Development Services Trust Fund No. 58V/08, Cash Balance to the following accounts within the Fire Department Fund No. 100/38, for eligible contractual services, technology, and equipment purchases related to the expansion of the Fire Inspection Management System (FIMS) to support and interface with the BuildLA System project:

<u>Account No.</u>	<u>Account Name</u>	<u>Amount</u>
003040	Contractual Services	\$2,000,000
006010	Office and Administrative	1,000,000
	Total	\$3,000,000

- F. Appropriate up to \$27,381.35 from the Neighborhood Empowerment Fund No. 44B/47, Cash Balance to the Neighborhood Council Fund No. 59B/14, Account TBD, Porter Ranch Neighborhood Council as per the intent of CF 17-0044;

#### *MICLA 2017-18*

- G. Relative to the Fire Department, transfer \$275,000 to the Information Technology Agency (ITA), Fund No. 100/32, Account No. 001100, Hiring Hall Salaries, from the Fund and accounts as noted below, for the installation of communications equipment in Fire Department vehicles, and authorize the Controller to reimburse the General Fund with MICLA funds upon receipt of ITA labor services invoices:
  - i. \$150,000 from MICLA Fund No. 298/38, Account No. 38M206, Communications Equipment Replacement, to Fund No. 298/38, Account 38P132, ITA; and,
  - ii. \$125,000 from MICLA Fund No. 298/38, Account No. 38N206, Communications Equipment Replacement, to Fund No. 298/38, Account 38P132, ITA

### Technical

21. Authorize the CAO to make technical corrections as necessary to those transactions included in this report to implement Mayor and Council intentions.

### **FISCAL IMPACT STATEMENT**

A total of \$20.8 million in expenditure shortfalls and unbudgeted expenses are identified in this Year-End Financial Status Report. Transfers, appropriations, and other adjustments totaling approximately \$130.4 million are recommended in Sections 1, 2, 7, and 10 of this report. This includes \$7.3 million in transfers to the Unappropriated Balance, 2016-17 Budgetary Shortfalls account, approximately \$6.8 million in Reserve Fund reappropriations, and \$40.6 million in prior year FMS encumbrances exempted from the General Fund Encumbrance Policy.

### **DISCUSSION**

The CAO monitors the budget and transmits reports to the Mayor and Council detailing the City's current financial condition. This report provides an update on the current-year budget deficit, revenue shortfall and reserve fund status, and it highlights current issues of concern and the potential impact to the City. Recommendations totaling approximately \$130.4 million for appropriations, transfers, and other budgetary adjustments are included in this report.

The following is a discussion regarding the recommendations included in the report and other budget related items. The discussion is presented in eight sections as follows:

Section 1. Status of Departmental Budgets.....	19
Section 2. Status of Non-Departmental Funds and Special Accounts.....	55
Section 3. Status of Employment .....	59
Section 4. Status of Fee Waivers and Subsidies.....	60
Section 5. Status of the City's MICLA Commercial Paper Program .....	60
Section 6. Status of Gang Reduction and Youth Development (GRYD) Program.....	61
Section 7. Lugo Street Fire and Clean up .....	61
Section 8. State Budget Update .....	62
Section 9. 2016-17 Homelessness Budget Status .....	64
Section 10. Exemptions from General Fund Encumbrance Policy .....	64

## **1. STATUS OF DEPARTMENTAL BUDGETS**

This section addresses the status of department expenditures and revenues, updates projected year-end deficits, and highlights issues of concern. Recommendations include new appropriations, reappropriations, and transfers for operational needs.

**Department Expenditures:** This analysis is based on department expenditure and revenue information through the end of March.

**Department Revenues:** Departmental General Fund revenue estimates for this reporting period are based on data through the end of March with some exceptions.

### **A. Aging**

#### **No Recommendation**

A year-end special fund surplus of \$865,272 is projected which is attributed to various accounts. The surplus is mainly due to vacancies. Any unspent grant funds at year-end may be returned to the granting agency and potentially returned to the Department in subsequent fiscal years.

No issues are raised with the Department's budgeted revenue at this time.

### **B. Animal Services**

#### **No Recommendation**

The Animal Services Department is projecting a year-end surplus of \$359,449 mainly in the salary accounts. The salary surplus is primarily due to delays associated with the hiring of new Animal Control Officers. The Department is working with the Personnel Department to improve the retention rate and expedite the hiring process.

Departmental General Fund receipts total \$3.2 million which represents approximately 87 percent of the Department's revised revenue budget or 64 percent of the adopted revenue budget. The Department expects to meet its revised revenue budget.

No transactions are recommended.

### **C. Building and Safety**

#### **Attachment 5 – Transfers between Accounts within Departments and Funds**

A net year-end surplus of \$2.86 million is projected for this Department which is solely comprised of special funds.

General Fund receipts through April total \$34.32 million which represent about 67 percent of the Department's General Fund revised revenue budget. The bulk of the General Fund revenue is comprised of related cost reimbursements which have not been received. Anticipated General Fund receipts through May total \$40 million which represent about 80 percent of the

Department's revised revenue budget. The Department currently anticipates a shortfall of \$8 million in General Fund revenue through year-end, primarily for the related costs reimbursement from the Building and Safety Building Permit Enterprise Fund (Fund 48R) due to special funded vacancies. This is \$8 million less than the \$51.2 million revised revenue estimate. Total revenue is now projected at \$43.2 million.

Enterprise Fund receipts to-date total \$163.1 million, which represents 112 percent of the Department's total special fund revenue budget. The Department's special fund receipts have exceeded the revenue budget for this fiscal year.

The Department requests to transfer \$620,284 within the Building and Safety Building Permit Enterprise Fund (48R) to pay for unanticipated systems and operational costs. The Department executed a contract amendment to Contract C-127586 with Hewlett Packard Enterprise Services, LLC, (HPES) to increase funding and add provisions for the expansion of the existing scope of work to enable the Department to decouple the existing cashiering system, Comcash, from the Department's plan check and permitting systems in preparation for the new Universal Cashiering System, which is currently being procured through a Request for Proposals process. The Department also experienced a shortfall in funding for code books, required for Department engineering and inspection staff as a result of recent revisions from the California Building Standards Commission (CBSC). Additional funding is needed to cover the cost of electronic copies of codes, code commentaries to supplement the new standards, and specialty orders and training materials for highly specialized divisions.

The following transaction is recommended at this time:

- Transfer \$620,284 within the Building and Safety Building Permit Enterprise Fund (48R) to pay for unanticipated systems and operational costs.

Also, see a transaction regarding a \$3 million transfer from the Development Services Trust Fund in the Fire Department section of this report for the Fire Inspection Management System.

#### **D. City Administrative Officer**

#### **Attachment 5 – Transfers between Accounts within Departments and Funds**

#### **Attachment 10 – 2017-18 General Fund Reappropriations**

The Department continues to project that it will complete the year within budget. A surplus of approximately \$1.7 million is anticipated. This surplus is attributable to greater than anticipated vacancies throughout the Office, including the Office of Public Accountability (OPA). Approximately \$700,000 in OPA savings will revert to the General Fund and be offset by a corresponding reduction in revenue. A portion of the salaries surplus is recommended to cover anticipated deficits in the Salaries As-Needed, Salaries Overtime, and Contractual Services accounts. Further, it is also recommended that \$1 million be reappropriated to 2017-18 to meet various ongoing obligations. The actions recommended in this FSR reduce the projected surplus to \$700,000. The Department is expected to meet its General Fund revenue budget.

The following transactions are recommended at this time:

- Transfer \$150,000 from the Salaries General account to the Salaries As-Needed account to fund salaries for interns.
- Transfer \$100,000 from the Salaries General account to the Salaries Overtime account to fund anticipated overtime.
- Transfer \$250,000 from the Salaries General account to the Office and Administrative account to fund anticipated deficits.

#### *2017-18 General Fund Reappropriations*

- Reappropriate up to \$200,000 from the unencumbered balance remaining in the Salaries, As-Needed account to fund salary obligations.
- Reappropriate up to \$100,000 from the unencumbered balance remaining in the Salaries, Overtime account to fund overtime obligations.
- Reappropriate up to \$450,000 from the Contractual Services account for the following ongoing projects: expert and technical asset management and strategic planning consultant services (\$260,000) and studies conducted by the Office of Economic Analysis (\$190,000).
- Reappropriate up to \$250,000 from the unencumbered balance remaining in the Office and Administrative account to fund the replacement of end-of-life technology.
- Reappropriate \$125,000 from the UB, Commission on Revenue Generation account for administrative support in 2017-18. The amount of \$125,000 was included in the UB to provide administrative support for the Commission on Revenue Generation, in accordance with a Letter of Agreement between the City and the Coalition of Los Angeles City Unions (C.F. 15-1423). As the Commission is still in the process of formation, these funds are recommended to be reappropriated.

#### **E. City Attorney**

#### **Attachment 7 – Appropriations from the Unappropriated Balance**

The Mid-Year FSR anticipated a remaining \$2.31 million year-end General Fund shortfall for this Department due to the impact of City Attorney labor agreements approved earlier this year. Although the projected shortfall in the Salaries General account has decreased from \$2.31 million to \$1.1 million, the year-end shortfall remains almost unchanged at about \$2.14 million. This is due to newly reported shortfalls in the Contractual Services and Litigation Expense accounts. A supplemental General Fund appropriation of \$2.14 million is recommended to offset the remaining year-end shortfall. The reported shortfalls are discussed below.

- **Salaries Shortfall:** On February 1, 2017, the Council adopted the new labor agreements for MOU 29 (City Attorneys) and MOU 31 (Confidential Attorneys). The Council subsequently adopted the new labor agreement for MOU 32 (Management Attorneys) on March 29, 2017, reflecting similar salary impacts. Pursuant to these agreements, salaries for these classes increased by 3.5 percent on January 22, 2017 and will increase by two percent effective June 25, 2017. In the Mid-Year FSR, it was anticipated that the Department would not be able to fully offset the \$3.17 million impact from the labor agreement and would require a supplemental General Fund appropriation of \$2.31 million by year-end. However, based on updated expenditure projections, the remaining salaries shortfall is now estimated at approximately \$1.07 million.
- **Contractual Services Account:** Based on the Department's review of contractual services to be paid this fiscal year, a year-end deficit of approximately \$100,000 is projected.
- **Litigation Expense Account:** As part of the Mid-year FSR actions, a supplemental General Fund appropriation of \$1.671 million was approved for the Litigation Expense account. At that time, it was anticipated that the shortfall could increase by year-end. Based on the Department's review of litigation-related invoices paid, anticipated litigation support needed this fiscal year, and the direct expenditures already incurred, a year-end deficit of approximately \$975,000 is now projected.

#### Outside Counsel

The Unappropriated Balance (UB), Outside Counsel including Workers' Compensation (Outside Counsel) line item has a balance of \$860,000. However, on April 18, 2017, the City Attorney released a report to the Council requesting to appropriate \$830,000 of these funds to pay for various outside counsel contracts and contract amendments. The report is pending hearing in Budget and Finance Committee (CF 17-0433).

General Fund receipts through this reporting period total \$24.7 million, which represents approximately 62 percent of the total revised revenue budget. The Department anticipates meeting all General Fund revenue targets through year-end.

The following transactions are recommended at this time:

- Appropriate \$2.14 million from the UB, 2016-17 Budgetary Shortfalls account to offset shortfalls in the following accounts: Salaries General (\$1,066,851), Contractual Services (\$100,000) and Litigation Expenses (\$975,000).

Also see Housing and Community Investment Department section for a transfer to the City Attorney to reimburse salary expenses incurred for legal expertise assigned to the Community Redevelopment Agency assets matter.

## **F. City Clerk**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 7 – Appropriations from the Unappropriated Balance**

### **Attachment 9 – 2017-18 Special Fund Reappropriations**

#### **Recommendation No. 20F**

A year-end shortfall of \$2.15 million (General Fund) is projected for this Department. Specifically, this shortfall is comprised of a \$2.3 million shortfall in the Elections Expense account which is partially offset by a \$154,192 surplus in the Salaries General account. This report recommends the transfer of \$154,192 in salary savings to partially offset the Election Expense account shortfall. It is further recommended that the remaining \$2.15 million shortfall be addressed as follows: a \$742,699 transfer from the UB, November 2016 General Election account and a \$1.4 million transfer from the UB, 2016-17 Budgetary Shortfalls account.

In November 2016, the County passed a motion to conduct a March special election. As a result, the City's primary municipal elections were consolidated with the County's election and conducted by the County. The City Clerk conducted runoff elections for two LAUSD seats in May 2017, with reimbursements anticipated in 2017-18. Invoices for the March 2017 special election, which was conducted by the County, are expected to be received in late May 2017.

The City Clerk's revised revenue is projected at approximately \$1.4 million which is \$12.3 million less than the \$13.7 million revenue budget. The shortfall is due to the receipt of reimbursements from the Los Angeles Unified School District (LAUSD) 2015 Elections at the end of the prior fiscal year, the County's consolidation of the March 2017 municipal elections, and decreased reimbursements anticipated from Department of Water and Power (DWP).

The amount of \$4.4 million was budgeted in the UB for November 2016 General Election Costs of which \$4.1 million was to be funded by anticipated DWP reimbursements to the City Clerk for a ballot measure with the balance to be backed by the General Fund. However, only \$742,699 of the \$4.1 million originally anticipated from DWP will be received as revenue by year-end due to the placement of other non-DWP related items on the November General Election ballot and the spreading of costs. As a result, \$3,357,301 of the UB, November 2016 General Election line item is not backed by cash, and the appropriation must now be reduced to correspond with the revenue shortfall. Further, a corresponding increase is recommended to the UB, Reserve for Unrealized Revenue account. After accounting for the \$742,699 transfer from the UB, November 2016 General Elections account to the Elections Expense account noted above, the account will have a remaining balance of \$300,000.

The following transactions are recommended:

- Transfer \$154,192 from the Salaries General account to the Elections Expense account to partially offset the year-end shortfall in the Elections Expense account.
- Transfer \$742,699 from the UB, November 2016 General Election account to the City

Clerk's Elections Expense account for unfunded measures on the November 2016 Ballot and Citywide May 2017 Elections.

- Transfer \$1,403,109 from the UB, 2016-17 Budgetary Shortfalls account to the City Clerk, Elections account for unfunded measures on the November 2016 Ballot and Citywide May 2017 Elections.
- Reduce appropriations to the UB, November 2016 General Election account by \$3,357,301; and, correspondingly increase appropriations to the UB, Reserve for Unrealized Revenue by \$3,357,301.

#### *2017-18 Appropriations*

- Appropriate up to \$27,381.35 in funding from Neighborhood Empowerment Fund Cash Balance (Porter Ranch Neighborhood Council) to the Neighborhood Council Fund, Porter Ranch Neighborhood Council account, to allow the Porter Ranch Neighborhood Council to access these funds in 2017-18. The funds were authorized pursuant to C.F. 17-0044, however, the Porter Ranch Neighborhood Council does not have sufficient time to spend these funds by the year end.

#### *2017-18 General Fund Reappropriations*

- Reappropriate up to \$11,000 from the unencumbered balance remaining in the City Clerk's Overtime General account to complete the Neighborhood Council online funding program platform. In 2015-16, the Innovation and Performance Commission funded the Department of Neighborhood Empowerment's (DONE) proposal for the development and implementation of an online funding program platform (Project). The Neighborhood Council Funding Program was subsequently transitioned from DONE to the City Clerk and the awarded funds were transferred to the City Clerk in the 2016-17 Mid-Year FSR (C.F. 16-0600). Additional City Clerk staff time is needed to finalize the Project.

#### **G. Controller**

##### **Attachment 5 – Transfers between Accounts within Departments and Funds**

##### **Attachment 6 – Transfers between Departments and Funds**

This Office is projecting a net year-end surplus of \$450,000, due to projected General Fund surpluses in the Salaries General (\$200,000) and Salaries As-Needed accounts (\$250,000). As part of the 2017-18 Adopted Budget, the Controller can reappropriate up to \$500,000 in any unencumbered remaining balances in Controller Fund No. 100/26 accounts for Fiscal Year 2017-18 for the Accounting Pool (\$250,000), with the remainder divided between Salaries General and Contractual Services accounts for audits.

The revised revenue estimate for this Office is \$3.79 million which is nearly the same amount as the adopted revenue amount of \$3.98 million. The Controller is expected to meet its revised revenue target.

The following transactions are recommended at this time:

- Transfer \$371,000 from the Salaries General account to the Salaries As-Needed (\$240,000) and the Salaries Overtime (\$131,000) accounts.
- Transfer \$7,000.00 from the Controller's Salaries General account to the General Services Department's Salaries General account to reimburse General Services for expenses.

## **H. Convention and Tourism Development**

### **Attachment 4 – New Appropriations**

#### **Recommendation No. 8**

The Department of Convention and Tourism Development (CTD) anticipates a year-end surplus of approximately \$64,000. The CTD recommends that the remaining \$64,000 be reserved as a contingency for year-end budget adjustments, if necessary.

The following transactions are recommended:

- Transfer \$25,683.55 from the Convention Center Revenue Fund to Anschutz Entertainment Group (AEG) for show deposits received by the City related to shows to be hosted at the Los Angeles Convention Center for 2017-18.
- Transfer \$100,000 from the uncommitted balance of the prior-year appropriation of the Convention Center Revenue Fund to the Liability Claims Fund to reimburse the Liability Claims Fund for expenditures related to the settlement of a trip and fall claim that occurred at the Los Angeles Convention Center (*Virginia LaRose v. City of Los Angeles*).

## **I. Council**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 6 – Transfers between Departments and Funds**

Council requests the following transaction:

- Transfer \$50,000 within the General City Purposes fund from the Council District 1 Council Fee Special Event subsidy account to the Council District Community Services (CD 1) account for community services and events in Council District 1
- Transfer \$150,000 in AB1290 funding (Council District 9) to the Council Fund As-Needed Salaries account to support operational expenditures.

## **J. Cultural Affairs**

### **Attachment 4 – New Appropriations**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 6 – Transfers between Departments and Funds**

This Office projects a year-end surplus of \$505,313 for this Department, assuming the transfers recommended in this report are approved. The Department will fill 11 vacancies before the end of the fiscal year to maintain continuity in the delivery of art instruction programs, exhibitions and performances, and public art support. The Department anticipates meeting all General Fund revenue targets through year-end.

The following special fund transactions are recommended:

- Transfer \$24,948 from the Contractual Services account to the General Services Department, Construction Forces Division, to provide exhibition construction support as part of the Getty Foundation Pacific Standard Time: Los Angeles/Latin America initiative (PST:LA/LA).
- Transfer \$237,000 from the Salaries General account to the Office and Administrative (\$26,000), Salaries As-Needed (\$100,000), and the Operating Supplies (\$111,000) accounts to cover projected year-end expenditures.
- Transfer \$110,442.04 from the Arts Development Fee Trust Fund to the General Services Department, Construction Forces Division, to repair the east wall of the Warner Grand Theater in preparation for the installation of a new mural.
- Appropriate \$60,000 from the Arts and Cultural Facilities and Services Trust Fund cash balance to the Special Events III, Citywide Regional Arts Support, and Community Cultural Programs, for projects related to the Commemoration of the 100<sup>th</sup> Anniversary of the Armenian Genocide (C.F. 14-0922).

## **K. Disability**

### **No Recommendation**

A year-end General Fund surplus of \$134,189 is projected for this Department, due to salary savings. The Department is expected to meet its General Fund revenue target by year-end.

## **L. Economic and Workforce Development**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 6 – Transfers between Departments and Funds**

### **Recommendation No. 9**

Assuming the requested transfers in this FSR are approved, the Economic and Workforce Development Department (EWDD) projects a net year-end special fund surplus of \$2.6 million. This analysis assumes that five currently vacant positions are filled in June 2017. The year-end

surplus is attributed to the Salaries General account comprised of \$1,967,395 in Special Funds, including the Workforce Innovation and Opportunity Act and other workforce development grant funds, and \$638,654 in General Fund.

The department has received approximately \$4.9 million in General Fund revenue which is approximately 77 percent of its revised revenue target of \$6.4 million. Revenue is projected at slightly less than the revised budget due to property sale proceeds coming in less than the revised budget.

The following transactions are recommended at this time:

- Transfer \$5,547 from the Department's Contractual Services account to its Salaries As-Needed, Office and Administrative, and Reimbursement of General Fund Cost accounts in support of CRA/LA Excess Non-Housing Bonds Proceeds-funded economic development activities.
- Transfer \$3,600 from the Department's Operating Supplies and Contractual Services accounts to the Transportation and Office and Administrative accounts for General Fund youth employment development programs.
- Transfer \$2,250,000 from the General City Purposes Fund Gang Injunction Curfew Settlement Agreement line item for the implementation of the workforce development services provider contracts related to the settlement agreement. The term of the contracts will be from June 1, 2017 through May 31, 2018. This is the first of four years of implementation, as mandated by the settlement agreement (C.F 16-0081).
- Receive and appropriate \$156,592 in LA County Workforce Innovation and Opportunity Act grant funds for Department and service provider costs for adult dislocated worker workforce development services.

**M. El Pueblo**  
**No Recommendation**

The Department is projecting a year-end surplus of \$53,928.

The Department anticipates meeting revenue targets through year-end.

**N. Emergency Management**  
**No Recommendation**

The Emergency Management Department (EMD) is projecting to complete the year with a net \$257,373 surplus, made up of \$143,477 in General Funds and \$113,896 in Special Funds. The Special Fund surplus is subject to receipt of Urban Areas Security Initiative grant appropriations.

General Fund receipts through the end of March total \$922,505 which represents approximately 93 percent of the Department's total revised revenue budget. The Department is expected to meet its revised revenue budget of \$1.06 million.

**O. Employee Relations Board**  
**No Recommendations**

The Employee Relations Board is expected to complete the year within budget.

**P. Ethics Commission**  
**No Recommendations**

The Ethics Commission is projected to complete the year within budget.

General Fund receipts through the end of March total \$439,159, which represents approximately 88 percent of the Department's adopted revenue budget or 80 percent of the Department's revised revenue target of \$545,650. At this time Ethics is on track to meet its revised revenue target.

**Q. Finance**  
**Attachment 6 – Transfers between Departments and Funds**

This Office projects a net year-end surplus of \$633,542 for this Department. This includes a \$698,542 surplus within the Salaries General account and a \$65,000 projected deficit within the Bank Service Fees account. The Mid-Year FSR reported that a supplemental special fund appropriation from the Department of Building and Safety may be required by year-end. Subsequent to its review of bank statements, Finance requests to increase the appropriation from the Building and Safety Building Permit Enterprise Fund from \$850,000 to \$915,000, a \$65,000 increase from the current appropriation. In addition, Finance requests to transfer \$51,273.61 to the Controller's Salaries As-Needed account to reimburse the Controller for the use of the Accounting Assistance Program. If the transfers are approved, the projected year-end surplus will increase to \$647,268.

The Office of Finance has a revised revenue budget of \$6.34 million which reflects a reduction of approximately \$670,000 from adopted revenue. This is mainly due to a reduction of \$774,996 related to the City Attorney Collection Services and Miscellaneous Revenue-Others revenue sources. The Mid-Year FSR reported that these revenue sources are pass-through accounts and receipts are subsequently appropriated to other accounts by year-end. Although balances have remained in prior fiscal years, the Department is working to clear out both accounts by year-end. The Office of Finance is expected to meet its revised revenue target.

The following transactions are recommended:

- Transfer \$65,000 from the Building and Safety Building Permit Enterprise Fund to the Department's Bank Service Fees account to cover Department of Building and Safety bank fees.
- Transfer \$51,273.61 from the Department's Salaries General account to the Controller's Salaries As-Needed account to reimburse the Controller for use of the Accounting Assistance Program.

## R. Fire

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 6 – Transfers between Departments and Funds**

### **Attachment 7 – Appropriations from the Unappropriated Balance**

### **Attachment 10 – 2017-18 General Fund Reappropriations**

### **Attachment 17 – 2017-18 Transfers**

### **Recommendation Nos. 10, 20C, 20E and 20G**

The Fire Department has a projected year-end General Fund deficit of \$12.28 million in its Sworn Salaries account, primarily due to a labor agreement with the Firefighters and Fire Captains Representation Unit (MOU 23) that was approved earlier this year. This estimate represents a decrease from the \$15.03 million expenditure deficit projected in the Mid-Year FSR, mainly due to a higher than anticipated pace of recruit hiring over attrition, which has resulted in lower salary costs and a reduced need for constant staffing overtime usage. It is recommended that Medi-Cal Intergovernmental Transfer Program (IGT) funds in the amount of \$6.38 million be appropriated to the Department to offset a portion of this year-end shortfall. This reduces the expenditure shortfall to \$5.9 million. A supplemental General Fund appropriation is required to offset the remaining \$5.9 million expenditure shortfall.

IGT Fund receipts of \$6.38 million were received in January and February 2017 based on the Department's 2014-15 expenditure on Medi-Cal emergency medical and ambulance transport (EMS) services. IGT funds can be used for providing services to Medi-Cal beneficiaries and for other health care-related services. A significant portion of the Department's shortfall is due to sworn salary obligations in the EMS Program. General Fund sworn salary expenditures from the EMS Program are expected to exceed \$119 million in 2016-17. These costs are eligible for funding from the IGT Program receipts.

### Revenue

General Fund receipts through the end of April 2017 total \$120.76 million, which represents approximately 67.2 percent of the Department's total revised revenue budget of \$179.7 million. Pending receipts include \$15.1 million for emergency ambulance services receipts and Ground Emergency Medical Transport (GEMT) reimbursements, and \$37.3 million in reimbursements for services to proprietary departments. The Department is projecting year-end revenue of \$0.5 million above the revised budget amount for a total of \$180.2 million. This increase is primarily due to an increase in projected reimbursements for sworn resources sent to assist with fighting fires outside of the City and increases in permit and fee receipts and projected reimbursements from proprietary departments. However, the increase is mostly offset by a delay in receipts for

GEMT services and a reduction in projected revenue from EMS transports attributable to billing and documentation issues that the Department has now remedied, reduced average reimbursement amounts, and a reduced number of transports.

The projected deficit and recommended offsets are discussed below:

- **Salaries Sworn:** A \$16.91 million deficit is projected in this account mainly due to salary increases of four percent in 2016-17 for MOU 23 members, retroactive to the beginning of the fiscal year. In addition, \$5.38 million in funds were previously used to offset other account shortfalls. It is recommended that the \$6.38 million available in the IGT Program receipts and \$4.63 million in savings from various departmental accounts as described below be transferred to partially offset the shortfall. Additionally, a transfer of \$5.9 million from the Unappropriated Balance, 2016-17 Budgetary Shortfalls account is recommended to offset the remaining deficit. The portion of deficit previously attributed to a shortfall in the Local Public Safety Fund (LPSF) is being absorbed within the Police Department's budget. See related item in the Police Department section.
- **Salaries General:** This account is projected to have a \$1.05 million surplus mainly due to turnover and positions remaining vacant. These savings are needed to partially offset the Sworn Salaries shortfall.
- **Unused Sick Time:** A surplus of \$415,000 is projected in this account. A shortfall was previously anticipated, primarily due to excess sick time payouts resulting from estimated exits of sworn members through the Deferred Retirement Option Plan (DROP) in the current fiscal year. A transfer of \$350,000 into this account from Sworn Salaries was included in the First FSR. However, the actual payout amounts were not as high as anticipated and savings are now projected.
- **Sworn Constant Staffing Overtime:** A surplus of \$600,000 is projected in this account mainly due to increased hiring and a reduced need for overtime to backfill sworn vacancies. A shortfall was previously projected in this account, primarily due to the impact of salary increases and an inadvertent transfer of \$1 million from this account instead of the Overtime Variable Staffing account in the First FSR. The Mid-Year FSR corrected the error and the Department has been able to mitigate the remaining deficit.
- **Sworn Variable Staffing Overtime:** A surplus of \$2.56 million is projected in this account due to lower than anticipated work on special projects and offsetting appropriations from grants and other funding sources.
- **Office and Administrative/Operating Supplies:** The Department previously projected a deficit of up to \$2 million in these accounts due to supply, service and repair needs throughout the Department's Fire Stations and facilities. Due to the Citywide deficit, the Mid-Year FSR recommended that the Department monitor and mitigate any deficits. The Department has mitigated this shortfall through a reduction and delay in expenditures.

- **Field Equipment Expense:** \$1.076 million was reappropriated from 2016-17 funds and an additional \$2 million was transferred into this account in the Second FSR to mitigate shortfalls associated with fleet maintenance needs and the haul out and repairs of Fire Boats 5 and 3. The Department now reports that funding in this account remains insufficient and that the cost and haul out of Boat 3, estimated at \$1.3 million, will be deferred to 2017-18.

#### Sworn Hiring

The Adopted Budget provides \$17.3 million in funding for four recruit training classes to support a total of 230 firefighter recruits. Two training classes have been completed this fiscal year and the remaining two will finish in the subsequent year based on the following Academy schedule. Two additional classes initiated during 2015-16 were completed this year. Historically, recruit training has experienced an attrition rate of about 20 percent.

**Table 4. 2016-17 Fire Hiring Plan**

<b>Drill Tower</b>	<b>Start Date</b>	<b>Graduation Date</b>	<b>No. of Recruits Authorized</b>	<b>No. of Recruits Appointed</b>	<b>No. of Graduates</b>
DT 81	10/17/16	03/02/17	65	66	45
DT 40	11/28/16	04/13/17	50	49	46
DT 81	03/20/17	08/03/17	65	63	TBD
DT 40	05/01/17	09/14/17	50	50	TBD
Subtotal 2016-17			230	228	91
DT 81	05/16/16	09/28/16	65	60	49
DT 40	06/27/16	11/09/16	50	58	42
Subtotal 2015-16			115	107	91
		<b>TOTAL</b>	<b>345</b>	<b>335</b>	<b>182</b>

The following transactions are recommended at this time:

- Transfer \$4.625 million to the Sworn Salaries account from savings in the Salaries General (\$1.05 million), Unused Sick Time (\$0.415 million), Overtime Constant Staffing (\$0.6 million), and Overtime Variable Staffing (\$2.56 million) accounts for anticipated shortfalls as a result of salary increases from MOU 23 labor agreements.
- Transfer \$6.38 million to the Sworn Salaries account from the Fire Department Trust Fund, via the Medi-Cal Intergovernmental Transfer Program Fund, for anticipated shortfalls as a result of salary increases in the EMS Program from MOU 23 labor agreements.

- Transfer \$5.9 million to the Sworn Salaries account from the Unappropriated Balance, 2016-17 Budgetary Shortfalls account for anticipated shortfalls as a result of salary increases from MOU 23 labor agreements.
- Transfer \$152,895 from the Sworn Bonuses (\$88,873) and Salaries, As-Needed (\$64,022) accounts to the Mayor's Office for salary reimbursements related to positions on loan.

#### *2017-18 General Fund Reappropriations*

- Reappropriate \$200,000 in the Contractual Services account and \$400,000 in the Operating Supplies account for the integration of Automated Vehicle Locator (AVL) functionality into the Computer-Aided Dispatch (CAD) system. The funding was approved in the Mid-Year FSR but the funds will not be encumbered by the year-end.
- Reappropriate \$200,000 in the Operating Supplies account for the purchase of a Geographic MoveUp System to automate the fire station coverage map and provide a link to the CAD/AVL systems.

#### *2017-18 Transfers*

- Transfer \$58,500 from the Salaries General (Innovation Fund) to the Salaries General (\$20,000) and Overtime Constant Staffing (\$38,500) accounts for expenditures related to the Sobering Center Emergency Resource (SOBER) Unit (C.F. 16-0371). These funds were previously transferred from the Innovation Fund to Fire, however, unspent funds will revert to the Innovation Fund at year-end. The funds are needed for the same purpose in 2017-18.

#### *2017-18 Special Fund Appropriation*

- Appropriate \$3 million from the Development Services Trust Fund Cash Balance to the Fire Department Contractual Services account (\$2 million) and the Office and Administrative account (\$1 million) for the development of the Fire Inspection Management System (FIMS). This Fund is administered by the Department of Building and Safety. The FIMS will be a component of and will interface with the Citywide BuildLA system project (C.F. 15-0316), a multi-year, multi-departmental project to establish a streamlined building and construction development approval system. The cost of the FIMS build-out and expansion will be recovered through receipts from a surcharge on Fire Department development service fees, which is pending final approval.

#### *2017-18 MICLA*

- Place the following instruction on the Council agenda for the first regular Council meeting on July 1, 2017 or shortly thereafter:

Transfer \$275,000 in MICLA funding for the Information Technology Agency (ITA) for the installation of communication equipment in the Fire Department fleet. The funding is needed to reimburse ITA's Hiring Hall account prior to the approval of the 2017-18 First FSR.

## **S. General Services**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 6 – Transfers between Departments and Funds**

The Department's previously projected year-end deficit of \$2.85 million was resolved in the Mid-Year FSR. The Department is anticipated to end the year within budget, provided the transfers in this FSR are approved.

General Fund receipts as of May 9, 2017 total \$45.3 million. This represents approximately 80 percent of the adopted revenue budget (\$56.1 million) or 78 percent of the revised revenue budget (\$58.4 million). General Fund receipts were revised to reflect lower than anticipated revenues from Library reimbursements, Salvaged Receipts, and the delayed lease agreement with DWP. However, at this time, the Department is expected to finish the year close to the revised revenue budget.

#### **Petroleum**

The Petroleum account is currently budgeted at \$36.6 million. The Mid-Year FSR included a transfer of \$5.2 million from this account to offset shortfalls in the Department's Parts account as well as other shortfalls. GSD reports that as of March 27, 2017, fuel prices were \$2.20 for diesel and \$2.30 for unleaded. Prices have remained steady as the average fuel prices reported at mid-year (through November 2016) were \$2.19 for diesel and \$2.22 for unleaded.

The following transactions are recommended at this time:

- Transfer \$495,000 from the Salaries General account for the following: \$145,000 to the Overtime General account for additional overtime related to Solid Waste Resources Fund Fleet operations and emergency building maintenance services related to the rain; and, \$350,000 to the Contractual Services account for emergency custodial services and access control and safety expenditures in the Civic Center.
- Transfer \$300,000 from the Leasing (\$221,000) and Salaries General (\$79,000) accounts to the Contractual Services account for data cleansing services for the Asset Management System.
- Transfer \$103,600 from the Salaries General account to the Salaries Construction Projects (\$62,160) and Construction Materials and Supplies (\$41,440) accounts to prepare an office for the newly elected Council District 7 Councilmember and any other council office changes. The work will include one-time repairs, patching and painting, and the removal and replacement of all signage in City Facilities with updated information.

- Transfer \$31,900 from the Salaries General account to reimburse Project Restore for conservation and restoration work at City Hall.
- Transfer \$202,884 from Salaries General for capital repair services at the Public Works Building.

## **T. Housing and Community Investment**

### **Attachment 4 – New Appropriations**

### **Attachment 6 – Transfers between Departments and Funds**

The Housing and Community Investment Department (HCID) is projected to have a year-end Special Fund surplus of \$5 million which reflects a decrease from the previous FSR. The Department currently has a vacancy rate of approximately 21 percent, which HCID projects will decrease as positions are filled throughout the year. This FSR recommends transfers between accounts within funding sources to address shortfalls in certain accounts.

As of the end of March 2017, Related Cost reimbursements to the General Fund for 2016-17 totaled \$16.5 million, which represents 57 percent of the Department's total adopted revenue budget of \$28.9 million or 64 percent of the Department's revised revenue budget of \$25.8 million. HCID projects that it will reimburse the General Fund for approximately \$26.7 million, 92 percent of HCID's adopted revenue budget, or 103 percent of the revised revenue budget. This represents a \$2.2 million revenue shortfall from the adopted budget, but a \$0.9 million revenue surplus from the revised budget.

In the Mid-Year FSR, City Departments were instructed to refrain from filling Consolidated Plan-funded vacancies until actual awards were identified. While having these grant-funded positions vacant may result in additional reductions in related cost reimbursements, specifically the Community Development Block Grant (CDBG) and HOME Investment Partnerships Program (HOME), given the uncertainties related to the Federal budget, the City must remain cautious with respect to these programs. Further, there continues to be uncertainty regarding final awards. Current Consolidated Plan funding levels are expected to be maintained until September 30, 2017 through the Consolidated Appropriations Act 2017, however, actual formula allocations for Program Year 43 may vary and are anticipated to be received in mid-June. Further, the Trump Administration is seeking the elimination of CDBG and the HOME in its proposed 2018 HUD Budget. This Office will continue to monitor the Related Cost reimbursements and work with the Department in its efforts to meet the revenue budget and make timely reimbursements to the General Fund.

The following transactions are recommended at this time:

- Transfer \$55,000 from the Salaries As-Needed account (General Fund) to various accounts for various expenses, including administrative expenses and water and electricity bills.

- Appropriate \$15,078 from the Community Service Block Grant (CSBG) to pay for office and administrative expenses. This amount reflects CSBG discretionary funding allocated for targeted initiatives focusing on capacity building, homelessness, and access to Earned Income Tax Credit.
- Appropriate \$351,510 from the Foreclosure Registry Fund to pay for HCIDLA staff salaries and related expenses, including \$181,010 for reimbursements to the General Fund.
- Appropriate \$100,000 from the Municipal Housing Finance Fund (MHFF) Fund to pay for lease expenses at the Garland location.
- Transfer \$435,500 from the Salaries General account from various special funds (Community Development Block Grant, HOME, Neighborhood Stabilization Program 1 Fund, Low and Moderate Income Housing Fund, and Rent Stabilization) to the Leasing and the Office and Administrative accounts to cover leasing costs at the Garland building. This transfer will also cover anticipated costs related to the Rent Stabilization staff moving to the Boyle Heights satellite office.
- Transfer \$12,500 from the Salaries General account to the Travel account to cover American Disabilities Act training for the Accessible Housing Program as required by a settlement agreement.
- Transfer \$650 from various accounts to the Water and Electricity account to cover water expenses for the Bradley Milken center (CD 15 Redevelopment Fund).
- Transfer \$160,000 from the Displaced Tenant Relocation account to the Salaries General and Salaries As-Needed accounts to cover labor expenses (Municipal Housing Finance Fund).
- Transfer and appropriate \$161,000 from the Low and Moderate Income Housing Fund to pay for salaries from the City Attorney's Office in regards to the handling of the Community Redevelopment Agency assets.
- Establish accounts entitled "Loans Fiscal Review" in both the Low and Moderate Income Housing Fund (LMIHF) and the Municipal Housing Finance Fund and appropriate \$21,875 and \$32,125, respectively from the cash balances to these accounts to be expended for the fiscal reviews of eight projects with an aggregate loan amount of \$17.4 million. The fiscal review will be conducted to ascertain that the projects meet Federal and City grant requirements.

## **U. Information Technology Agency**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 6 – Transfers between Departments and Funds**

The Department is projected to complete the year with a \$662,000 year-end General Fund surplus provided that the recommendations in this report are approved. The surplus is mainly attributable to \$1.2 million in salary savings as a result of attrition and vacancies within the Department. This surplus fully offsets projected deficits in the Contractual Services and Overtime General accounts.

The Department anticipates achieving budgeted General Fund revenue. However, a shortfall of \$1.0 million is projected for the Telecommunications Development Account (TDA) revenue. It is anticipated that this revenue will continue to decrease as cable subscribers decline and the practice of streaming entertainment through the Internet increases. In anticipation of the reduction in revenue, the Department has released prior-year encumbrances to enable the Department to meet its budgetary obligations.

The following transactions are recommended at this time:

- Transfer \$100,000 from the Department's Salaries General account to the Contractual Services account.
- Transfer \$400,000 from the Department's Salaries General account to the Overtime General account to address a projected deficit as a result of paying down accumulated overtime balances accrued by employees.
- Transfer \$90,245 from the Department's Contractual Services account to the Public Works Bureau of Sanitation, Reimbursements from Other Funds Revenue Source, to cover annual Oracle maintenance for the Customer Relationship Management system.

## **V. Library**

### **Attachment 6 – Transfers between Departments and Funds**

It is projected that the Department will have a year-end surplus of \$5.3 million in its Salaries General account. The surplus is primarily as a result of vacancies. The Library reports that they will fill 128 vacancies before year end. The Department is reporting a projected year-end deficit of \$677,888 in Library Fund revenue. The deficit will be offset by the salaries surplus. All revenue collected by the Library is deposited into the Library Fund.

The following transaction is recommended:

- Transfer \$3,179,634.70 from the Library's Contractual Services account to the General Services Department, Construction Salaries (\$2,249,324.70) and Construction Materials and Supplies (\$930,310) accounts for work completed at the Central Library and various

Branch Libraries. Funding for an in-house alterations and improvements program for the Central Library and branch libraries was approved in the 2016-17 Adopted Budget.

## **W. Mayor**

### **Attachment 4 – New Appropriations**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 6 – Transfers between Departments and Funds**

The Mayor's Office requests the following transactions:

- Appropriate and transfer \$26,523 in interest income within the FY 2014 Justice Assistance Grant to reimburse the General Fund for current year Mayor salaries and City Attorney related costs
- Transfer \$600,000 from the Mayor Salaries As-Needed account to the Salaries General account to address a projected salary shortfall
- Transfer \$118,494 from the Mayor's Salaries General Account to the City Attorney to reimburse the department for GRYD Program Director salary costs for the period from January 2016 – June 2017
- Transfer \$480,000 from the Mayor's Contractual Services account to the LA'S BEST program for summer program services for an additional 1,200 LAUSD students.

## **X. Neighborhood Empowerment**

### **No Recommendations**

The Department of Neighborhood Empowerment (DONE) is projected to end the year with a surplus of \$126,440 mainly in the Salaries General account. The surplus will be used to offset minor deficits in other accounts. The Department has no budgeted General Fund revenues.

## **Y. Personnel**

### **Attachment 4 – New Appropriations**

### **Attachment 5 – Transfers between Accounts within Departments and Funds**

### **Attachment 9 – -2017-18 Special Fund Reappropriations**

The Personnel Department is projected to end the year with a net surplus of \$1.4 million (\$400,000 General Fund and \$1 million Special Fund) across all accounts. Deficits in the Salaries As-Needed (\$60,000), Overtime General (\$130,000), and Contractual Services (\$100,000) accounts are projected, but can be offset by a surplus in the Salaries General account.

The Department is anticipated to meet its revised General Fund revenue budget of \$19.67 million. However, reimbursement for services rendered to the Los Angeles World Airports totaling over \$1.0 million was recently invoiced. The timeline for receipt of funds is unknown, and funds may

not be received prior to the close of the fiscal year. The Department has special funded position vacancies which are estimated to reduce General Fund related cost reimbursement revenue by approximately \$222,000. However, the Department received prior-year reimbursements for various General Fund front-funded positions this fiscal year, which increased the Department's current year revenue receipts and offsets the projected related cost revenue deficit.

The following transactions are recommended:

- Transfer \$60,000 from the Salaries General to the Salaries As-Needed account to enable the Department to complete background investigations for various public safety positions.
- Transfer \$130,000 from the Salaries General to the Overtime General account to reduce the Department's overtime liability.
- Transfer \$100,000 from the Salaries General to the Contractual Services Account for contract hospital invoices for medical services provided to detainees under the City's custody.
- Appropriate \$32,970 in reimbursements from the Los Angeles World Airports (Service to Airports Revenue Source Code) to the Personnel Contractual Services account for the cost of Cornerstone learning platform licenses for 2017-18.

#### *2017-18 Special Fund Reappropriations*

- Reappropriate up to \$121,000 from the Innovation Fund, from the Contractual Services (\$79,500), Office and Administrative (\$33,500), and Overtime (\$8,000) accounts, for the eSOPH software. This is an online platform that allows police officer applicants to submit their personal history statement online (a required step in their application process). The Department has already selected a vendor but is still in the process of making changes to the contract with the vendor and the funds will be needed next fiscal year.

#### **Z. Planning**

##### **No Recommendations**

A net year-end surplus of \$3.4 million is projected for this Department (\$257,000 General Fund surplus and \$3.1 million special fund) which is more than the \$2 million projected at mid-year.

General Fund Receipts through the end of April total \$5.47 million which represents approximately 27 percent of the Department's revised revenue estimate. The Department anticipates receiving approximately \$20 million in General Fund revenue to meet its revised revenue target. The Department's revised revenue estimate of \$20 million is less than the

adopted revenue amount of \$24.4 million due to a reduction in related cost reimbursements from Special Funds.

Special Fund receipts through the end of April total \$40.6 million, which represent approximately 83 percent of the Department's special fund revenue. Revenue collection for the remainder of the fiscal year is anticipated to be on track by year-end. The Department anticipates collecting approximately \$48 million in special fund revenue and expects to meet overall revenue targets through year-end.

#### **AA. Police**

**Attachment 4 – New Appropriations**

**Attachment 5 – Transfers between Accounts within Departments and Funds**

**Attachment 10 – -2017-18 General Fund Reappropriations**

**Attachment 17 – 2017-18 Transfers**

**Recommendations Nos. 11 and 20C**

In the Mid-Year FSR, this Office reported a year-end deficit of \$9.68 million for the Police Department. The shortfall was mainly attributed to deficits in Sworn Salaries, Sworn Overtime, Accumulated Overtime, and various expense accounts. The actions taken by the Council in the Mid-Year FSR as well as internal actions taken by the Department were expected to reduce the year-end deficit to \$4.71 million. Based on current expenditure patterns, the remaining year-end deficit has decreased to approximately \$4.03 million. The \$4.03 million shortfall consists of deficits in Sworn Salaries (\$2.95 million), Accumulated Overtime (\$200,000), and the Contractual Services (\$882,554) accounts. This report recommends various actions that will eliminate the Department's remaining deficit which include internal transfers (\$1.27 million) and appropriation of unbudgeted revenue to the Department (\$2.76 million). These actions are summarized in the table below:

**Table 5. Summary of Police 2016-17 Year-End Budget Status**

	<b>Amount</b>
<b>Police Year-End Shortfall</b>	(\$4.03)
<b>Year-end Recommended Actions/Assumptions:</b>	
Transfer savings from Salaries General (\$922,158), Salaries As-Needed (\$50,000), and Overtime General (\$100,000) to Sworn Salaries.	\$1.07
Transfer savings from Overtime General to Accumulated Overtime.	0.20
Appropriate special events revenue to Sworn Salaries. (Revenue from the Los Angeles Rams and other special events.) See Bureau of Street Services Section.	1.88
Appropriate reimbursements from the State of California, California 9-1-1 Emergency Communications Branch to the Contractual Services account.	.88
<b>Remaining Year-End Shortfall</b>	<b>\$0.00</b>

### Local Public Safety Fund Revenue Shortfall

The Local Public Safety Fund (LPSF) is a source of funds to both the Police and Fire Departments. An LPSF revenue shortfall of \$1.84 million was previously identified at mid-year. However, the shortfall has declined and is now estimated at \$1.67 million. Budgetary adjustments were taken in the Mid-Year FSR to reduce the LPSF shortfall by approximately \$1.32 million. A remaining shortfall of \$351,000 must now be addressed to offset the negative impact on the General Fund. It was previously assumed that the Fire Department would be able to absorb a portion of the LPSF shortfall, however, due to the magnitude of the Fire Department's year-end expenditure shortfall, the Department does not have sufficient funding to absorb the LPSF shortfall. Therefore, it is recommended that the remaining shortfall of \$351,000 be absorbed by the Police Department, by reducing LPSF appropriations to the Sworn account by \$351,000. This reduction is assumed as part of the \$4.03 million Police shortfall and will be offset by transferring savings from Salaries General account to the Salaries Sworn account in the same amount.

### Revenue

The Department has collected approximately 64-percent of budgeted revenue and is anticipated to meet revised revenue projections. Revenue shortfalls of \$3.03 million are offset by revenue surpluses of \$3.67 million. The most significant projected shortfalls include:

- Excessive False Alarm Fees are \$1.79 million below budget due to the Ordinance authorizing fee increases not being approved until February 2017 and increasing alarm subscriber participation in online alarm school resulting in higher than planned one-time fee waivers. Alarm school teaches residents how to prevent false alarms and allows for one false alarm fee to be waived in the year the class is completed.
- Escheatments are projected to be \$709,794 below budget. The Department has no control over the amount of escheatments and reports that it is on schedule with its transmissions of escheatments to the Office of Finance for final processing.
- Impound Fees are \$525,400 below budget. The Department has no control over the amount of vehicle impound fees.

### Sworn Hiring

Through April 1, 2017, 433 Police Officers have been hired versus 340 planned, with attrition of 357 versus 312 planned; the total number of filled sworn positions is 9,965, including 31 Municipal Police Officers. The Department currently has 35 (out of 10,000) funded sworn vacancies and is anticipating attrition of approximately 86 officers between April 2, 2017, and June 30, 2017. A class of 42 officers began on March 20, 2017 and another class of 38 recruits commenced on April 17, 2017.

### Civilian Hiring

Through April 1, 2017, 299 civilian positions have been hired versus 237 planned, with attrition of 189 versus 134 planned; the total number of filled civilian positions is 2,819, including 242 Detention Officers. The Department has 29 funded civilian vacancies remaining to be filled as authorized by the civilian hiring plan approved as part of the 2016-17 Adopted Budget. A class of 30 Detention Officers (DO) started on October 31, 2016. The Department is currently conducting background investigations to fill all remaining DO vacancies by June 2017.

### Redeployment of Officers from Detention to Field Assignments

The 2016-17 Adopted Budget assumed 64 Police Officers (POs) would be redeployed from the jails as Detention Officers (DOs) were hired and trained throughout the year. The Department reports the following progress toward this goal:

<b>Table 6. Officer Redeployment</b>							
<b>Position Class</b>	<b>Hired</b>	<b>Plan to Hire</b>	<b>Annual Attrition</b>	<b>Redeploy to Date</b>	<b>Redeploy 2016-17</b>	<b>Redeploy July 2017</b>	<b>Total</b>
Detention Officer	52	32	(19)	N/A	N/A	N/A	65
Police Officer	N/A	N/A	N/A	19	22	32	73

A class of 25 DOs began on July 25, 2016 and another class of 27 started on October 31, 2016. A third class of 35 is planned for June 2017. The previous hire date of April 2017 was amended due to the addition of other public safety hiring (i.e., Police Service Representatives, Property Officers, and Security Officers). The Department redeployed 19 POs on October 30, 2016 and another 22 on February 19, 2017. The Department anticipates the redeployment of the remaining POs in July 2017 once the third class completes training, including nine Police Officers above the number anticipated in the 2016-17 Adopted Budget.

### Supplemental Law Enforcement Services Fund

Funds in the Supplemental Law Enforcement Services Fund (SLESF) are provided by the State of California Citizen's Option for Public Safety (COPS) program and distributed through Los Angeles County. SLESF funds are provided for direct support of front-line municipal police services. Although SLESF is solely used to fund the Police Department, this fund is administered by the Mayor's Office. To-date, the Fund has a cash balance of \$17.7 million.

The 2017-18 Adopted Budget assumes that \$6,012,719.68 in prior year unexpended funds will be reappropriated to 2017-18 to fund specified items in the Police Department's 2017-18 Budget. Council and Mayor approval of this reappropriation is now required to effectuate this action.

The following transactions are recommended at this time:

- Offset the Local Public Safety Fund (LPSF) remaining shortfall as follows: 1) Reduce appropriations to the Police Sworn Salaries account from the LPSF by \$350,000; and, 2) offset the reduction in the Sworn Salaries account by transferring \$350,000 (General Fund) from the Salaries General account to the Sworn Salaries account.
- Transfer funds from the Salaries General (\$572,158), Salaries As-Needed (\$50,000), and Overtime General (\$100,000) accounts to partially offset the deficit in the Sworn Salaries account.
- Recognize \$882,554 in unbudgeted revenue from the State of California, California 9-1-1 Emergency Communications Branch within Police Fund No. 100/70, Reimbursement of Expenditures Revenue Source Code, and appropriate \$882,554 therefrom to the Police Contractual Services account for the cost of maintaining the 9-1-1 Center telephone cabling and other critical infrastructure.
- Transfer \$100,000 from the Standards and Training for Corrections Fund to the Police Department's Overtime General account to provide deployment backfill of personnel attending Standards and Training for Correction classes.

#### *2017-18 General Fund Reappropriations*

- Reappropriate up to \$882,554 from the Contractual Services account to 2017-18 for the cost of maintaining the 9-1-1 Center telephone cabling and other critical infrastructure.

#### *2017-18 Transfers*

- Transfer \$6,012,719.68 from various prior years' unexpended accounts in the Supplemental Law Enforcement Services Fund to 2017-18, as specified in Attachment 17 of the 2016-17 Year-End Financial Status Report, to fund front line police services in the 2017-18 Adopted Budget.

Also see the Bureau of Street Services Section for the transfer of \$1.88 million in special event reimbursements to the Police Department's Sworn Salaries account to offset the remaining deficit in that account. This includes \$1.18 million in reimbursements from the Los Angeles Rams football team as well as other special event revenue.

#### **BB. Public Works/Board**

##### **Attachment 6 – Transfers between Departments and Funds**

##### **Attachment 7 – Appropriations from the Unappropriated Balance**

The previously reported General Fund deficit of \$92,139 for the Board has decreased to \$31,450. Special fund shortfalls are also projected in the Salaries General account attributed to the Stormwater Pollution Abatement (SPA) Fund and the Citywide Recycling Trust Fund (CRTF) which are fully addressed in this report. Further, the General Fund deficit is attributed primarily to

a one-time General Fund salary reduction and an increase in the General Fund salary savings rate (i.e., the equivalent of \$444,935 in General Fund salary reductions). There is also an impact from unanticipated retirement payouts. Although the Board has taken steps to reduce the deficit, a supplemental General Fund appropriation of \$31,450 is now required.

General Fund receipts through mid-April total \$3.7 million, which represents approximately 67 percent of its total revenue target of \$5.5 million. The Board anticipates meeting all General Fund revenue targets through year-end.

The following transactions are recommended at this time:

- Transfer \$31,450 from the Unappropriated Balance, 2016-17 Budgetary Shortfalls account to the Board's Salaries General account to fully offset the projected year-end shortfall.
- Transfer \$50,000 from the UB, Public Works Trust Fund Nexus Study account to the Board's Contractual Services account. The 2016-17 Budget provides \$100,000 for a Public Works Trust Fund (PWTF) Nexus Study in order to identify the original use and purpose of deposits within the PWTF and assess alternatives to develop a Nexus Policy. Of the \$100,000 budgeted, \$50,000 is included in the Bureau's operating budget and \$50,000 is in the UB, Public Works Trust Fund Nexus Study account, pending results of a Request for Proposals (RFP). Pursuant to an RFP released by the Board in February 2017, one responsive bid has been received. Therefore, it is recommended that \$50,000 be transferred from the UB to the Board's Contractual Services account in order to award the contract. The total contract cost is estimated at \$135,000. The amount of \$60,000 was included in the 2017-18 Contractual Services account to offset the budget gap.
- Transfer \$20,050 from the Bureau of Sanitation's Salaries General account (SPA) to the Board's Salaries General account to fully offset the projected year-end SPA shortfall.
- Transfer \$4,755 from the Bureau of Sanitation's Salaries General account (CRTF) to the Board's Salaries General account to fully offset the projected year-end CRTF shortfall.

**CC. Public Works/Bureau of Contract Administration**  
**Attachment 8 – Appropriations to the UB, 2016-17 Budgetary Shortfalls Account**

The Bureau of Contract Administration is projected to have a year-end surplus of \$4.8 million (\$3.6 million in General Fund and \$1.2 million in Special Funds), primarily attributed to salary savings from existing vacancies, as well as a projected increase in workload on wastewater projects. The surplus does not include \$859,219 from off-budget sources that the Bureau anticipates receiving. The composition of the Bureau's year-end surplus may change as work plans are refined and interim appropriations from bond funded and special projects are approved. Since related costs are based on actual hours worked, a reduction in work on special funded projects could impact the General Fund revenue generated from related costs. It is recommended

that a portion of the General Fund surplus (\$2.3 million) be used to offset the Citywide year-end shortfall, this reduces the General Fund surplus from \$3.6 million to \$1.3 million.

The Bureau has received approximately 68 percent of General Fund and 71 percent of Special Funds budgeted receipts to date, \$15,596,686 and \$8,842,625 respectively. The Bureau anticipates meeting the revised revenue budget of \$22.8 million.

The following transaction is recommended:

- Transfer \$2,310,952.2 from the Bureau of Contract Administration Salaries General account to the UB, 2016-17 Budgetary Shortfalls account to partially offset the Citywide year-end shortfall.

#### **DD. Public Works/Bureau of Engineering**

##### **Attachment 6 – Transfers between Departments and Funds**

##### **Recommendation No. 19**

The Bureau is projecting a \$4.5 million year-end surplus, higher than the \$4.1 million estimate reported in the Mid-Year FSR. The surplus is mainly attributable to projected special fund vacancies, particularly in the Sewer Capital Fund and the Proposition C Anti-Gridlock Transit Fund. Of a total of 98 vacancies as of March 2017, approximately 69 are special funded.

General Fund receipts through the end of March totaled \$27 million, which represents 64 percent of the Bureau's total Adopted Revenue Budget of \$42 million or 60 percent of the Bureau's Revised Revenue Budget of \$44.5 million. The Bureau is projecting year-end revenue at about \$42 million which is \$2.5 million less than revised revenue. To date, the Bureau has collected \$14.9 million in development fees, which represents 81 percent of its \$18.3 million revenue target.

The Bureau has collected \$11.9 million in related cost reimbursements through the end of March 2017, which represents 51 percent of its \$23.7 million revenue target. It is anticipated that these revenues will come in on target through year-end as related costs revenues are transferred during the latter half of the year when actual salary costs have been determined.

The following transactions are recommended:

- Transfer of \$133,100 from the Bureau's Salaries General account to a new account in the Engineering Special Services Fund for the purchase of replacement survey equipment to support projects from the Clean Water Infrastructure program.
- Transfer reimbursements for the Bureau of Engineering in the amount of \$342,203.69 from the Subventions and Grants Fund to the Bureau of Engineering Fund, Revenue Source Code 5301, Reimbursements from Other Funds, to capture project reimbursements.

#### ***MICLA***

- Transfer \$287,682 in MICLA funding for Bureau of Engineering staffing costs and related costs associated with the Los Angeles Convention Center Expansion project. On March 2, 2016, the Council approved up to \$500,000 in MICLA authorization for BOE staffing costs related to the Environmental Impact Report and design project components of the Los Angeles Convention Center Expansion project (CF 14-1383).
- Transfer \$273,642 in MICLA funding to BOE upon verification of final labor amounts for BOE staffing costs and related costs associated with the installation of a roof top solar array at the Los Angeles Convention Center. The 2015-16 and 2016-17 Adopted Budgets approved MICLA authorization in an aggregate amount of \$8 million for the installation of the solar array at the Los Angeles Convention Center.

#### **EE. Public Works/Bureau of Sanitation**

##### **Attachment 5 – Transfers between Accounts within Departments and Funds**

The previously reported \$5.27 million net year-end surplus has increased to \$10.68 million (special fund) as a result of transfers approved in the Mid-Year FSR and various Council actions to address the previously reported \$2.20 million General Fund deficit attributed to the Bureau's Clean Streets Los Angeles Program. The previously General Fund deficit has been addressed and a majority of the projected year-end surplus is attributed to special fund vacancies.

Since the last FSR, the Bureau has reduced its vacancy rate by 0.9 percent, from 15.2 percent to 14.3 percent, and has increased its workforce by 28 employees, from 2,572 to 2,600 employees. In addition, it is anticipated that during the last quarter of the year, the Bureau will continue to transition part-time Refuse Collection Truck Operators and Maintenance Laborers to full-time regular employees and hire Environmental Compliance Inspectors as the eligible list was recently promulgated, further increasing its workforce numbers and reducing as-needed staffing expenditures.

The following transactions are recommended:

- Transfer \$20,000 from the Field Equipment Expense account within the Stormwater Pollution Abatement (SPA) Fund to the Contractual Services account to cover wireless expenses for the remainder of the fiscal year.
- Transfer \$35,000 from the Salaries General account within the SPA Fund to the Hiring Hall Salaries (\$20,000) and Benefits Hiring Hall (\$15,000) accounts to cover salaries and benefits of Hiring Hall Electricians that serviced the Low Flow Diversion System in Santa Monica, which diverts stormwater runoff from City streets and canyons to the Hyperion Water Reclamation Plant.

- Transfer \$15,000 from the Salaries General account within the Citywide Recycling Trust Fund (CRTF) to the Overtime General account to address a projected deficit caused by increased use of overtime to maintain current service levels.
- Transfer \$20,000 from the Salaries General account within the Used Oil (UO) Fund to the Salaries As-Needed (\$19,000) and Overtime General (\$1,000) accounts to address a projected deficit caused by increased use of as-needed staffing and overtime to maintain current service levels.
- Transfer \$7,000 from the Uniforms Account within the Sewer Operations and Maintenance Fund (SCMO) to the Office and Administrative Expenses account to address a projected deficit.
- Transfer \$200,000 from the Salaries General account within the Landfill Maintenance Special Fund (LMSF) to the Salaries As-Needed Account to address a projected deficit caused by increased use of as-needed staffing to maintain current service levels.
- Transfer \$10,000 from the Salaries General account within the Multi-Family Bulky Item Fund (MFBI) to the Salaries As-Needed Account to address a projected deficit caused by increased use of as-needed staffing to maintain current service levels.
- Transfer \$50,000 from the Printing and Binding account within the Household Hazardous Waste Fund (HHWF) to the Salaries As-Needed account to address a projected deficit caused by increased use of as-needed staffing to maintain current service levels.
- Transfer \$694,000 from the Hiring Hall Salaries (\$500,000) and Benefits Hiring Hall (\$194,000) accounts within the Solid Waste Resources Revenue Fund (SWRRF) to the Salaries General account to address a projected salary deficit.
- Transfer \$80,000 from the Operating Supplies (\$60,000) and Uniforms (\$20,000) accounts within SWRRF to the Salaries As-Needed Account to address a projected deficit caused by increased use of as-needed staffing to maintain current service levels.
- Transfer \$8,000 from the Overtime General account with the General Fund (GF) to the Transportation Account to cover mileage expenses for inspectors assigned to the Clean Streets Los Angeles Program.
- Transfer \$130,000 from the Salaries As-Needed account within the General Fund to the Salaries General account to address a projected salary deficit.
- Transfer \$150,000 from the Salaries General account within the SCMO to the Salaries General Account within the Sewer Capital Fund (SCMC) to address a projected salary deficit.

- Transfer \$100,000 from the Salaries General account within CRTF to the Overtime General Account within SWRRF to address a projected deficit caused by increased use of overtime to maintain current service levels.

#### Revenue

Approximately 70 percent of General Fund revenue has been received to date. This Office anticipates that the Bureau will meet its overall General Fund revenue target by year-end.

Revenues for both the Solid Waste Fee and Sewer Service Charge are expected to meet their respective revenue targets by year-end.

Also see the Lugo Fire Section of this report for a discussion of an appropriation (\$0.07 million) to the Operating Supplies account.

#### **FF. Public Works/Bureau of Street Lighting**

##### **Attachment 6 – Transfers between Departments and Funds**

##### **Attachment 7 – Appropriations from the Unappropriated Balance**

The Bureau continues to project a \$103,850 year-end General Fund deficit due to the purchase and installation of 30 electric vehicle (EV) charging stations. A year-end special fund surplus of \$6.3 million is projected mainly in special fund salaries (\$4.5 million). This special fund surplus is due to salary savings from vacancies and decreased use of Hiring Hall employees. An appropriation is recommended from the UB, Electric Vehicle Charging Stations account to offset the year-end shortfall.

The Bureau has received 50 percent of General Fund and 60 percent of its special fund budgeted receipts to date. The largest portion of special fund receipts comes from maintenance assessments, which are distributed in December and May. The majority of General Fund receipts are received in the last half of the fiscal year. Related Cost reimbursements to the General Fund from the Street Lighting Maintenance Assessment Fund are typically transferred twice a year, in January and June, subsequent to assessment receipts on property tax bills. The Bureau expects to meet its revised revenue budget of \$9.2 million.

#### EV Charging Stations

As part of the 2016-17 First FSR actions, the Council instructed GSD and the Bureau of Engineering, with the assistance of the Bureau of Street Lighting (BSL) (and other impacted departments), to report back on a Citywide EV Charging Station Implementation Plan, including the reimbursement of EV expenditures already incurred by BSL. This report was released on March 1 2017 and is pending hearing in various Council Committees (C.F. 14-0079-S2). This Office recommends that any new proposals to purchase and install new EV charging stations be addressed in the context of that report. However, since the Bureau's General Fund shortfall is attributed to the need to reimburse the Street Lighting Maintenance Assessment Fund (SLMAF) for its front funding for the purchase and installation of 30 EV charging stations in 2015-16, it is recommended that these costs be addressed in this FSR. Funds are available in the UB, Electric Vehicle Charging Stations account to offset this shortfall. As a result, it is recommended that

\$103,850 be appropriated from the UB, Electric Vehicle Charging Stations account to the Street Lighting Maintenance Assessment Fund.

It should also be noted that of the \$1 million appropriated to the UB, Electric Vehicle Charging Stations account, only \$370,000 is backed by actual cash and \$630,000 is backed by revenue that will not be realized by year-end. Specifically, it was anticipated that \$630,000 in revenue would be received from DWP EV vouchers. However, these vouchers are expected to be received by the vendor as opposed to the City, with savings to be reflected in the final invoice to the City. Therefore, it is recommended that \$630,000 in appropriations to the UB, Electric Vehicle Charging Stations account be transferred to the UB, Reserve for Unrealized Revenue account, due to insufficient cash. After accounting for the transfer of \$103,850 to BSL, a balance of \$266,150 will remain in the UB account.

The following transactions are recommended:

- Transfer \$18,000 from the Street Banner Revenue Trust Fund to the Bureau of Street Lighting's Salaries General account to cover the Bureau's expenditures resulting from an increase in permit applications for installation of street banners.
- Transfer \$164,000 from the Bureau of Street Lighting's Street Lighting Improvements and Supplies account to the Street Lighting Maintenance Assessment Fund, account to be determined for the addition of cubicles and a conference room at the Bureau's Field Operations Division.
- Transfer \$111,000 from the Bureau of Street Lighting's Street Lighting Improvements and Supplies account to the Street Lighting Maintenance Assessment Fund Fleet Replacement account for the replacement of three vehicles that have reached the end of their useful life.
- Transfer \$103,850 from the UB, Electric Vehicle Charging Stations account to the Street Lighting Maintenance Assessment Fund Reimbursement from Other Funds account to reimburse BSL for the purchase and installation of 30 electric vehicle charging stations.
- Reduce \$630,000 in appropriations to the UB, Electric Vehicle Charging Stations account and increase appropriations to the UB, Reserve for Unrealized Revenue. This action is necessary as previously anticipated revenue from DWP EV vouchers will not be received by the City and there is no revenue to back the appropriation.

#### **GG. Public Works/Bureau of Street Services**

**Attachment 3 – Special Fund Reappropriations**

**Attachment 4 – New Appropriations**

**Attachment 6 – Transfers between Departments and Funds**

A previously reported year-end General Fund shortfall of \$136,239 is no longer projected. Pending approval of the recommendations in this report, it is now anticipated that the Bureau will

have a net year-end surplus of \$9.4 million (\$0.99 million General Fund and \$8.5 million Special Fund) in various accounts and funding sources. This includes a projected net salary savings of approximately \$4.1 million due to vacancies that are financed by various funding sources, as well as attritions and delays in hiring or backfilling of positions. The year-end special fund surplus of approximately \$8.5 million in various accounts is projected to slightly increase by approximately \$0.2 million in anticipation of receiving special fund appropriations and reappropriations requested in this FSR. Also, the General Fund year-end surplus of approximately \$0.99 million is projected to slightly decrease by \$70,000 due to a pending transfer to another department for administrative costs.

General Fund receipts through the end of March total \$10.8 million, which represents approximately 55 percent of revised revenue (\$19.5 million). The Bureau anticipates meeting the General Fund revenue target through year-end.

The following transactions are recommended at this time:

- Appropriate \$327,025.26 from Subventions and Grants Fund to the Bureau as reimbursement for work performed on the Metro Eastside Access Project.
- Reduce 2016-17 appropriations to the Bureau of Street Services Salaries General account from the Community Development Trust Fund by \$8,000 for the Hoover-23<sup>rd</sup> St-Union Ave. Triangle Plaza Project; and, correspondingly increase appropriations to the Bureau of Street Lighting Salaries General account by the same amount for the same purpose.
- Transfer \$70,000 from the Bureau's Salaries General account to the Controller's Salaries As-Needed account as reimbursement for the Controller's Accounting Assistance Program.
- Reimburse a total of \$3,803,865.94 in Special Events costs relative to special events held from June to December 2016 (excluding 68<sup>th</sup> Emmy Awards held on September 10, 2016) from the Subventions and Grants Fund to various City departments and funds.
- Reappropriate \$2,547.39 from the Street Furniture Revenue Fund to the Bureau for Mulholland Drive "dirt" repair services per CF. No 16-0011-S7.

## **HH. Recreation and Parks**

### **No Recommendation**

The Department is projecting a net year-end surplus of approximately \$482,000 mainly due to a surplus in the Salaries General account (\$1.5 million). The ongoing shortages in full-time personnel are a result of a large number of retirements, transfers, and promotions. The majority of the salary surplus will be used to offset projected shortfalls in the Contractual Services account for brush clearance (\$500,000) and fire-life-safety (\$300,000).

The Department is projecting to fall approximately \$207,000 short of its \$46.06 million Revenue Budget. The projected revenue shortfall is mainly due to revenue from In-House Capital Delivery Plan reimbursements caused by shortage of staff. This Office will continue to monitor the Department's revenue to ensure that it meets its revenue target.

Any funds remaining at the year-end will revert to the Department's Unreserved and Undesignated Fund Balance.

## **II. Transportation**

**Attachment 5 – Transfers between Accounts within Departments and Funds**

**Attachment 6 – Transfers between Departments and Funds**

**Attachment 9 – 2017-18 Special Fund Reappropriations**

**Attachment 10 – 2017-18 General Fund Reappropriations**

**Recommendation Nos. 12 through 17**

It is projected that the Department will complete the year within budget. The Department's overall projected year-end surplus is \$7 million, mainly due to a salary surplus of \$4.5 million (\$0.989 General Fund; \$3.6 million Special Fund) across all funding sources and assumes approval of transfers in this FSR.

### **General Fund Revenue**

Approximately \$30.4 million or 58 percent of the Department's revised General Fund Revenue has been received as of April 17, 2017. The adopted revenue budget assumed collection of \$56.3 million. However, revenue has since been revised downward to \$52.1 million. The Department reports that the majority of the receipts are received in the second half of the fiscal year such as related cost billings and other reimbursements owed which are processed by Public Works Accounting. The Department projects year-end revenue at \$48.2 million, which is \$8.1 million less than budget or \$3.9 million less than revised revenue. The shortfall is largely due to on-going special funded vacancies.

Parking Fine revenues were budgeted at \$152 million for 2017-18. However, revenues were subsequently revised to \$140.9 million, which is \$11 million less than the Adopted Budget. Receipts collected through March 2017 are at \$104.4 million and are down by 7.16 percent. This shortfall is due to lower citation issuance, understaffing in both full-time and part-time traffic officers, and an increase in special events which diverts time away from field work.

### **Special Fund Revenue**

No issues are reported at this time.

The following transactions are recommended at this time:

- Transfer \$5,150 from Salaries Overtime account (Special Gas Tax Street Improvement Fund) to the Salaries As-Needed account to cover projected shortfalls.

- Transfer \$94,200 from the Special Gas Tax Street Improvement Fund, FY 2015 Transportation account as follows: \$86,100 to the Salaries General account and \$8,100 to the Overtime General account to offset labor charges resulting from the installation of a Qwick Curb at Nordhoff Street and Orion Avenue and the installation of a crosswalk lighting system at Roscoe Boulevard and Jason Avenue (i.e., to address Street Furniture Fund shortfalls).
- Transfer \$20,000 from the Salaries General account (Expedited Permit Fee Fund) to the Overtime General account, to cover projected year-end shortfalls.
- Transfer \$61,017 from the Salaries General account (General Fund) to the General Services Department to support the salaries, construction materials, and supplies for the replacement of carpet and interior painting at the LA Mall Adjudication Office.
- Transfer \$150,000 from surpluses in the Salaries General account (General Fund) to the Salaries As-Needed account to address the projected year-end shortfalls due to the recent hiring of student professional workers, community administrative support workers, and administrative hearing examiners.
- Transfer \$369,970.02 within the Transportation Trust Fund from the FY 2016 Transportation account to the FY 2017 Transportation account to pay for salaries associated with enhanced traffic management services at congested intersections in the City; and, subsequently transfer \$256,400 to the Overtime General (\$252,000) and the Salaries General (\$4,400) accounts as reimbursement to offset projected year-end shortfalls. These funds are from various sources, including Council Districts that pay for Traffic Officers at Key Intersections (TOKI) programs, and the departments of Recreation and Parks and Water and Power.
- Transfer and appropriate \$718,704.88 from the Transportation Trust Fund, Special Events Revenue Source Code, to the Overtime General account as reimbursement for traffic control services provided for various venues from January to March 2017.
- Transfer and appropriate a total of \$13,509.93 from the Transportation Trust Fund, Miscellaneous Revenue Source Code, to the Overtime General account to reimburse traffic control support for the Southern California Gas Company at San Fernando Road and Balboa project and for traffic control support at the intersections of Wilshire and Sepulveda Boulevard in Council District No. 5 (C.F. 17-0011-S2).
- Transfer \$500,000 from the Measure R Local Return Fund, Contractual Services account and increase appropriations to the Measure R Local Return Fund, Vision Zero account from \$180,000 to \$680,000 for the continuation of community outreach and campaign messaging.
- Transfer \$90,500 from the Salaries General account to the Salaries As-Need (\$14,000),

and the Salaries Overtime (\$76,500) accounts to address projected year-end shortfalls (Measure R Local Return Fund).

- Transfer and appropriate \$150,000 from the Measure R Local Return Fund, Pedestrian Plan/Program account to the General Overtime account to support labor charges associated with the Vision Zero Program.
- Transfer and appropriate \$15,000 from the Salaries General account to the Salaries As-needed account to support projected year-end shortfalls for recently hired staff to the support the Shared Mobility Division (Mobile Source Fund).
- Transfer and appropriate \$150,000 from the Salaries Overtime account (Mobile Source Fund) to the Salaries Overtime account (Local Transportation Fund) to support projected year-end labor charges associated with CicLAvia events.
- Transfer \$60,000 from the Contractual Services account (Mobile Source Fund) to the Mobile Source Fund, Carshare Bikeshare Professional Services account. This action will support the on-going work relative to the study of mobility options and how they can be utilized to achieve the Mobility Plan goals.
- Transfer and appropriate \$268,565.52 from the Local Transportation Fund, Bikelanes/Cycle Track account to the Local Transportation Fund, Salaries General account to address the projected year-end shortfalls for labor charges against these projects.
- Transfer and appropriate \$60,000 from the Proposition C - Transportation Grant Fund, Salaries Overtime account to the Salaries General account to support projected year-end shortfalls for Transportation Grant Funded projects.
- Transfer and appropriate \$42,000 from the Proposition C, Salaries General account to the Proposition C - Metro Rail, Salaries General account to support projected year-end shortfalls.
- Transfer and appropriate \$300,000 from surpluses in the Proposition C, Salaries General account to the Special Gas Tax Street Improvement Fund, Salaries General account to address projected year-end shortfalls.
- Transfer and appropriate \$1,500 from the Warner Center Fund, Salaries General account to the Salaries Overtime account to support projected year-end shortfalls.
- Transfer and appropriate \$1,000 from the Transportation Regulation and Enforcement Fund, Salaries Overtime account to the Salaries General account to support projected year-end shortfalls.
- Transfer and appropriate \$35,000 from the General Fund, Salaries General account to the

Traffic Safety Fund, Salaries General account to support projected year-end shortfalls in the Crossing Guard Program.

- Transfer \$659,816.32 in accumulated interest from the FY 2016 and FY 2017 Transportation Grant fund to the Proposition C Fund per the 2016-17 Budget.
- Appropriate \$5,714,843.16 within the Transportation Grant Fund (TGF) entitled Grant Reimbursements to Proposition C, and subsequently transfer these funds to the Proposition C Fund, Transportation Grant Fund Salary Reimbursements Revenue Source. This will reimburse TGF positions that were front-funded by the 2016-17 Proposition C Anti-Gridlock Fund Adopted Budget.
- Authorize a new appropriation of \$4,662,610.95 within the Transportation Grant Fund (TGF) entitled Grant Reimbursement to the General Fund, and subsequently transfer these funds to the General Fund for related costs reimbursements received from grantors in 2015-16 for various Departments.
- Authorize a new appropriation of \$2,573,943.27 within the Transportation Grant Fund (TGF) entitled Grant Reimbursement to the General Fund, and subsequently transfer these funds to the General Fund for related costs reimbursements received from grantors in FY 2016-17 for various Departments.
- Authorize a new appropriation of \$121,420.64 within the Measure R Local Return Fund entitled Grant Reimbursements to the General Fund, and subsequently transfer these funds to the General Fund for Bureau of Street Services related costs reimbursements received from grantors in FY 2015-16.
- Deobligate any remaining grant funds (i.e., return remaining funds to grantor) within the Exposition Park Traffic Circulation Improvement Project account as the project is no longer feasible. The preliminary design proposal overlaps or is in conflict with other project developments and is not in alignment with the 2035 Mobility Plan and Vision Zero. The grant was awarded through the Metro 2009 Call for Projects in the amount of \$2.9 million.
- Deobligate any remaining grant funds (i.e., return remaining funds to grantor) within the De Soto Avenue Widening – Ronald Reagan (SR-118) Freeway to Devonshire Street Project account as the project is no longer feasible due to unforeseen design issues and right of way acquisitions which would increase the project costs from \$11.5 million to \$21.9 million. The grant was awarded through the Metro 2009 Call for Projects in the amount of \$7.4 million.

#### *2017-18 General Fund Reappropriations*

- Reappropriate \$783,413 from various General Fund accounts to the same accounts in 2017-18 as follows: 1) \$120,000 from the Transportation Equipment account for the

purchase of two vehicles for the Bandit Taxicab Enforcement Program which will replace two aged vehicles used in sting operations; 2) \$313,413 from the Contractual Services account to repair rain damage at the Valley Parking Enforcement office; and, 3) \$350,000 from the Contractual Services account to support the creation of a grants and project management system. These items were authorized in the current year, however, the funds cannot be encumbered by year-end.

#### *2017-18 Special Fund Reappropriations*

- Reappropriate \$138,797 from the Measure R Local Return Fund, Transportation Equipment account to the same account for Fiscal Year 2017-18. A total of \$350,000 was authorized for the purchase of one detail thermoplastic pre-melter truck to support street maintenance and safety improvement projects for the Vision Zero Program. The Department has encumbered the funds to purchase the truck as authorized and is requesting authority to reprogram the remaining funds to purchase striping equipment which may be used for this Program. However, the Department does not anticipate completing the purchase by the year-end deadline.
- Reappropriate \$342,000 from the Proposition C, Transportation Equipment account to the same account for 2017-18, for the purchase of vehicles and field equipment for traffic loop crews for striping work for the Pavement Preservation Program. The Department does not anticipate completing the purchase by the year-end deadline.

#### **JJ.Zoo**

#### **Attachment 6 – Transfers between Departments and Funds**

#### **Recommendation No. 18**

The Department is projected to end the year within budget. Potential deficits are anticipated in the Salaries, Overtime, Benefits, Hiring Hall, and Animal Feed and Grain accounts. Should these shortfalls materialize, they will be addressed administratively via the Mayor transfer limit.

Revenue from Admissions, Night Time Ticketed Events, Membership, Giraffe Feeding Experience, and Carousel are projected to fall approximately \$356,938 short of budgeted revenue. This shortfall is mitigated by a decreased refund to GLAZA and additional Zoo receipts. As a result, the Department anticipates achieving overall budgeted revenue projections.

The following transactions are recommended:

- Transfer \$10,489 from Salaries General account to the Controller's Salaries As-needed account to reimburse for the cost of one 120-day Accounting Clerk position.
- Transfer \$11,300 from the Salaries General account to the Police Salaries Overtime account to reimburse the Police for overtime costs incurred for LAPD Security Services staff working special events for the Zoo for 2016-17.

- Reappropriate \$146,077 from the Zoo Enterprise Trust Fund to the Zoo's current year Contractual Services account for a Zoo Master Plan consultant contract. The original contract was executed on May 23, 2016 for a one-year term through May 22, 2017 and encumbered against funds in 2015-16. The Department recently amended the agreement to extend the term of the contract an additional year to allow for more time to complete the project scope. The Zoo requests that the balance of the contract in the amount of \$146,077 be reappropriated to allow for the expenditure of the funds through the new term ending May 22, 2018.

## **2. STATUS OF NON-DEPARTMENTAL FUNDS AND SPECIAL ACCOUNTS**

This section addresses the status of non-departmental expenditures and revenues and highlights issues of concern.

### **A. General City Purposes**

**Attachment 5 – Transfers between Accounts within Departments and Funds**

**Attachment 10 – 2017-18 General Fund Reappropriations**

**Recommendation No. 20D**

A net year-end surplus of \$6,262 is projected across the Medicare Contributions, Pensions Savings Plan, and Social Security Contributions accounts in the General City Purposes (GCP) Fund. The net year-end surplus includes a \$446,000 shortfall in the Medicare Contributions account, \$333,690 surplus in the Pensions Savings Plan account, and \$118,572 surplus in the Social Security Contributions account.

The Medicare Contributions shortfall is attributed to the growth in the number of employees subject to Medicare. While the 2016-17 Adopted Budget assumed 36,468 employees subject to Medicare, the average is 37,049 employees as of April 1, 2017. The following transfers are recommended to address the shortfall in the Medicare Contributions account:

The following transactions are recommended:

- Transfer \$330,000 from the Pensions Savings Plan account and \$116,000 from the Social Security Contributions account to the Medicare Contributions account to fully offset the year-end deficit in the Medicare Contributions account.

### *2017-18 General Fund Reappropriations*

- Reappropriate the unencumbered balance remaining in the General City Purposes Fund, in the same amount and into the same account as exists on June 30, 2017 for the accounts as follows: 1) Council District Community Services (various accounts); 2) Cultural, Arts and City Events; and, 3) Community Projects.

- Instruct the City Clerk to place the following action on the July 1, 2017 Council Agenda or shortly thereafter the following action:

Disencumber up to \$88,959 from the General City Purposes, Annual City Audit/Single Audit account (2015-16 funds), revert to the Reserve Fund, and appropriate this same amount to the 2017-18 Annual City Audit/Single account for the annual and single audits for 2016-17. The funds are needed to cover the cost increase for the annual city and single audits which will be performed in 2017-18. The increase is due to contractually obligated consumer price index (CPI) adjustment, the scheduled State of Standards on Attestation Engagements (SSAE) 16 audit of the Information Technology Agency (ITA), and scope expansions for the Cost Allocation Plan (CAP) audit and the SSAE 16 audit.

## **B. Unappropriated Balance**

**Attachment 7 – Appropriations from the Unappropriated Balance**

**Attachment 8 – Appropriations to the Unappropriated Balance, 2016-17 Budgetary Shortfalls**

**Attachment 11A – Status of the Unappropriated Balance – General Accounts**

**Attachment 11B – Status of the Unappropriated Balance – non-General Accounts**

With the approval of the adopted budget, the Unappropriated Balance (UB) began with a balance of approximately \$42.7 million. To date, approximately \$96.8 million has been transferred or reappropriated to the UB, the largest of which is attributed to the reappropriation of the Reserve for Liability Resolution (\$47.9 million) and departmental savings (\$25.9 million). A total of approximately \$120.5 million has been transferred out, leaving a balance of approximately \$19.0 million.

This report includes recommendations to transfer approximately \$7.3 million from savings in the Capital Finance Administration Fund (\$5.0 million) and the Bureau of Contract Administration (\$2.3 million) into the UB, 2016-17 Budgetary Shortfalls account. In addition, a total of \$4.0 million is transferred from the November 2016 General Election (\$3.4 million) and Electrical Vehicle Charging Stations (\$0.6 million) accounts to the Reserve for Unrealized Revenue as anticipated revenues from DWP will not be received.

This report also recommends to appropriate \$10.4 million from the UB to address shortfalls within the City Attorney's Office (\$2.1 million), the Fire Department (\$5.9 million), and the Board of Public Works (\$0.03 million), as well as expenses relative to elections (\$2.1 million), reimbursement to the Street Lighting Maintenance Assessment Fund for electrical vehicle charging stations (\$0.1 million), the Public Works Trust Fund Nexus Study (\$0.05 million), and reimbursement to the Bureau of Sanitation for the Lugo Fire (\$0.07 million). These recommendations result in a net reduction of \$3.1 million, resulting in a balance of \$15.9 million. However, of this amount \$9.8 million is attributed to the Reserve for Unrealized Revenue which is not backed by cash appropriations.

Within the UB General Account, as of April 30, 2017, a net \$26,503 has been appropriated through Council action, reducing the General Account balance from \$50,000 to \$23,497.

Also, see CAO Section for a recommended reappropriation from the UB, Commission on Revenue Generation account.

### **C. Human Resources Benefits**

#### **Attachment 5 – Transfers between Accounts within Departments and Funds**

It is projected that the Human Resources Benefits (HRB) Fund will complete the year within budget with a surplus of about \$3.5 million due to surpluses in the Civilian FLEX Program, Contractual Services, and Unemployment Insurance accounts. However, transfers are recommended within the Fund to address projected shortfalls totaling \$1.6 million. After accounting for all recommendations, the net year-end surplus is reduced to about \$1.9 million.

The surplus and recommended adjustments within the HRB Fund are described below:

- **Civilian FLEX Program:** As previously reported, the healthcare contribution of 1.5 percent of base salary by employees in various MOUs was not realized resulting in a deficit in the Civilian FLEX Program account, which was offset in the Mid-Year FSR. The Personnel Department reports lower than projected enrollment within the healthcare plans since the Mid-Year FSR. As a result, a \$1.9 million surplus is now anticipated in this account which has been assumed as part of the year-end reversions.
- **Contractual Services:** A \$1.4 million surplus is projected in the Contractual Services account due to savings achieved in the Bill Review and Utilization Review contract line item. It is recommended this surplus be transferred to offset the deficits in the Supplemental Civilian Union Benefits (\$650,000) and the Fire Health and Welfare Program (\$750,000) accounts.
- **Supplemental Civilian Union Benefits:** A \$650,000 deficit is projected in this account due to an increase in the cost of providing supplemental benefits as negotiated through employee memoranda of understanding (MOUs) and retroactive payments required by various MOUs. This deficit is fully offset by a transfer from the Contractual Services account.
- **Fire Health and Welfare Program:** A \$750,000 million deficit is projected in the Fire Health and Welfare Program account due to an increase in the City subsidy for Fire health plans as approved in MOU 23. This deficit is fully offset by a transfer from the Contractual Services account.
- **Unemployment Insurance:** An additional \$200,000 surplus is projected in the Unemployment Insurance account due to lower than projected unemployment claims expenditures. It is recommended that the surplus be transferred to offset the deficit in the Employee Assistance Program account.
- **Employee Assistance Program:** A \$200,000 deficit is projected in the Employee Assistance

Program account primarily due to increase in the City contribution to the Employee Assistance Program for members of MOU 23. This deficit is fully offset by a transfer from the Unemployment Insurance account.

The following transactions are recommended:

- Transfer \$650,000 within the HRB Fund, Contractual Services to the Supplemental Union Benefits account to offset the deficit resulting from an increase in the cost of providing supplemental benefits as negotiated through employee MOUs.
- Transfer \$750,000 within the HRB Fund, Contractual Services to the Fire Health and Welfare Program account to offset the deficit resulting from an increase in the City subsidy for Fire health plans.
- Transfer \$200,000 within the HRB Fund, Unemployment Insurance account to the Employee Assistance Program account to offset the deficit resulting primarily from an increase in the City contribution to the Employee Assistance Program for members of MOU 23.

#### **D. Liability Claims Account No Recommendations.**

In the Mid-Year FSR, projected year-end expenditures for the Liability Claims Account were estimated at \$146.65 million. This excluded the \$57.79 million which was transferred from the Unappropriated Balance for specific cases: \$45.79 million for the Ardon Settlement relative to the Telephone Users Tax and \$12 million for the Willits settlement relative to the Americans with Disabilities Act. Excluding the Ardon and Willits settlements, to date, the City has approved \$132.25 million in payouts (actual expenditures plus payments that still need to be processed). A balance of approximately \$14.40 million remains in the Liability Claims Account. No additional supplemental appropriations to the Liability Claims Account are anticipated for the remainder of the fiscal year.

In the Second FSR, this Office, with the assistance of the City Attorney, proposed a potential issuance of Judgment Obligation Bonds (JOB) to finance eligible judgment and settlement payouts. Proceeds of the JOB would be utilized to reimburse the Reserve Fund (or other City Fund) for advances or loans made to pay such judgments and settlements, or to pay for such judgments and settlements directly. On January 18, 2017, the Council approved a recommendation instructing the CAO, with the assistance of the City Attorney, to proceed with a JOB issuance of between \$50 and \$70 million to reimburse the Reserve Fund for extraordinary liability payouts. On April 4, 2017, the Council adopted a resolution to proceed with the issuance of JOBs in an amount not-to-exceed \$60 million and to authorize the City to seek judicial validation of the JOB. The City is currently undergoing the validation process which is anticipated to conclude in July of 2017. As previously instructed by the Council, this Office will report to the

Budget and Finance Committee after the validation process concludes for further consideration on proceeding with the JOB issuance.

Attachment 15 provides a detailed status of the Liability Claims Account by category.

### **3. STATUS OF EMPLOYMENT**

#### **A. Employment Level Report**

##### **Attachment 12- Employment Level Report**

Citywide employment authority from all funding sources totaled 35,314 at the end of March for both civilian and sworn classes. There are 31,496 filled positions at the end of March. Departments reported a total of 3,818 vacant positions: 2,064 General Fund and 1,754 special funded.

#### **B. 120 Day Employees**

##### **Attachment 13-Status of 120 Day Employees**

This Office is required to report the number of retired individuals employed under Charter Section 1164(b). Charter Section 1164(b) authorizes employment of a retired employee to a vacant position in a class in which he or she has been previously employed for a period not to exceed 120 days in any fiscal year, subject to Mayor approval. This is authorized when the retired employee's services are required for an emergency or to prevent stoppage of public business or when his/her special skills are needed to perform work of a limited duration. A total of 321 appointments were authorized as of the end of March, an increase of 20 since the last reporting period. The total approved does not represent the actual number of 120 day employees that will actually come on payroll. For example, in the case of the Controller's Accounting Resource pool, not all individuals on the list will be hired.

#### **C. Status of Positions Adopted in the Budget via Budget Motion**

##### **Attachment 14-Positions Added via Budget Motion**

A total of eight positions were added via Budget Motion to the 2016-17 Budget during the Council's Budget deliberations without funding. Positions were added to the following Departments: City Attorney (4), Cultural Affairs (1), Office of Finance (1), Planning (1), and Transportation (1). All positions are filled except those in the following departments: Cultural Affairs (1), Planning (1), and Transportation (1). The four City Attorney positions were filled in lieu (with lower Classifications) and assigned to other Divisions in addition to the Employment Litigation Division and Office of Workers' Compensation. The Office of Finance position has been filled throughout the year.

## 4. STATUS OF FEE WAIVERS AND SUBSIDIES

### A. Special Event and Convention Center Fee Waivers

The Budget and Finance Committee instructed this Office to periodically report on the number and amount of Council-approved fee waivers (subsidies) associated with special events and with Convention Center meetings and events. The Office of the City Clerk, is responsible for the administration of fee waivers.

The Office of the City Clerk reports that out of available fiscal year funding, 57 waivers have been allocated in the current fiscal year. There is an approximate balance of \$5.0 million for all Citywide special events and Council District fee subsidy accounts, \$3.3 million and \$1.7 million, respectively.

### B. Development Fee and Permit Subsidies

In accordance with the City's Development Fee Subsidy Policy, this Office is required to report on the number and amount of Council-approved development fee subsidies. No subsidies were approved for this reporting period.

## 5. STATUS OF THE CITY'S MICLA COMMERCIAL PAPER PROGRAM

### No Recommendation

The City's Financial Policies instruct the City Administrative Officer (CAO) to periodically report on the status of the Municipal Improvement Corporation of Los Angeles Commercial Paper Programs (MICLA CP).

In November 2015, the Mayor and Council approved a \$110 million MICLA CP Program to be used as temporary financing for capital improvements and capital equipment purchases relating to the Los Angeles Convention Center (LACC) (C.F. 15-1206). As of May 17, 2017, there is \$4 million of taxable CP notes outstanding under the CP Program for LACC at an interest rate of 1.00 percent.

In May 2016, the Mayor and Council approved to expand the Program from \$335 million to \$395 million (C.F. 16-0472). During the past few months, commercial paper notes were issued for construction and improvements of various municipal facilities and acquisition of capital equipment and replacement vehicles for the departments of Fire, General Services, and Police. Below is the status of the MICLA CP Program through May 17, 2017:

Reporting Period	Range of Interest Rates	Amount Outstanding
2/24/17 - 05/17/17	0.68% to 0.97% (tax-exempt)	\$219,700,000
2/24/17 - 05/17/17	0.86% to 1.05% (taxable)	36,800,000
	Total:	\$256,500,000

## **6. STATUS OF GANG REDUCTION AND YOUTH DEVELOPMENT (GRYD) PROGRAM**

### **Attachment 16– Gang Reduction and Youth Development Status Report of Expenditures/Payments**

The following is an update from the Mayor's Office regarding current year Gang Reduction and Youth Development Program spending. This Office was instructed to work with the Mayor's Office to review allocations from all funding sources.

Total funding for the Fiscal Year 2016-17 Gang Reduction Program in the Mayor's Gang Reduction and Youth Development (GRYD) Office is approximately \$29.1 million from the following sources: \$26.1 million from the General Fund, \$861,000 reappropriated from 2015-16 and \$2.2 million from federal and state grants. Of this amount, \$2 million is allocated for GRYD salaries and administration expenses and approximately \$27.1 million for contracted agencies. As of March 31, 2016, total expenditures were \$9.4 million and encumbrances were \$18 million.

Attachment 16 outlines the distribution of funds to each of the GRYD contracted agencies for 2016-17. The GRYD Office is currently comprised of 23 positions as of March 31, 2017: Nine staff members provide administrative/fiscal management and Fourteen (14) staff members provide program development and implementation including Summer Night Lights, Gun Buy Back, Prevention and Intervention service coordination in GRYD Zones and Secondary Areas; also targeted efforts for the Watts Regional Strategy and Rampart Regional Strategy. Additional services are currently provided by three contractors on research, evaluation and data reporting. Funds allocated for the GRYD Office, administration and program staff consists of \$2 million in General Funds. Salary expenditures for the period July 1, 2016 to March 31, 2017 total \$1,110,527 (General Fund - \$977,227; Grant Funds - \$133,300).

## **7. LUGO STREET FIRE AND CLEAN UP**

### **Attachment 7 – Appropriations from the Unappropriated Balance**

In the First FSR we reported a fire that broke out at 2900 E. Lugo Street in Council District 14 at a demolition debris facility managed by Clean Up America, Inc. Since clean-up required the removal of approximately 7,000 tons of debris, the Fire Department requested the assistance of the Bureau of Sanitation. The amount of \$1.3 million was subsequently transferred in the Mid-Year FSR to the Bureau's Overtime General account, to reimburse the Solid Waste Resources Fund for the debris remediation. This Office has reviewed the remaining \$74,542 in invoices and recommends that this amount be transferred from the UB, 2016-17 Budgetary Shortfalls account to the Bureau of Sanitation Operating Supplies account for the same purpose. If approved, this will result in total current-year reimbursements of \$1,374,542 from the General Fund to the Bureau, which is less than the \$2 million previously assumed in the Mid-Year FSR. This is expected to be the final General Fund reimbursement to the Bureau of Sanitation in connection to the clean-up at this site.

The City Council previously instructed the City to seek reimbursement from the appropriate entity for all costs associated with the removal of debris from this property and report back to the Budget and Finance Committee. The Office of the City Attorney is continuing to pursue the matter through the courts and the time frame for resolution is unknown. The City Attorney will provide a status report on this matter in closed session at the appropriate time.

## **8. STATE BUDGET UPDATE**

### **No Recommendation**

On May 11, Governor Brown released his revised 2017-18 state budget proposal. The May Revision increases the tax revenue forecast by \$2.5 billion, reflecting higher personal income tax and corporation tax receipts as well as lower sales tax revenues.

The Governor continues to assume moderate economic growth and no major changes in federal policy. However, due to uncertainty about congressional and presidential policy actions and anticipation of a recession in the near future, the revised budget builds the state's reserves. With a balance of \$10.1 billion by the end of 2017-18, the Budget Stabilization Account is \$701 million higher than the Governor's January proposal.

The Governor's proposed budget included several solutions to address the \$1.6 billion deficit that was projected in January. This included a downward adjustment to Proposition 98 spending on schools and community colleges and an implementation delay to scheduled child care provider rate increases. Due to the increase in projected revenue, the May Revision restores \$1.1 billion in Proposition 98 funding and \$500 million in child care provider funding.

While the revised budget undoes some budget balancing solutions proposed in January, it maintains a number of other actions, including but not limited to the elimination of \$400 million in affordable housing funding, the cancellation of a \$300 million scheduled transfer for the construction and maintenance of state office buildings, and the elimination of \$9.5 million in funding for the California Gang Reduction, Intervention, and Prevention Program (CalGRIP) which provides local grants to reduce gang and youth violence. For calendar year 2016, the City received a \$1 million CalGRIP award for the performance period of January 1, 2016 through December 31, 2016.

Highlights of the May Revision, as summarized by the League of California Cities and the State Legislative Analyst's Office, are listed below:

- Proposes a \$6 billion supplemental payment to reduce the California Public Employees' Retirement System unfunded liability through a loan from the Surplus Money Investment Fund. The action will double the state's annual payment for this year and is projected to save the state \$11 billion over 20 years.
- Increases funding for the Local Control Funding Formula for K-12 education by \$661 million, for a total increase of \$1.4 billion in 2017-18.

- Provides California Community Colleges an additional \$160 million, for a total of \$184 million, to support increased operating expenses.
- Following the end of the drought state of emergency in April 2017, the May Revise reduces funding for drought activities from \$178.7 million to \$62.9 million.
- Appropriates an additional \$43.2 million for cannabis related activities, for a total of \$94.6 million in 2017-18. This includes \$48.9 million for licensing, permitting and enforcement; \$27.8 million for laboratory testing and information technology, such as track and trace and licensing systems; and \$17.9 million for administration and oversight.
- Provides \$42.3 million to the Department of Forestry and Fire Protection to expand the state's firefighting capabilities and extend the fire season.

*Senate Bill 1 (Road Repair and Accountability Act of 2017)*

- Provides \$1.4 billion for state allocations and \$1.4 billion for local allocations, including \$445 million for local streets and roads; \$330 million for the Transit and Intercity Rail Capital Program; \$305 million for State Transit Assistance; \$200 million for the Local Partnership Program; \$100 million for the Active Transportation Program; and, \$25 million for Local Planning Grants.
- California City Finance estimates that the City will be eligible to receive approximately \$27.8 million in 2017-18 and approximately \$73.8 million in 2018-19.
- The legislation has a maintenance of effort requirement that will require that Senate Bill 1 funds be used to enhance not supplement the existing City budget and capital program.
- The City may be required to submit a list of capital projects approved by the City Council to the State in order to receive SB1 funds.
- Details regarding the procedure for receipt and use of funds are pending from the State of California. Additional information will be provided as more details become available.
- Signatures are being gathered to place a voter initiative on the ballot to allow voters the opportunity to overturn SB1.

The legislature is holding hearings to consider the May Revision. The constitutional deadline for the legislature to send the Governor a budget to be signed is June 15. This Office will continue to monitor the state budget and will provide updates as necessary.

## **9. 2016-17 HOMELESSNESS BUDGET STATUS**

### **No Recommendation**

The 2016-17 Homeless Budget includes appropriations for both the Los Angeles Homeless Services Authority (LAHSA) and City departments. In May, LAHSA reported that its projected amount of unexpended funding during 2016-17 would be \$6.1 million, and these funds were reallocated through the budget process to be used as bridge funding during the first three months of 2017-18 for LAHSA programs awaiting an award of Measure H funding (CF 17-0600). LAHSA reports no additional 2016-17 savings are anticipated. As of mid-May, departments anticipate spending approximately 96 percent of the \$21 million allocated to them in the 2016-17 Budget, with most of the remaining balance identified within special-funded line items such as LA RISE where funding will roll over to 2017-18.

## **10. EXEMPTIONS FROM GENERAL FUND ENCUMBRANCE POLICY**

### **Attachment 18– General Fund Encumbrance Policy Exemptions-FMS**

Under the General Fund Encumbrance Policy adopted by the City Council, any Financial Management System (FMS) and Supply Management System (SMS) encumbered funds that remain unspent for a period longer than one fiscal year shall be disencumbered and reverted every fiscal year. Funds for capital projects are exempt from this policy. Pursuant to this Policy, the Office of the Controller and the General Services Department will automatically disencumber any FMS and SMS prior year encumbrances from 2015-16 and earlier.

The General Services Department coordinated with departments relative to prior year SMS encumbrances. A total of \$1,091,459.12 was exempted from the Prior Year Encumbrance Policy due to outstanding obligations/liabilities and/or contingent liabilities.

Recommendations are included in this report to exempt certain FMS encumbrances from this process based on the following conditions: 1) a legal obligation/liability exists (goods and services must have been provided), but not yet paid); 2) a contingent liability exists (likely to become liabilities as a result of conditions undetermined at a given date, such as unsettled disputed claims, uncompleted contracts, and pending lawsuits); or, 3) a legislative appropriation for a specific project cannot be completed within the allowable time frame.

The following transactions are recommended:

- Exempt up to \$40,601,016.87 in FMS prior-year encumbrances (various) from the General Fund Encumbrance Policy as per Attachment 18, with the final amounts to reflect the most current encumbrance balance as of June 30, 2016.

Maria del Refugio Gutierrez  
Maria del Refugio Gutierrez, Finance Specialist

APPROVED:



Ben Ceja, Assistant City Administrative Officer

RHL:BC:JWW:MDG: 1170072c

Attachments

## Attachment 1A

### 2016-17 Adopted and Revised General Fund Revenue Budgets and Receipts through April

(Thousand Dollars)

	Adopted Budget	Revised Budget	Receipts	Adopted Plan	Adopted Plan Variance	Revised Plan	Revised Plan Variance	Comments
Property Tax	\$1,786,069	\$1,794,280	1,417,374	\$1,463,138	(\$45,764)	\$1,416,755	\$619	Shortfall due to early receipt of secured property tax revenue last fiscal year, higher refunds and lower growth in unsecured and supplemental property tax. Higher May remittances (not shown) have eliminated shortfall.
Property Tax Ex-CRA Inc.	54,594	98,283	49,223	10,614	38,609	49,223	-	Surplus reflects October and January receipts from property sales not included in the adopted budget.
Licenses, Permits, Fees and Fines	923,482	898,591	612,275	646,069	(33,794)	603,600	8,675	Shortfall reflects lower related cost reimbursements from unfilled special-funded positions. The recovery of related cost reimbursements for as-needed staffing has lessened the impact.
Utility Users' Tax	634,000	631,489	525,057	532,300	(7,243)	527,990	(2,933)	Shortfall due to lower electricity users tax revenue offset by higher gas users and communication users taxes receipts.
Sales Tax	520,020	520,020	427,035	427,030	5	427,030	5	Receipts are at plan.
Business Tax	502,300	517,000	490,199	473,700	16,499	488,510	1,689	Receipts are well above plan.
Power Revenue Transfer	291,000	264,427	176,285	194,000	(17,715)	176,285	-	Shortfall reflects adopted transfer amount (C.F. 16-1419)
Transient Occupancy Tax	246,569	264,000	215,013	202,929	12,084	216,480	(1,467)	Current surplus reflects the receipt of TOT collected and remitted by Airbnb.
Documentary Transfer Tax	202,184	211,697	175,089	166,340	8,749	176,322	(1,233)	Current surplus due to higher December remittance corresponding to sales made in anticipation of changing mortgage market.
Parking Fines	152,000	140,900	116,786	126,700	(9,914)	116,447	339	Parking revenue will end the year below budget due to unfilled traffic officer positions.
Parking Users' Tax	111,000	110,000	88,958	92,500	(3,542)	91,500	(2,542)	Monthly receipts are variable. Average receipts below plan.
Franchise Income	42,180	42,721	32,942	29,418	3,524	32,322	620	Taxicab and cable television franchise fees are below plan. Surplus attributed to pipeline revenue that has not been transferred from General Fund.
Special Parking Revenue Transfer	28,342	28,342	-	-	-	-	-	
Grant Receipts	12,057	17,690	5,972	7,500	(1,528)	5,990	(18)	Most grant revenue is received at the end of year.
Tobacco Settlement	9,106	9,172	9,174	9,106	68	9,172	2	This is the final number.
Residential Development Tax	4,740	4,740	4,442	3,925	517	3,925	517	Monthly receipts are variable.
State Motor Vehicle License Fees	1,597	1,806	1,806	1,597	209	1,806	-	This is the final number.
Subtotal General Fund	<u>\$5,521,239</u>	<u>\$5,555,157</u>	<u>\$4,347,629</u>	<u>\$4,388,454</u>	<u>(\$40,825)</u>	<u>\$4,343,356</u>	<u>\$4,272</u>	
Interest Income	19,700	20,206	13,805	16,350	(2,545)	18,687	(4,882)	Receipts vary monthly. Variance primarily owed to special funds.
Transfer from Reserve Fund	35,496	35,496	35,496	35,496	-	35,496	-	
Total General Fund	<u><u>\$5,576,435</u></u>	<u><u>\$5,610,859</u></u>	<u><u>\$4,396,930</u></u>	<u><u>\$4,404,804</u></u>	<u><u>(\$43,370)</u></u>	<u><u>\$4,397,539</u></u>	<u><u>(\$609)</u></u>	

## Attachment 1B

### 2016-17 Adopted and Revised General Fund Revenue and 2017-18 Adopted General Fund Revenue

(Thousand Dollars)

	Budget	Revised	Change	Adopted	Change from 2016-17 Budget	Change from 2016-17 Revised
	2016-17	2017-18				
<b>Property Tax</b>	<b>1,786,069</b>	<b>1,794,280</b>	<b>8,211</b>	<b>1,833,755</b>	<b>47,686</b>	<b>39,475</b>
<i>Property Tax 1%</i>	1,309,741	1,317,905	8,164	1,396,427	86,686	78,522
<i>VLF Replacement</i>	412,760	412,738	(22)	437,328	24,568	24,590
<i>Sales Tax Replacement</i>	63,568	63,637	69	-	(63,568)	(63,637)
<b>Redirection of ex-CRA Tax Increment Monies</b>	<b>54,594</b>	<b>98,283</b>	<b>43,689</b>	<b>74,168</b>	<b>19,574</b>	<b>(24,115)</b>
<b>Licenses, Permits, Fees and Fines</b>	<b>923,482</b>	<b>898,591</b>	<b>(24,891)</b>	<b>1,046,994</b>	<b>123,512</b>	<b>148,403</b>
<b>Utility Users' Tax</b>	<b>634,000</b>	<b>631,489</b>	<b>(2,511)</b>	<b>661,200</b>	<b>27,200</b>	<b>29,711</b>
<i>Electric Users Tax</i>	382,000	366,049	(15,951)	407,000	25,000	40,951
<i>Gas Users Tax</i>	66,300	71,070	4,770	68,600	2,300	(2,470)
<i>Communication Users Tax</i>	185,700	194,370	8,670	185,600	(100)	(8,770)
<b>Sales Tax</b>	<b>520,020</b>	<b>520,020</b>	<b>-</b>	<b>528,670</b>	<b>8,650</b>	<b>8,650</b>
<b>Business Tax</b>	<b>502,300</b>	<b>517,000</b>	<b>14,700</b>	<b>518,900</b>	<b>16,600</b>	<b>1,900</b>
<b>Power Revenue Transfer</b>	<b>291,000</b>	<b>264,427</b>	<b>(26,573)</b>	<b>242,500</b>	<b>(48,500)</b>	<b>(21,927)</b>
<b>Transient Occupancy Tax</b>	<b>246,569</b>	<b>264,000</b>	<b>17,431</b>	<b>282,100</b>	<b>35,531</b>	<b>18,100</b>
<b>Documentary Transfer Tax</b>	<b>202,184</b>	<b>211,697</b>	<b>9,513</b>	<b>219,096</b>	<b>16,912</b>	<b>7,399</b>
<b>Parking Fines</b>	<b>152,000</b>	<b>140,900</b>	<b>(11,100)</b>	<b>140,900</b>	<b>(11,100)</b>	<b>0</b>
<b>Parking Occupancy Tax</b>	<b>111,000</b>	<b>110,000</b>	<b>(1,000)</b>	<b>112,900</b>	<b>1,900</b>	<b>2,900</b>
<b>Franchise Income</b>	<b>42,180</b>	<b>42,721</b>	<b>541</b>	<b>58,123</b>	<b>15,943</b>	<b>15,402</b>
<b>Special Parking Revenue Transfer</b>	<b>28,342</b>	<b>28,342</b>	<b>-</b>	<b>38,633</b>	<b>10,291</b>	<b>10,291</b>
<b>Grants Receipts</b>	<b>12,057</b>	<b>17,690</b>	<b>5,633</b>	<b>19,762</b>	<b>7,706</b>	<b>2,072</b>
<b>Tobacco Settlement</b>	<b>9,106</b>	<b>9,172</b>	<b>66</b>	<b>9,084</b>	<b>(22)</b>	<b>(88)</b>
<b>Telecommunications Development Account</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Residential Development Tax</b>	<b>4,740</b>	<b>4,800</b>	<b>60</b>	<b>4,800</b>	<b>60</b>	<b>0</b>
<b>State Motor Vehicle License Fees</b>	<b>1,597</b>	<b>1,806</b>	<b>209</b>	<b>1,806</b>	<b>209</b>	<b>0</b>
<b>Interest Earnings</b>	<b>19,700</b>	<b>20,206</b>	<b>506</b>	<b>23,957</b>	<b>4,257</b>	<b>3,751</b>
<b>Reserve Fund Transfer</b>	<b>35,496</b>	<b>35,496</b>	<b>-</b>	<b>9,108</b>	<b>(26,388)</b>	<b>(26,388)</b>
<b>Total General Fund Receipts</b>	<b>5,576,435</b>	<b>5,610,919</b>	<b>34,484</b>	<b>5,826,456</b>	<b>250,021</b>	<b>215,537</b>
<b>Percent Growth</b>					<b>4.5%</b>	<b>3.8%</b>

**ATTACHMENT 2**  
**STATUS OF RESERVE FUND AS OF 4-30-2017**

<b>Council File No.</b>	<b>Item Description</b>	<b>Amount</b>
<b>Balance Available, 7/1/2016</b>		\$ 334,394,003.91
<b>Less: Emergency Reserve Account</b>		\$ 153,352,000.00
<b>Contingency Reserve Account 7/1/2016</b>		\$ 181,042,003.91
<b>General Fund Appropriation to the Reserve Fund</b>		-
<b>    Loan Repayment and Other Receipts</b>		37,874,943.91
<b>Contingency Reserve Account</b>		\$ 218,916,947.82
<b>Loans and Transfers Approved to Date</b>		
15-1041-S1	HCID Section 108 CDBG Front Funding	(4,121,838.00)
15-1041-S1	HCID Section 108 CDBG Front Funding	(260,449.00)
16-0600-S159	HCID 2016-17 Consolidated Plan Front Funding	(12,400,000.00)
13-0723-S5	Mayor CalGrip Grant	(700,000.00)
16-0961	City Attorney - Liability Claims (Guilmete v. City of LA)	(23,000,000.00)
16-0946	Granite Hill Neighborhood of Echo Park / Orphan Wells Leak	(182,308.00)
Revenue Bond Claim	1971 Electric Plant Revenue Bond - Ernest J. Schatz	(15,000.00)
12-0757-S1	LAPD Digital In-Car Video	(7,747,000.00)
12-0014-S23	Independent Living v. City of Los Angeles	(5,500,000.00)
13-1641	Taylor Yard Acquisition	(100,000.00)
16-0600-S167	CDBG-ARRA Repayment	(3,027,500.00)
15-0600-S103	Siquieros Mural/Getty Foundation	(150,000.00)
11-0010-S2	Special Reward (LAPD) Criminal Apprehension	(50,000.00)
16-1042	Rosa Navas, et. al vs. City of LA	(2,500,000.00)
16-1362	J.L.D. vs. City of LA	(1,650,000.00)
16-1363	Sharon Camprone vs. City of LA	(4,000,000.00)
16-1420/14-0206-S1	Reggie D. Cole v. City of LA	(5,200,000.00)
15-0772	Kim Nguyen v. City of LA	(3,500,000.00)
	David Aguilar, et al. v City of LA; Barbara Kausch, et al. v. City of LA; Beau Maxon, et al. v City of LA	(8,500,000.00)
16-1425	Maria Elena Montoya v. City of LA	(2,100,000.00)
16-0218	Christian Rodriguez, et al. v. City of LA	(2,750,000.00)
17-0026	Paula J. Solorzano, et al. v. City of LA	(2,000,000.00)
17-0056	Edsell Ford, et al. v. City of LA	(1,500,000.00)
16-0179	Proposition HHH and Facilities Bond Program	(122,308.00)
17-0090	LATCB 4th Quarter Invoice	(1,903,680.72)
CAO Memo	Mayor 2017 CalGrip Grant	(700,000.00)
13-0723-S6	LAPD Metropolitan Transportation Authority Contract	(5,219,323.00)
<b>Loans and Transfers Approved to Date Subtotal</b>		\$ (98,899,406.72)
<b>Contingency Reserve Available Balance as of 4/30/2017</b>		<b>\$ 120,017,541.10</b>
<b>Total Emergency and Contingency Reserve Fund</b>		<b>\$ 273,369,541.10</b>

**ATTACHMENT 3**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**SPECIAL FUND REAPPROPRIATIONS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Public Works-Street Services</b> <i>Mulholland Dr "dirt" repair services</i>	<u>Fund 43D/50, Street Furniture Revenue Fund</u> 50M186, PW-Street Services	\$ 2,547.39	<u>Fund 100/86, Bureau of Street Services</u> 001090, Overtime General 006020, Operating Supplies	\$ 2,527.92 \$ 19.47
			Subtotal	<u>\$ 2,547.39</u>
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 2,547.39</b>		<b>\$ 2,547.39</b>

**ATTACHMENT 4**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**NEW APPROPRIATIONS**

REQUESTING DEPARTMENT	TRANSFER FROM	TRANSFER TO		
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Convention and Tourism Development</b> <i>Reimburse Settlement</i>	<u>Fund 725/48, LA Convention Center Revenue</u> 48148M, Convention and Tourism Development	\$ 100,000.00	<u>Fund 100/59, Non-Departmental - Liability Claims</u> 009798, Miscellaneous Liability Payouts	\$ 100,000.00
<b>Cultural Affairs</b> <i>Commemoration of the 100th Anniversary of the Armenian Genocide</i>	<u>Fund 480/30 Arts and Cultural Facilities and Services Trust Fund</u> Available Cash Balance	\$ 60,000.00	<u>Fund 100/30, Cultural Affairs</u> 009784, Cultural and Community Events	\$ 60,000.00
<b>Finance</b> <i>Bank Service Fees Transfer</i>	<u>Fund 48R/08 Building and Safety Building Permit Enterprise Fund</u> 08N607, Bank Fees	\$ 65,000.00	<u>Fund 100/39, Finance</u> 004040, Bank Service Fees	\$ 65,000.00
<b>Housing and Community Investment</b> <i>Community Service Block Grant</i>	<u>Fund 428/43, Community Service Block Grant</u> Cash Balance	\$ 15,078.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 006010, Office and Administrative	\$ 15,078.00
<b>Salaries &amp; Expense from Foreclosure</b>	<u>Fund 56V/43, Foreclosure Registry Fund</u> Cash Balance	\$ 170,500.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries, General 002120, Printing and Binding 006010, Office and Administrative 006030, Leasing	\$ 90,000.00 \$ 20,000.00 \$ 11,500.00 \$ 49,000.00
				Subtotal \$ 170,500.00
<i>Reimbursements from Foreclosure</i>	<u>Fund 56V/43, Foreclosure Registry Fund</u> Cash Balance	\$ 181,000.00	<u>Fund 56V, Foreclosure Registry Fund</u> 43N299, Reimbursement of General Fund Costs	\$ 181,000.00
<i>Municipal Housing Finance Fund</i>	<u>Fund 815/43, Municipal Housing Finance Fund</u> Cash Balance	\$ 100,000.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 006030, Leasing	\$ 100,000.00
<i>City Attorney for CRA</i>	<u>Fund 55J/43, Low and Moderate Income Housing Fund</u> Cash Balance	\$ 161,000.00	<u>Fund 100/12, City Attorney</u> 001010, Salaries, General	\$ 161,000.00
<i>LMHF Loan Fiscal Review</i>	<u>Fund 55J/43, Low and Moderate Income Housing Fund</u> Cash Balance	\$ 21,875.00	<u>Fund 55J/43, Low and Moderate Income Housing Fund</u> 43NXXX, Loans Fiscal Review	\$ 21,875.00
<i>MHFF Loan Fiscal Review</i>	<u>Fund 815/43, Municipal Housing Finance Fund</u> Cash Balance	\$ 32,125.00	<u>Fund 815/43, Municipal Housing Finance Fund</u> 43NXXX, Loans Fiscal Review	\$ 32,125.00
<b>Mayor</b> <i>Public Safety Grant</i>	<u>Fund 57B/46, FY14 Justice Assistance Grant Fund</u> RSC 4903, Interest Income-Other	\$ 26,523.00	<u>Fund 100/46, Mayor (46N146)</u> 001020, Grant Reimbursed	\$ 2,652.00
			<u>Fund 100/12, City Attorney (46N112)</u> 001010, Salaries General	\$ 23,871.00
				Subtotal \$ 26,523.00
<b>Personnel</b> <i>Cornerstone license reimbursement</i>	<u>Fund 100/66, Personnel</u> RSC 4595, Service to Airports	\$ 32,970.00	<u>Fund 100/66, Personnel</u> 003040, Contractual Services	\$ 32,970.00

**ATTACHMENT 4**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**NEW APPROPRIATIONS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Police Department</b> <i>9-1-1 Center Telephone Cabling &amp; Other</i>	<u>Fund 100/70, Police</u> RSC 5161, Reimbursement of Expenditures	\$ 882,554.00	<u>Fund 100/70, Police</u> 003040, Contractual Services	\$ 882,554.00
<i>Deployment Backfill for Class Attendees</i>	<u>Fund 41Y/70, Standards and Training for Corrections Fund</u> 70M207, FY2016 Standards and Training for Corrections 70N207, FY2017 Standards and Training for Corrections	\$ 92,644.56 \$ 7,355.00 Subtotal \$ 99,999.56	<u>Fund No. 100/70, Police</u> 001090, Overtime General	\$ 99,999.56
<b>Public Works-Street Services</b> <i>Metro Eastside Access Project Reimb (Inv #19)</i>	<u>Fund 305/50, Subventions &amp; Grants</u> RSC 381100, Reimb from Other Agencies	\$ 26,844.50	<u>Fund 100/86, Bureau of Street Services</u> 001010, Salaries General 001090, Overtime General 001100, Hiring Hall Salaries 001120, Benefits Hiring Hall 003030, Construction Expense 003040, Contractual Services 006010, Office and Administrative 006020, Operating Supplies	\$ 5,300.00 \$ 5,300.00 \$ 3,000.00 \$ 1,600.00 \$ 6,000.00 \$ 3,000.00 \$ 800.00 \$ 1,844.50 Subtotal \$ 26,844.50
<i>Metro Eastside Access Project Reimb (Inv # 20)</i>	<u>Fund 305/50, Subventions &amp; Grants</u> RSC 381100, Reimb from Other Agencies	\$ 106,340.10	<u>Fund 100/86, Bureau of Street Services</u> 001010, Salaries General 001090, Overtime General 001100, Hiring Hall Salaries 001120, Benefits Hiring Hall 003030, Construction Expense 003040, Contractual Services 006010, Office and Administrative 006020, Operating Supplies	\$ 21,500.00 \$ 21,500.00 \$ 11,500.00 \$ 6,500.00 \$ 23,500.00 \$ 11,500.00 \$ 3,000.00 \$ 7,340.10 Subtotal \$ 106,340.10
<i>Metro Eastside Access Project Reimb (Inv # 21)</i>	<u>Fund 305/50, Subventions &amp; Grants</u> RSC 381100, Reimb from Other Agencies	\$ 193,840.66	<u>Fund 100/86, Bureau of Street Services</u> 001010, Salaries General 001090, Overtime General 001100, Hiring Hall Salaries 001120, Benefits Hiring Hall 003030, Construction Expense 003040, Contractual Services 006010, Office and Administrative 006020, Operating Supplies	\$ 39,000.00 \$ 39,000.00 \$ 21,000.00 \$ 12,000.00 \$ 43,000.00 \$ 21,000.00 \$ 6,000.00 \$ 12,840.66 Subtotal \$ 193,840.66
<b>Transportation</b> <i>Proposition C, Transportation Grant Fund Interest Transfer</i>	<u>Fund 655/94 Transportation Grant Fund</u> RSC 4903, Interest Income Other	\$ 659,816.32	<u>Fund No. 540/94 Proposition C Anti-Gridlock Improvement</u> 4903, Interest Income-Other	\$ 659,816.32
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 2,935,466.14</b>	<b>\$ 2,935,466.14</b>	

**ATTACHMENT 5**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN ACCOUNTS WITHIN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Building and Safety</b> <i>HPES 2nd Amendment</i>	<u>Fund 48R/08, Building &amp; Safety Building Permit Enterprise Fund</u> 08N204, Reserve for Future Costs	\$ 400,284.00	<u>Fund 48R/08, Building &amp; Safety Building Permit Enterprise Fund</u> 08N601, B&S Expense and Equipment	\$ 400,284.00
<b>Code Book Costs</b>	08N204, Reserve for Future Costs	\$ 220,000.00	08N602, B&S Training	\$ 220,000.00
	Subtotal	\$ 620,284.00	Subtotal	\$ 620,284.00
<b>City Administrative Officer</b> <i>Temporary Staffing, Overtime &amp; End-of-life technology</i>	<u>Fund 100/10, City Administrative Officer</u> 001010, Salaries, General	\$ 500,000.00	<u>Fund 100/10, City Administrative Officer</u> 001070, Salaries, As Needed 001090 Salaries, Overtime 006010 Office and Administrative	\$ 150,000.00 \$ 100,000.00 \$ 250,000.00 Subtotal \$ 500,000.00
<b>City Clerk</b> <i>Elections Expense Shortfall</i>	<u>Fund 100/14, City Clerk</u> 001010, Salaries, General	\$ 154,192.00	<u>Fund 100/14, City Clerk</u> 004170, Elections	\$ 154,192.00
<b>Controller</b>	<u>100/26, Controller</u> 001010, Salaries General	\$ 371,000.00	<u>100/26, Controller</u> 001070, Salaries As-Needed 001090, Overtime General	\$ 240,000.00 \$ 131,000.00 Subtotal \$ 371,000.00
<b>Council</b> <i>CD 1 Community Services &amp; Events</i>	<u>Fund 100/56, General City Purposes</u> 000858, Council Fee Subsidy	\$ 50,000.00	<u>Fund 100/56, General City Purposes</u> 000701, Council District Community Services	\$ 50,000.00
<b>Cultural Affairs</b> <i>Miscellaneous Expenditures</i>	<u>Fund 100/30, Cultural Affairs</u> 001010, Salaries, General	\$ 237,000.00	<u>Fund 100/30, Cultural Affairs</u> 001070, Salaries As-Needed 006010, Office and Administrative 006020, Operating Supplies	\$ 100,000.00 \$ 26,000.00 \$ 111,000.00 Subtotal \$ 237,000.00
<b>Economic &amp; Workforce Development</b> <i>CRA/LA Excess Non-Housing Bond Administrative Costs Adjust.</i>	<u>Fund 100/22, Economic and Workforce Development</u> 003040, Contractual Services	\$ 5,000.00	<u>Fund 100/22, Economic and Workforce Development</u> 001070, Salaries As Needed 006010, Office and Administrative	\$ 2,500.00 \$ 2,500.00 Subtotal \$ 5,000.00
	<u>Fund 100/22, Economic and Workforce Development (57D/22N122)</u> 003040, Contractual Services	\$ 547.00	<u>Fund 57D/22, CRA/LA Excess Non-Housing Bond Proceeds</u> 22N299, Reimbursement of General Fund Costs	\$ 547.00
<b>General Fund Various Programs</b> <i>Admin. Costs - YouthSource Centers &amp; Summer Youth Employment Program</i>	<u>Fund 100/22, Economic and Workforce Development</u> 006020, Operating Supplies 003040, Contractual Services	\$ 2,600.00 \$ 1,000.00 Subtotal \$ 3,600.00	<u>Fund 100/22, Economic and Workforce Development</u> 003310, Transportation 006010, Office and Administrative	\$ 600.00 \$ 3,000.00 Subtotal \$ 3,600.00

**ATTACHMENT 5**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN ACCOUNTS WITHIN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Fire</b>	<u>Fund 100/38, Fire</u>		<u>Fund 100/38, Fire</u>	
<i>Sworn Salary Shortfall</i>	001010, Salaries General	\$ 1,050,000.00	001012, Salaries Sworn	\$ 4,625,000.00
	001050, Unused Sick Time	\$ 415,000.00		
	001093, Overtime Constant Staffing	\$ 600,000.00		
	001098, Overtime Variable Staffing	\$ 2,560,000.00		
	Subtotal	<u>\$ 4,625,000.00</u>		
<b>General Services</b>	<u>Fund 100/40, General Services</u>		<u>Fund 100/40, General Services</u>	
<i>Various Deficits</i>	001010, Salaries General*	\$ 495,000.00	001090, Overtime General (\$100,000 SWRF & \$45,000 GF)	\$ 145,000.00
	(Source: \$100,000-SWRF & \$395,000-GF)		003040, Contractual Services	350,000.00
			Subtotal	<u>495,000.00</u>
<b>Data Cleansing for AMS</b>	<u>Fund 100/40, General Services</u>		<u>Fund 100/40, General Services</u>	
	001010, Salaries General	79,000.00	003040, Contractual Services	\$ 300,000.00
	006030, Leasing	\$ 221,000.00		
	Subtotal	<u>300,000.00</u>		
<b>Capital Repairs for Public Works</b>	<u>Fund 100/40, General Services</u>		<u>Fund 100/40, General Services</u>	
	001010, Salaries General	202,884.00	003040, Contractual Services	\$ 202,884.00
<b>Council Transition</b>	<u>Fund 100/40, General Services</u>		<u>Fund 100/40, General Services</u>	
	001010, Salaries General	103,600.00	001014, Salaries, Construction Projects	\$ 62,160.00
			003180, Construction Materials and Supplies	41,440.00
			Subtotal	<u>103,600.00</u>
<b>Human Resources Benefits</b>	<u>Fund 100/61, Human Resources Benefits</u>		<u>Fund 100/61, Human Resources Benefits</u>	
<i>Various Deficits</i>	003040, Contractual Services	\$ 1,400,000.00	009210, Fire Health and Welfare Program	\$ 750,000.00
			009330, Supplemental Civilian Union Benefits	\$ 650,000.00
			Subtotal	<u>\$ 1,400,000.00</u>
<b>Employee Assistance Deficit</b>	<u>Fund 100/61, Human Resources Benefits</u>		<u>Fund 100/61, Human Resources Benefits</u>	
	009100, Unemployment Insurance	\$ 200,000.00	009110, Employee Assistance Program	\$ 200,000.00
<b>Information Technology Agency</b>	<u>Fund 100/32, Information Technology Agency</u>		<u>Fund 100/32, Information Technology Agency</u>	
<i>Identity Management</i>	001010, Salaries, General	\$ 100,000.00	003040, Contractual Services	\$ 100,000.00
<b>Paydown Liabilities</b>	<u>Fund 100/32, Information Technology Agency</u>		<u>Fund 100/32, Information Technology Agency</u>	
	001010, Salaries, General	\$ 400,000.00	001090, Overtime, General	\$ 400,000.00
<b>Mayor</b>	<u>Fund 100/46 Mayor</u>		<u>Fund 100/46 Mayor</u>	
<i>Adjustment for Projected Salaries</i>	001070, Salaries As Needed	\$ 600,000.00	001010, Salaries General	\$ 600,000.00

**ATTACHMENT 5**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN ACCOUNTS WITHIN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Personnel</b> <i>GF deficits in various accounts</i>	<u>Fund 100/66, Personnel</u> 001010, Salaries, General	\$ 290,000.00	<u>Fund 100/66, Personnel</u> 001070, Salaries, As Needed 001090, Overtime General 003040, Contractual Services	\$ 60,000.00 \$ 130,000.00 \$ 100,000.00 Subtotal \$ 290,000.00
<b>Police</b> <i>Offset LPSF Shortfall &amp; Sworn Salary Shortfall</i>	<u>Fund 100/70, Police</u> 001010, Salaries General 001070, Salaries As-Needed 001090, Overtime General	\$ 923,158.00 \$ 50,000.00 \$ 300,000.00 Subtotal \$ 1,273,158.00	<u>Fund 100/70, Police</u> 001012, Salaries Sworn 001095, Accumulated Overtime	\$ 1,073,158 200,000 Subtotal \$ 1,273,158.00
<b>Public Works-Sanitation</b> <i>Wireless expenses</i>	<u>Fund 100/82, Bureau of Sanitation</u> 003090, Field Equipment Expense (SPA)	\$ 20,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 003040, Contractual Services (SPA)	\$ 20,000.00
<b>Hiring Hall Electricians to Support Low Flow Diversion System</b>	<u>Fund 100/82, Bureau of Sanitation</u> 001010, Salaries General (SPA)	\$ 35,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 001100, Hiring Hall Salaries (SPA) 001120, Benefits Hiring Hall (SPA)	\$ 20,000.00 15,000.00 Subtotal \$ 35,000.00
<b>Address projected account deficit</b>	<u>Fund 100/82, Bureau of Sanitation</u> 001010, Salaries General (CRTF)	\$ 15,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 001090, Overtime General (CRTF)	\$ 15,000.00
<b>Address projected account deficits</b>	<u>Fund 100/82, Bureau of Sanitation</u> 001010, Salaries General (UO)	\$ 20,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 001070, Salaries As-Needed (UO) 001090, Overtime General (UO)	\$ 19,000.00 1,000.00 Subtotal \$ 20,000.00
<b>Address projected account deficit</b>	<u>Fund 100/82, Bureau of Sanitation</u> 004430, Uniforms (SCMO)	\$ 7,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 006010, Office and Administrative (SCMO)	\$ 7,000.00
<b>Address projected account deficit</b>	<u>Fund 100/82, Bureau of Sanitation</u> 001010, Salaries General (LMSF)	\$ 200,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 001070, Salaries As-Needed (LMSF)	\$ 200,000.00
<b>Address projected account deficit</b>	<u>Fund 100/82, Bureau of Sanitation</u> 001010, Salaries General (MFBI)	\$ 10,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 001070, Salaries As-Needed (MFBI)	\$ 10,000.00
<b>Address projected account deficit</b>	<u>Fund 100/82, Bureau of Sanitation</u> 002120, Printing and Binding (HHW)	\$ 50,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 001070, Salaries As-Needed (HHW)	\$ 50,000.00
<b>Address projected account deficit</b>	<u>Fund 100/82, Bureau of Sanitation</u> 001100, Hiring Hall Salaries (SWRRF) 001120, Benefits Hiring Hall (SWRRF) 004430, Uniforms (SWRRF) 006020, Operating Supplies (SWRRF)	\$ 500,000.00 194,000.00 20,000.00 60,000.00 Subtotal \$ 774,000.00	<u>Fund 100/82, Bureau of Sanitation</u> 001010, Salaries General (SWRRF) 001070, Salaries As-Needed (SWRRF)	\$ 694,000.00 80,000.00 Subtotal \$ 774,000.00

**ATTACHMENT 5**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN ACCOUNTS WITHIN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	FUND/ACCOUNT	TRANSFER FROM		TRANSFER TO	
		AMOUNT	FUND/ACCOUNT	AMOUNT	AMOUNT
<b>Public Works-Sanitation cont.</b>	<u>Fund 100/82, Bureau of Sanitation</u>		<u>Fund 100/82, Bureau of Sanitation</u>		
<i>Address projected account deficit</i>	001070, Salaries As-Needed (GF)	\$ 130,000.00	001010, Salaries General (GF)	\$ 130,000.00	
	001090, Overtime General (GF)	8,000.00	003310, Transportation (GF)	\$ 8,000.00	
		Subtotal \$ 138,000.00			Subtotal \$ 138,000.00
<i>Address projected account deficit</i>	<u>Fund 100/82, Bureau of Sanitation</u>		<u>Fund 100/82, Bureau of Sanitation</u>		
	001010, Salaries General (SCMO)	\$ 150,000.00	001010, Salaries General (SCMC)	\$ 150,000.00	
<i>Address projected account deficit</i>	<u>Fund 100/82, Bureau of Sanitation</u>		<u>Fund 100/82, Bureau of Sanitation</u>		
	001010, Salaries General (CRTF)	\$ 100,000.00	001090, Overtime General (SWRRF)	\$ 100,000.00	
<b>Transportation</b>					
<i>Gas Tax Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
	001010, Salaries General (Prop C)	\$ 300,000.00	001010, Salaries General	\$ 300,000.00	
	001090, Overtime General (Prop C)	\$ 5,150.00	001070, Salaries, As-Needed	\$ 5,150.00	
		Subtotal \$ 305,150.00			Subtotal \$ 305,150.00
<i>General Fund Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
	001010, Salaries General	\$ 150,000.00	001070, Salaries, As-Needed	\$ 150,000.00	
<i>Measure R Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
	001010, Salaries General (Measure R Local Return)	\$ 90,500.00	001070, Salaries, As-Needed	\$ 14,000.00	
			001090, Overtime General	\$ 76,500.00	
				Subtotal \$ 90,500.00	
<i>Local Transportation Fund Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
<i>CicLAvia charges C.F. 12-1593</i>	001090, Overtime General (Mobile Source Fund)	\$ 150,000.00	001090, Overtime General (Local Transportation Fund)	\$ 150,000.00	
<i>Mobile Source Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
	001010, Salaries General (Mobil Source Fund)	\$ 15,000.00	001070, Salaries, As-Needed	\$ 15,000.00	
<i>Warner Center Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
	001010, Salaries General (Warner Center Fund)	\$ 1,500.00	001090, Overtime General	\$ 1,500.00	
<i>Transportation Regulation and Enforcement (TRE)</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
	001090, Overtime General (TRE Fund)	\$ 1,000.00	001010, Salaries General	\$ 1,000.00	
<i>Expedited Permit Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
	001010, Salaries General (Expedited Permit Fee Fund)	\$ 20,000.00	001090, Overtime General	\$ 20,000.00	
<i>Proposition C Shortfalls</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>		
<i>Prop C, Metro and Transportation Grant Funded projects</i>	001090, Salaries Overtime (TG)	\$ 60,000.00	001010, Salaries General (TG)	\$ 60,000.00	
	001010, Overtime General (Prop C)	\$ 42,000.00	001010, Salaries General (Metro)	\$ 42,000.00	
		Subtotal \$ 102,000.00			Subtotal \$ 102,000.00

**ATTACHMENT 5**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN ACCOUNTS WITHIN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Transportation continued</b>				
<i>Traffic Safety Fund</i>	<u>Fund 100/94, Transportation</u>		<u>Fund 100/94, Transportation</u>	
<i>Shortfalls</i>	001010, Salaries General (GF)	\$ 35,000.00	001010, Salaries General (TSF)	\$ 35,000.00
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 14,320,415.00</b>	<b>\$ 14,320,415.00</b>	

**ATTACHMENT 6**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM	TRANSFER TO		
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Controller</b> <i>Reimburse Fleet Expense</i>	<u>Fund 100/26, Controller</u> 001010, Salaries General	\$ 7,000.00	<u>100/40, General Services</u> 001010, Salaries General	\$ 7,000.00
<b>Council</b> <i>Council District 9</i>	<u>Fund 53P/28, State AB 1290</u> 281209, CD9	\$ 150,000.00	<u>Fund 100/28, Council</u> 001070, Salaries As Needed	\$ 150,000.00
<b>Cultural Affairs</b> <i>Pacific Standard Time Project</i> <i>Exhibit Construction Support</i>	<u>Fund 100/30, Cultural Affairs</u> 003040, Contractual Services	\$ 24,948.00	<u>Fund 100/40, General Services</u> 001014, Salaries Construction Projects 003180, Construction Materials and Supplies	\$ 18,148.00 \$ 6,800.00
			<b>Subtotal</b>	<b>\$ 24,948.00</b>
<b>Warner Grand Theater Mural Project</b>	<u>Fund 516/30, Arts Development Fee Trust Fund</u> 30A218, 1452 W. Knox Street 30J662, 19310 S. Pacific Gateway Drive 30K724, 19600 S. Magellan Drive 30K715, 670 W. 9th Street 30NA01, 18416 S. Western Avenue 30NA13, 19780 S. Pacific Gateway Drive	\$ 9,638.00 \$ 70,137.55 \$ 21,501.68 \$ 7,784.02 \$ 548.75 \$ 832.04	<u>Fund 100/40, General Services</u> 001014, Salaries Construction Projects 003180, Construction Materials and Supplies	\$ 58,000.00 \$ 52,442.04
		<b>Subtotal</b>	<b>\$ 110,442.04</b>	
<b>Economic and Workforce Development</b> <i>Gang Injunc. Curfew Settlement Agreement</i>	<u>Fund 100/56, General City Purposes</u> 000907, Gang Injunction Curfew Settlement Agreement	\$ 2,250,000.00	<u>Fund 10B/22, Gang Injunction Curfew Settlement</u> 22N887, Gang Injunction Curfew Settlement - City GF	\$ 2,250,000.00
<b>Finance</b> <i>Accounting Assistance Program</i>	<u>Fund 100/39, Finance</u> 001010, Salaries General	\$ 51,273.61	<u>Fund 100/26, Controller's Office</u> 001070, Salaries As-Needed	\$ 51,273.61
<b>Fire</b> <i>Salary Reimbursement</i>	<u>Fund 100/38, Fire</u> 001030, Sworn Bonuses 001070, Salaries, As-Needed	\$ 88,873.36 \$ 64,021.98	<u>Fund 100/46, Mayor</u> 001010, Salaries, General	\$ 152,895.34
		<b>Subtotal</b>	<b>\$ 152,895.34</b>	
<b>General Services</b> <i>Project Restore</i>	<u>Fund 100/40, General Services</u> 001010, Salaries General	\$ 31,900.00	<u>Fund 869/40, Project Restore</u> 400392, GSD Project Restore	\$ 31,900.00
<b>Housing and Community Investment</b> <i>Operating Expenses</i>	<u>Fund 100/43 Housing &amp; Community Investment</u> 001070, Salaries As-Needed (General Fund)	\$ 55,000.00	<u>Fund 100/43 Housing &amp; Community Investment</u> 001090, Overtime General 002120, Printing and Binding 002130, Travel 003310, Transportation 003340, Water and Electricity 006010, Office and Administrative	\$ 8,000.00 \$ 3,200.00 \$ 650.00 \$ 700.00 \$ 33,450.00 \$ 9,000.00
			<b>Subtotal</b>	<b>\$ 55,000.00</b>
<i>Accessible Housing Fund Travel</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries General*	\$ 12,500.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 002130, Travel	\$ 12,500.00
	<i>*(Source: Fund 10D/43, Accessible Housing Fund)</i>			

**ATTACHMENT 6**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Housing and Community Investment cont.</b>				
<i>Bradley Milken Operating Expenses</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 003310, Transportation* 006010, Office and Administrative*	\$ 200.00 \$ 450.00 Subtotal \$ 650.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 003340, Water and Electricity	\$ 650.00
	*(Source: Fund 53P/43, CD15 Redevelopment Fund)			
<i>Lease Account Adjustment</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries General*	\$ 161,000.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 006030, Leasing	\$ 161,000.00
	*(Source: Fund 424/43, Community Development Block Grant Fund)			
<i>Lease Account Adjustment</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries General*	\$ 110,000.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 006030, Leasing	\$ 110,000.00
	*(Source: Fund 561/43, HOME Investment Partnerships Program Fund)			
<i>LMIHF Operating Expenses</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries General*	\$ 84,000.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 006010, Office and Administrative 006030, Leasing	\$ 6,000 \$ 78,000.00 Subtotal \$ 84,000.00
	*(Source: Fund 55J/43, Low and Moderate Income Housing Fund)			
<i>MHFF Salaries</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 008040, Displaced Tenant Relocation*	\$ 160,000.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries General 001070, Salaries As-Needed	\$ 151,000 \$ 9,000 Subtotal \$ 160,000
	*(Source: Fund 815/43, Municipal Housing Finance Fund)			
<i>Lease Account Adjustment</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries General*	\$ 500.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 006030, Leasing	\$ 500.00
	*(Source: Fund 50T/43, Neighborhood Stabilization Program)			
<i>Rent Stabilization New Office Location</i>	<u>Fund 100/43, Housing &amp; Community Investment</u> 001010, Salaries General*	\$ 80,000.00	<u>Fund 100/43, Housing &amp; Community Investment</u> 006010, Office and Administrative	\$ 80,000.00
	*(Source: 440/43, Rent Stabilization Trust Fund)			
<i>Information Technology Agency MyLA311 CRM Project</i>	<u>Fund 100/32, Information Technology Agency</u> 003040, Contractual Services	\$ 90,245.00	<u>Fund 508/50, Solid Waste Resources Fund</u> RSC 530100, Reimbursement from Other Funds	\$ 90,245.00

**ATTACHMENT 6**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Library Department</b> <i>Repairs/Various Branch Libraries</i>	<u>Fund 300/44, Library</u> 003040, Contractual Services	\$ 3,179,634.70	<u>Fund 100/40, General Services</u> 001014, Salaries Construction Projects 003180, Construction Materials and Supplies	\$ 2,249,324.70 \$ 930,310.00 Subtotal \$ 3,179,634.70
<b>Mayor</b> <i>GRYD Program Director</i>	<u>Fund 100/46, Mayor</u> 001010, Salaries General	\$ 118,494.00	<u>Fund 100/12, City Attorney</u> 001010, Salaries General	\$ 118,494.00
<i>LA'S BEST LAUSD Afterschool Program</i>	<u>Fund 100/46, Mayor</u> 003040, Contractual Services	\$ 480,000.00	<u>Fund 100/56, General City Purposes</u> 00050Z, L.A.'S BEST	\$ 480,000.00
<b>Public Works-Board</b> <i>Salary Shortfall</i>	<u>Fund 100/82, Bureau of Sanitation</u> 001010, Salaries General (SPA) 001010, Salaries General (CRTF)	\$ 20,050.00 \$ 4,755.00 Subtotal \$ 24,805.00	<u>Fund 100/74, Board of Public Works</u> 001010, Salaries General (SPA) 001010, Salaries General (CRTF)	\$ 20,050.00 \$ 4,755.00 Subtotal \$ 24,805.00
<b>Public Works-Engineering</b> <i>Survey Equipment Replacement</i>	<u>Fund 100/78, Engineering (761/50/50N178)</u> 001010, Salaries General	\$ 133,100.00	<u>Fund 682/50, Engineering Special Services</u> TBD, Survey Equipment Replacement	\$ 133,100.00
<i>Projects</i>	<u>Fund 305/50, Subventions and Grants</u> 50F995, Grant Reimbursement - LA River & Parks	\$ 342,203.69	<u>Fund 100/78, Engineering</u> RSC 5301, Reimbursement from Other Funds	\$ 342,203.69
<b>Public Works-Street Lighting</b> <i>Street Banner Program</i>	<u>Fund 43U/50, Street Banner Revenue Trust Fund</u> 50M184, PW-Street Lighting	\$ 18,000.00	<u>Fund 100/84, Bureau of Street Lighting</u> 001010, Salaries, General	\$ 18,000.00
<i>Alterations and Improvement</i>	<u>Fund 100/84, Bureau of Street Lighting</u> 008780, St. Lighting Improvements & Supplies* *Source: SLMAF	\$ 164,000.00	<u>Fund 347/50, Street Lighting Maintenance Assessment Fund</u> Account TBD	\$ 164,000.00
<i>Fleet Replacement</i>	<u>Fund 100/84, Bureau of Street Lighting</u> 008780, St. Lighting Improvements & Supplies* *Source: SLMAF	\$ 111,000.00	<u>Fund 347/50, Street Lighting Maintenance Assessment Fund</u> 50NLPP, Fleet Replacement	\$ 111,000.00
<b>Public Works-Street Services</b> <i>Controller's Accounting Assistance</i>	<u>Fund 100/86, Bureau of Street Services</u> 001010, Salaries General	\$ 70,000.00	<u>Fund 100/26, Controller</u> 001070, Salaries As Needed	\$ 70,000.00
<i>NIF Hoover - 23rd Union Avenue Triangle - to perform design services.</i>	<u>Fund 100/86, Bureau of Street Services (424/43N186)</u> 001010 Salaries General (Fund 424/43N186)	\$ 8,000.00	<u>Fund 100/84, Bureau of Street Lighting (424/43N184)</u> 001010, Salaries General	\$ 8,000.00
<i>Reimb. for Special Events held from June to December 2016</i>	<u>Fund 305/50, Subventions and Grants Fund</u> RSC 465801, One Stop Special Events Rev-BSS	\$ 479,817.17	<u>Fund 100/86, Bureau of Street Services</u> RSC 465800, Special Events-General	\$ 479,817.17
<i>Reimb. for Special Events held from June to December 2016</i>	<u>Fund 305/50, Subventions and Grants Fund</u> RSC 465803, One Stop Special Events Rev-DOT	\$ 1,027,567.38	<u>Fund 100/94, Transportation</u> 001090, Overtime General	\$ 1,027,567.38

**ATTACHMENT 6**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Public Works-Street Services cont.</b>				
Reimb. for Special Events held from June to December 2016	<u>Fund 305/50, Subventions and Grants Fund</u> RSC 465808, One Stop Special Events Rev-LAFD	\$ 291,308.20	<u>Fund 100/38, Fire</u> 001012, Salaries Sworn	\$ 291,308.20
Reimb. for Special Events held from June to December 2016	<u>Fund 305/50, Subventions and Grants Fund</u> RSC 465807, One Stop Special Events Rev-LAPD	\$ 1,882,409.57	<u>Fund 100/70, Police</u> 001012, Salaries Sworn	\$ 1,882,409.57
Reimb. for Special Events held from June to December 2016	<u>Fund 305/50, Subventions and Grants Fund</u> RSC 465804, One Stop Special Events Rev-B&S	\$ 21,000.90	<u>Fund 48R/08, Bldg &amp; Safety Permit Enterprise Fund</u> RSC 3225, Building Permits-Regular	\$ 21,000.90
Reimb. for Special Events held from June to December 2016	<u>Fund 305/50, Subventions and Grants Fund</u> RSC 465812, One Stop Special Events Rev-ITA	\$ 40,449.00	<u>Fund 100/32, Information Technology Agency</u> 001090, Overtime General	\$ 40,449.00
Reimb. for Special Events held from June to December 2016	<u>Fund 305/50, Subventions and Grants Fund</u> RSC 465802, One Stop Special Events Rev-BOS	\$ 61,313.72	<u>Fund 508/50, Solid Waste Resources Fund</u> RSC 518801, Miscellaneous Revenue	\$ 61,313.72
<b>Transportation</b>				
Street Furniture Shortfalls	<u>Fund 206/50, Special Gas Tax Fund</u> 50L194, Transportation	\$ 94,200.00	<u>Fund 100/94, Transportation</u> 001010, Salaries General	\$ 86,100.00
Qwick Curb and Crosswalk Installation			001090, Overtime General	\$ 8,100.00
				<b>Subtotal</b> \$ 94,200.00
LA Mall Adjudication	<u>Fund 100/94, Transportation</u> 001010, Salaries General	\$ 61,017.00	<u>Fund 100/40, General Services</u> 001014, Salaries Construction Project	\$ 33,560.00
Carpet and Paint			003180, Construction Material and Supplies	\$ 27,457.00
				<b>Subtotal</b> \$ 61,017.00
Reimbursements for Traffic Control at Key Intersections	<u>Fund 840/94, Dept Transportation Trust Fund</u> 94M194, Transportation	\$ 369,970.02	<u>Fund 840/94, Dept Transportation Trust Fund</u> 94N194, Transportation	\$ 369,970.02
	<u>Fund 840/94, Dept Transportation Trust Fund</u> 94N194, Transportation	\$ 256,400.00	<u>Fund 100/94, Transportation</u> 001010, Salaries General	\$ 4,400.00
			001090, Overtime General	\$ 252,000.00
				<b>Subtotal</b> \$ 256,400.00
Special Event Venues and Traffic Control Support Reimbursement	<u>Fund 840/94, Dept Transportation Trust Fund</u> RSC 4658, Special Events	\$ 718,704.88	<u>Fund 100/94, Transportation</u> 001090, Overtime General	\$ 732,214.81
	<u>Fund 840/94, Dept Transportation Trust Fund</u> RSC 5188, Miscellaneous Revenue Sources	\$ 13,509.93		
		<b>Subtotal</b> \$ 732,214.81		
Vision Zero Pedestrian Plan Projects	<u>Fund 51Q/94, Measure R Local Return Fund</u> 94N487, Pedestrian Plan	\$ 150,000.00	<u>Fund 100/94, Transportation</u> 001090, Overtime General (Measure R)	\$ 150,000.00
	<u>Fund 100/94, Transportation</u> 003040, Contractual Services	\$ 60,000.00	<u>Fund 528/94, Mobile Source Air Pollution Reduction Trust Fund</u> 9403PN, Carshare Bikeshare Professional Services	\$ 60,000.00
BikeLanes/Cycle Track Projects	<u>Fund 207/94, Local Transportation</u> 94ND01, Protect Bikelanes/Cycle Tracks	\$ 268,565.52	<u>Fund 100/94, Transportation</u> 001010, Salaries General (LTF)	\$ 268,565.52

**ATTACHMENT 6**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**TRANSFERS BETWEEN DEPARTMENTS AND FUNDS**

REQUESTING DEPARTMENT	FUND/ACCOUNT	TRANSFER FROM		TRANSFER TO	
		AMOUNT	FUND/ACCOUNT	AMOUNT	
Transportation continued <i>Vision Zero</i>	<u>Fund 100/94, Transportation (Fund 51Q/94)</u> 003040, Contractual Services	\$ 500,000.00	<u>Fund No. 51Q/94, Measure R Local Return</u> 94MM07, Vision Zero	\$ 500,000.00	
Zoo <i>Accounting Resource Pool</i>	<u>Fund 100/87, Zoo (40E/87)</u> 001010, Salaries General	\$ 10,489.00	<u>Fund 100/26, Controller (40E/87)</u> 001070, Salaries As-Needed	\$ 10,489.00	
Special Events Security	<u>Fund 100/87, Zoo (40E/87)</u> 001010, Salaries General	\$ 11,300.00	<u>Fund 100/70, Police (40E/87)</u> 001090, Overtime General	\$ 11,300.00	
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 14,499,613.67</b>			<b>\$ 14,499,613.67</b>

## ATTACHMENT 7

### FY 2016-17 BUDGET ADJUSTMENTS APPROPRIATIONS FROM THE UNAPPROPRIATED BALANCE

<b>APPROPRIATE FROM:</b>	<b>APPROPRIATE TO:</b>	<b>AMOUNT</b>
<u>Fund 100/58, Unappropriated Balance</u>		
580212, Electric Vehicle Charging Stations	<u>Fund 347/50, Street Lighting Maintenance Assessment Fund</u> RSC 530100, Reimbursement from Other Funds-Gen	\$ 103,850.00
580212, Electric Vehicle Charging Stations	<u>Fund 100/58, Unappropriated Balance</u> 580230, Reserve for Unrealized Revenue	\$ 630,000.00
580220, November 2016 General Election Costs	<u>Fund 100/58, Unappropriated Balance</u> 580230, Reserve for Unrealized Revenue	\$ 3,357,301.00
580220, November 2016 General Election Costs	<u>Fund 100/14, City Clerk</u> 004170, Elections	\$ 742,699.00
580222, 2016-17 Budgetary Shortfalls (Total from this Account: \$9,550,952.2)	<u>Fund 100/14, City Clerk</u> 004170, Elections	\$ 1,403,109.00
	<u>Fund 100/74, Board of Public Works</u> 001010, Salaries General	\$ 31,450.00
	<u>Fund 100/12, City Attorney</u> 001010, Salaries General	\$ 1,066,851.00
	003040, Contractual Services	\$ 100,000.00
	004200, Litigation Expense	\$ 975,000.00
	<b>Subtotal</b>	<b>\$ 2,141,851.00</b>
	<u>Fund 100/82, Bureau of Sanitation</u> 006020, Operating Supplies (SWRRF)	\$ 74,542.20
	<u>Fund 100/38, Fire Department</u> 001012, Salaries Sworn	\$ 5,900,000.00

**ATTACHMENT 7**

**FY 2016-17 BUDGET ADJUSTMENTS  
APPROPRIATIONS FROM THE UNAPPROPRIATED BALANCE**

<b>APPROPRIATE FROM:</b>	<b>APPROPRIATE TO:</b>	<b>AMOUNT</b>
580224, Public Works Trust Fund Nexus Study	<u>Fund 100/74, Board of Public Works</u> 003040, Contractual Services	\$ 50,000.00
<b>TOTAL APPROPRIATIONS FROM THE UNAPPROPRIATED BALANCE</b>		<b>\$ 14,434,802.20</b>

**ATTACHMENT 8**  
**FY 2016-17 BUDGET ADJUSTMENTS**  
**APPROPRIATIONS TO UNAPPROPRIATED BALANCE, 2016-17 BUDGETARY SHORTFALLS ACCOUNT**

REQUESTING DEPARTMENT	FUND/ACCOUNT	TRANSFER FROM		TRANSFER TO	
		AMOUNT	FUND/ACCOUNT	AMOUNT	AMOUNT
Capital Finance Administration Fund	<u>Fund 100/53, Capital Finance Administration Fund</u>		<u>Fund 100/58, Unappropriated Balance</u>		
	000320, MICLA 2006-A Public Works Bldg/Police HQ (FY 2016-17)	\$ 4,032,948.02	580222, 2016-17 Budgetary Shortfalls	\$ 5,000,000.00	
	000321, MICLA Refunding of Commercial Paper	\$ 302,985.54			
	000341, MICLA 2012-A CP Capital Equip Refinancing	\$ 63,986.06			
	000342, MICLA 2012-B CP Real Property Refinancing	\$ 22,531.68			
	000343, MICLA 2012-C Refunding of MICLA Series 2002-AQ,AE,AUT & 200	\$ 230,238.85			
	000344, MICLA AK	\$ 218,910.98			
	000349, MICLA 2014-B Refunding of MICLA	\$ 28,065.40			
Public Works-Contract Administration	<u>Fund 100/76, Bureau of Contract Administration</u>		<u>Fund 100/58, Unappropriated Balance</u>		
	001010, Salaries General	\$ 2,310,952.20	580222, 2016-17 Budgetary Shortfalls	\$ 2,310,952.20	
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 7,310,952.20</b>			<b>\$ 7,310,952.20</b>

**ATTACHMENT 9**  
**FY 2017-18 BUDGET ADJUSTMENTS**  
**SPECIAL FUND REAPPROPRIATIONS**

REQUESTING DEPARTMENT	FUND/ACCOUNT	TRANSFER FROM		TRANSFER TO	
		AMOUNT	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT
<b>City Clerk</b> <i>Online Funding Program-Innov. Fund</i>	<u>Fund 100/14, City Clerk (105/10)</u> 001090, Overtime General (2016-17)	\$ 11,000.00	<u>Fund 100/14, City Clerk (105/10)</u> 001090, Overtime General (2017-18)	\$ 11,000.00	
<b>Personnel Department</b> <i>Reappropriaition of Innovation</i> <i>Fund award for eSOPH</i>	<u>Fund 100/66, Personnel Department (105/10)</u> 001090, Salaries Overtime (FY 2016-17) 003040, Contractual Services (FY 2016-17) 006010, Office and Administrative (FY 2016-17)	\$ 8,000.00 \$ 79,500.00 \$ 33,500.00	<u>Fund 100/66, Personnel Department (105/10)</u> 001090, Salaries Overtime (FY 2017-18) 003040, Contractual Services (FY 2017-18) 006010, Office and Administrative (FY 2017-18)	\$ 8,000.00 \$ 79,500.00 \$ 33,500.00	
	Subtotal <u>\$ 121,000.00</u>			Subtotal <u>\$ 121,000.00</u>	
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 132,000.00</b>		<b>\$ 132,000.00</b>	

**ATTACHMENT 10**  
**FY 2017-18 BUDGET ADJUSTMENTS**  
**GENERAL FUND REAPPROPRIATIONS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>City Administrative Officer</b>	<b>Fund 100/10, City Administrative Officer</b>		<b>Fund 100/10, City Administrative Officer</b>	
Salary Obligations	001070, Salaries As Needed (FY 2016-17)	\$ 200,000.00	001070, Salaries As Needed (FY 2017-18)	\$ 200,000.00
Salary Obligations	001090, Overtime General (FY 2016-17)	\$ 100,000.00	001090, Overtime General (FY 2017-18)	\$ 100,000.00
Various Ongoing Projects	003040, Contractual Services (FY 2016-17)	\$ 450,000.00	003040, Contractual Services (FY 2017-18)	\$ 450,000.00
End-of-life Technology	006010, Office and Administrative (FY 2016-17)	\$ 250,000.00	006010, Office and Administrative (FY 2017-18)	\$ 250,000.00
	Subtotal	<u>\$ 1,000,000.00</u>		Subtotal <u>\$ 1,000,000.00</u>
<b>Fire</b>	<b>Fund 100/38, Fire</b>		<b>Fund 100/38, Fire</b>	
CAD/AVL Integration	003040, Contractual Services (FY 2016-17)	\$ 200,000.00	003040, Contractual Services (2017-18)	\$ 200,000.00
	006020, Operating Supplies (FY 2016-17)	\$ 400,000.00	006020, Operating Supplies (2017-18)	\$ 400,000.00
	Subtotal	<u>\$ 600,000.00</u>		Subtotal <u>\$ 600,000.00</u>
<b>Geographic MoveUp System</b>	<b>Fund 100/38, Fire</b>		<b>Fund 100/38, Fire</b>	
	006020, Operating Supplies	\$ 200,000.00	006020, Operating Supplies	\$ 200,000.00
<b>General City Purposes</b>	<b>Fund 100/56, General City Purposes</b>		<b>Fund 100/56, General City Purposes</b>	
Various	000701 through 00715, Council District Community Services* *(FY 2016-17) Reapprop. Unencumbered Balance	\$ 1,623,779.73	000701 through 00715, Council District Community Services* *(FY 2017-18) Reapprop. Unencumbered Balance	\$ 1,623,779.73
	000883, Cultural, Art and City* *(FY 2016-17) Reapprop. Unencumbered Balance	\$ 80,000.00	000883, Cultural, Art and City* *(FY 2017-18) Reapprop. Unencumbered Balance	\$ 80,000.00
	000903, Council Community Projects* *(FY 2016-17) Reapprop. Unencumbered Balance	\$ 1,078,314.00	000903, Council Community Projects* *(FY 2017-18) Reapprop. Unencumbered Balance	\$ 1,078,314.00
<b>Police</b>	<b>Fund 100/70, Police</b>		<b>Fund 100/70, Police</b>	
9-1-1 Center Telephone Cabling & Other	003040, Contractual Services (FY 2016-17)	\$ 882,554.00	003040, Contractual Services (FY 2017-18)	\$ 882,554.00
<b>Transportation</b>	<b>Fund 100/94 Transportation</b>		<b>Fund 100/94 Transportation</b>	
Bandit Taxicab Enforcement	007340, Transportation Equipment (FY 2016-17)	\$ 120,000.00	007340, Transportation Equipment (FY 2017-18)	\$ 120,000.00
Vehicle Reappropriations				
<b>Repairs at Valley Parking Enforcement</b>	<b>Fund 100/94 Transportation</b>		<b>Fund 100/94 Transportation</b>	
Office Reappropriation	003040, Contractual Services (FY 2016-17)	\$ 313,413.00	003040, Contractual Services (FY 2017-18)	\$ 313,413.00
<b>Grants and Project Management System</b>	<b>Fund 100/94 Transportation</b>		<b>Fund 100/94 Transportation</b>	
Reappropriation	003040, Contractual Services (FY 2016-17)	\$ 350,000.00	003040, Contractual Services (FY 2017-18)	\$ 350,000.00
<b>Unappropriated Balance</b>	<b>Fund 100/58, Unappropriated Balance</b>		<b>Fund 100/58, Unappropriated Balance</b>	
Commission on Revenue Generation	580225, Commission on Revenue Generation (FY 2016-17)	\$ 125,000.00	580225, Commission on Revenue Generation (FY 2017-18)	\$ 125,000.00
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 6,373,060.73</b>		<b>\$ 6,373,060.73</b>

**ATTACHMENT 11A**  
**STATUS OF UNAPPROPRIATED BALANCE**  
**GENERAL ACCOUNT as of 04-30-2017**

<b>C.F.</b>	<b>Appropriations</b>	<b>Amount</b>
16-0600	General	\$ 50,000
<b>Approved Transfer</b>		
16-0600	Reappropriation from 2015-16	27,590.89
14-1077-S1	Oldies Car Show and Concert	(696.00)
15-0984-S1	Heritage Month and Special Events	(43,000.00)
16-0838	Asian Pacific Legislative Staffers (APALS) Intern Reception	(174.00)
13-0204-S3	Nowruz Celebration	(696.00)
16-0864	Midnight Mission Easter Celebration	(696.00)
16-0878	Federal Judiciary Special Reception	(145.00)
16-0974	End of Rape on the Night Shift Event	(174.00)
16-0973	Central American Festival Parade Kickoff Reception	(290.00)
12-1802-S3	UCLA Day Special Reception	(232.00)
16-1174	California State University Dominguez Hills Special Reception	(174.00)
16-1213	Housing, Jobs, and Transportation Summit	(174.00)
16-1199	City Hall Full Day Ballot Measure Conference	(580.00)
14-1434-S2	American Society of Civil Engineers Special Reception	(261.00)
17-0072	New Leader's Project Graduation	(232.00)
17-0104	Consulado General de El Salvador Special Reception	(395.00)
17-0305	2016 Grand Park New Year's Eve & 4th of July events 2017 Theater Group Celebration	(5,046.79)
14-0550-S2	Millenium-Momentum Foundation	(261.00)
<b>Balance Available</b>		<b><u>24,364.10</u></b>
<b>Anticipated Appropriations</b>		
08-1013-S3	Special Reception for LA African American Women's Public Policy Institute	(290.00)
17-0459	Special Reception for Muslim Public Affairs Council	(316.00)
17-0404	Special Reception for the Fire Department	(261.00)
<b>Projected Balance Available</b>		<b><u>\$ 23,497.10</u></b>

**ATTACHMENT 11B**  
**STATUS OF UNAPPROPRIATED BALANCE NON-GENERAL ACCOUNT as of 04-30-2017**

Account No. UB Non-General Accounts	Primary Department	Adopted Budget	Transfer In/ Reaprop.	Appropriated during year	First FSR	Appropriated during year	Second FSR	Mid-Year FSR	Appropriated during year	Year-End FSR	Available Balance			
<b>General Fund</b>														
580001 General (see Attachment 11A)	All	\$ 50,000	\$ 27,590.89	\$ (46,103)		(1,189)			\$ (6,801.79)		\$ 23,497.10			
580132 Equipment, Expense, and Alterations & Improv.	All	3,644,725				(3,644,725.00)					\$ -			
580160 Off-site Council and Committee Meetings	Council		50,000					(2,323.56)			\$ 47,676.44			
580168 Office of Public Accountability Studies	CAO	750,000			(750,000.00)						\$ -			
580171 Pavement Preservation	St. Svcs	2,553,602			(853,602.00)			(1,700,000.00)			\$ -			
580178 Standards of Cover Analysis	Fire		400,000					(400,000.00)			\$ -			
580186 BID Assessment on City Properties	City Clk	491,436		(482,624)				(8,812.09)			\$ 0.00			
580187 Reserve for Liability Resolution	All		47,885,640.09		(47,885,640.09)						\$ -			
580194 CityLink LA	ITA		160,630					(160,630.00)			\$ -			
580197 Outside Counsel including Workers' Comp	City Atty	1,750,000		(25,000)		(1,365,000.00)		500,000.00			\$ 860,000.00			
580202 Body Worn Video Cameras	Police		3,055,348	(3,055,348)							\$ -			
580210 Clean Streets	Sanitation	1,000,000				(500,000.00)					\$ 500,000.00			
580212 Electrical Vehicle Charging Stations	BSL	1,000,000								(733,850)	\$ 266,150.00			
580213 Implementation of Public Right of Way Cleanup	HCID/BOS	3,660,000		(3,660,000)							\$ -			
580214 Sidewalk Litigation	St. Svcs		15,000,000			(12,018,346.84)					\$ 2,981,653.16			
580216 Civilian FLEX-Healthcare Reform	Personnel	1,000,000				(1,000,000.00)					\$ -			
580217 Great Streets	DOT/St. Svcs	100,000	-								\$ 100,000.00			
580220 November 2016 General Election	City Clk	4,400,000								(4,100,000)	\$ 300,000.00			
580221 Fire Department Shortfalls	Fire	5,800,000			(5,800,000.00)						\$ -			
580222 2016-17 Budgetary Shortfalls	All	15,000,000	5,000,000		(3,000,000.00)		(16,250,000.00)	1,491,603.86		(2,240,000)	\$ 1,603.86			
580223 Soft Story Retrofit Program	DBS	500,000						(500,000.00)			\$ -			
580224 Public Works Trust Fund Nexus Study	BPW	50,000								(50,000)	\$ -			
580225 Commission on Revenue	CAO	125,000									\$ 125,000.00			
580226 Seismic Retrofit Hearing Officer	DBS	105,000						(105,000.00)			\$ -			
580227 Trash Receptacle Program	BOS	518,182								(494,686)	\$ 23,496.00			
580228 Jobs and Business Development Plan	EWDD/CAO				675,000.00						\$ 675,000.00			
580229 Accessible Housing Settlement	HCID				3,000,000.00	(3,000,000)					\$ -			
580230 Reserve for Unrealized Revenue	All				5,800,000.00					3,987,301	\$ 9,787,301.00			
580879 Economic Development Initiative	EWDD		1,500,000		(1,500,000.00)						\$ -			
					\$ 42,497,945	\$ 73,079,209	\$ (7,269,075)	(50,314,242.09)	(4,366,189.00)	(32,413,071.84)	(1,885,161.79)	(501,487.79)	\$ (3,136,549.00)	\$ 15,691,377.56
<b>Special Funds</b>														
580218 Wildlife Open Space Study	Planning	\$ 250,000							(50,000.00)		200,000			
											0			
		\$ 250,000	\$ -	\$ -					(50,000.00)	\$ -	\$ -			
<b>Grand Total</b>					\$ 42,747,945	\$ 73,079,209	\$ (7,269,075)	(50,314,242.09)	(4,366,189.00)	(32,413,071.84)	(1,935,161.79)	(501,487.79)	\$ (3,136,549)	\$ 15,891,377.56

**ATTACHMENT 12**  
**EMPLOYMENT LEVEL REPORT**  
**FY 2016-17**

Department	Adopted Budget	Position Authorities			Filled Positions			Vacancies	Activated Sub. Auth.	
		Start of March	Changes	End of March	Start of March	Changes	End of March			
Aging	44	48	-	48	35	-	35	13	-	
Animal Services	356	361	-	361	318	5	323	38	2	
Building and Safety	885	1,061	-	1,061	927	15	942	119	30	
City Administrative Officer	121	133	-	133	121	-	121	12	6	
City Attorney	859	966	-	966	930	10	940	26	5	
City Clerk	110	112	-	112	107	2	109	3	2	
City Planning	316	429	1	430	342	1	343	87	10	
Controller	167	173	-	173	143	-	143	30	3	
Convention Center and Tourism										
Dev't	13	13	-	13	10	-	10	3	-	
Cultural Affairs	51	80	-	80	52	4	56	24	-	
Disability	13	22	-	22	18	-	18	4	-	
Economic & Workforce Development	111	175	-	175	131	9	140	35	-	
El Pueblo	10	10	-	10	9	-	9	1	-	
Emergency Management	21	26	-	26	25	(1)	24	2	-	
Employee Relations Board	3	3	-	3	3	-	3	-	-	
Ethics Commission	25	25	-	25	24	-	24	1	-	
Finance	346	370	-	370	327	(2)	325	45	16	
Fire - Civilian	379	410	3	413	355	(6)	349	64	4	
Fire - Sworn	3,350	3,377	-	3,377	3,274	-	3,274	103	-	
General Services	1,269	1,305	-	1,305	1,194	17	1,211	94	6	
Housing	592	721	4	725	571	-	571	154	13	
Information Technology Agency	422	443	-	443	390	(4)	386	57	5	
Neighborhood Empowerment	27	27	-	27	21	(1)	20	7	-	
Personnel	486	535	-	535	501	4	505	30	6	
Police - Civilian	3,330	3,318	-	3,318	2,836	(1)	2,835	483	1	
Police - Sworn	10,545	10,596	-	10,596	9,916	(5)	9,911	685	4	
PW/Board of Public Works	90	106	-	106	98	1	99	7	1	
PW/Bureau of Contract Admin	251	364	-	364	299	(2)	297	67	-	
PW/Bureau of Engineering	678	885	1	886	783	5	788	98	18	
PW/Bureau of Sanitation	2,890	3,035	-	3,035	2,599	(11)	2,588	447	10	
PW/Bureau of Street Lighting	210	272	-	272	244	(1)	243	29	1	
PW/Bureau of Street Services	896	1,230	-	1,230	988	(3)	985	245	22	
Transportation	1,325	1,577	-	1,577	1,365	(6)	1,359	218	11	
Zoo	229	240	-	240	213	(3)	210	30	3	
	<b>Subtotal</b>	<b>30,420</b>	<b>32,448</b>	<b>9</b>	<b>32,457</b>	<b>29,169</b>	<b>27</b>	<b>29,196</b>	<b>3,261</b>	<b>179</b>
Library	1,062	1,194	-	1,194	929	(9)	920	274	-	
Recreation and Parks	1,421	1,663	-	1,663	1,375	5	1,380	283	239	
	<b>Subtotal</b>	<b>2,483</b>	<b>2,857</b>	<b>-</b>	<b>2,857</b>	<b>2,304</b>	<b>(4)</b>	<b>2,300</b>	<b>557</b>	<b>239</b>
	<b>Total</b>	<b>32,903</b>	<b>35,305</b>	<b>9</b>	<b>35,314</b>	<b>31,473</b>	<b>23</b>	<b>31,496</b>	<b>3,818</b>	<b>418</b>

"Position Authorities-Start of March" includes resolution authority and substitute positions.

Monthly Summary	Adopted Budget	Position Authorities			Filled Positions			Vacancies	Activated Sub. Auth.
		Start of Month	Changes	End of Month	Start of Month	Changes	End of Month		
July	32,903	35,073	132	35,205	30,859	44	30,903	4,302	377
August	32,903	35,205	18	35,223	30,903	(72)	30,831	4,392	377
September	32,903	35,223	2	35,225	30,831	25	30,856	4,369	379
October	32,903	35,225	7	35,232	30,856	141	30,997	4,235	381
November	32,903	35,232	37	35,269	30,997	145	31,142	4,127	392
December	32,903	35,269	17	35,286	31,142	147	31,289	3,997	400
January	32,903	35,286	13	35,299	31,289	127	31,416	3,883	409
February	32,903	35,299	6	35,305	31,416	57	31,473	3,832	414
March	32,903	35,305	9	35,314	31,473	23	31,496	3,818	418

ATTACHMENT 13

**120 Day Appointments - Decisions**  
**Fiscal Year 2016-17 (July 01 - March 31, 2017)**

<b>Department</b>	<b>Approved</b>
Airports	5
Building & Safety	17
CAO	6
City Attorney	2
City Planning	6
Controller	94
Convention & Tourism	1
Finance	1
Fire	3
General Services	9
Harbor	10
Housing and Community Invest.	1
Information Technology Agency	1
LACERS	2
Library	97
Personnel	34
Police	6
Public Works-Contract Admin.	1
Public Works-Engineering	2
Public Works-Sanitation	3
Recreation & Parks	8
Transportation	12
<b>Grand Total</b>	<b>321</b>

\* Total approved 120-day positions does not represent total number on payroll.

## Attachment 14

### Positions Added via Budget Motion in 2016-17

Department	No.	Classification	Regular	Resolution	Status
			Authority	Authority	
City Attorney	2	Deputy City Attorney II	2	Employment Litigation Division.	16-0600-S129: Positions filled with one Paralegal I assigned to the Employment Litigation Division and one Paralegal I assigned to the General Litigation Division.
City Attorney	2	Deputy City Attorney II	2	Office for Workers' Compensation.	16-0600-S132: Positions filled with one Paralegal II assigned to the Business & Complex Division and one Investigator II assigned to the Workers' Compensation Division.
Cultural Affairs	1	Senior Project Coordinator	1	Neighborhood Cultural Planning and Vitality.	16-0600-S119: Vacant. This position was not continued in 2017-18.
Office of Finance	1	Senior Management Analyst II	1	Restore-Revenue Management Division	16-0600-S133: Position is filled and funded by salary savings.
Planning	1	Deputy Director of Planning	1	Executive Officer/Chief of Staff	16-0600-S116: Vacant. Position to be filled by year-end. Interviews in process.
Transportation	1	Senior Management Analyst II	1	Restore-Parking Meter Division/LA Express Park & Permit Parking (San Fernando Valley).	16-0600-S116: Vacant.
<b>Total</b>	<b>8</b>		<b>2</b>	<b>6</b>	

## STATUS OF LIABILITY ACCOUNTS

	Total Available		Paid (B)	Approved but Pending Payment (C)	Available Balance (D=A+B+C)	% to Adjusted Budget (11)
	2016-17 Adopted Budget	2016-17 Adjusted Budget				
			Amount	Amount	Amount	
Fire (8)	009790	\$ 2,250,000	\$ 3,364,538	\$ (2,270,967)	\$ -	\$ 1,093,571 33%
General Services (8)	009791	\$ 1,000,000	\$ 402,824	\$ (402,824)	\$ -	\$ - 0%
Police (8)(9)	009792	\$ 30,750,000	\$ 43,091,279	\$ (40,273,384)	\$ (2,788,988)	\$ 28,908 0%
PW/Engineering (8)	009793	\$ 1,100,000	\$ 278,159	\$ (278,159)	\$ -	\$ 0 0%
PW/Sanitation	009794	\$ 8,750,000	\$ 8,750,000	\$ (4,162,705)	\$ -	\$ 4,587,295 52%
PW/Street Services (1)(8)	009795	\$ 8,500,000	\$ 15,212,465	\$ (15,127,466)	\$ (80,000)	\$ 4,999 0.03%
Recreation & Parks (2)(8)	009796	\$ 1,150,000	\$ 2,005,298	\$ (2,005,298)	\$ -	\$ 0 0.00%
Transportation (3)(8)(9)	009797	\$ 4,200,000	\$ 29,920,228	\$ (27,919,216)	\$ -	\$ 2,001,012 7%
Miscellaneous (4)(5)(6)(7)(8)(9)(10)	009798	\$ 10,750,000	\$ 43,625,207	\$ (36,452,917)	\$ (491,339)	\$ 6,680,951 15%
<b>TOTALS</b>		<b>\$ 68,450,000</b>	<b>\$ 146,649,999</b>	<b>\$ (128,892,936)</b>	<b>\$ (3,360,327)</b>	<b>\$ 14,396,737 10%</b>

NOTES:

- (1) Adjusted Budget includes pending transfers from the Miscellaneous Account 009798 to Street Services Account 009795 totaling \$3.855 million.
- (2) Adjusted Budget includes a \$426,756 transfer from the Miscellaneous Account 009798 to Recreation & Parks Account 009796.
- (3) Adjusted Budget includes a \$23 million transfer from the Reserve Fund to Transportation Account 009797 for the Guilmette matter.
- (4) Approved but Pending Payment includes pending liability payment requests (e.g., Authority for Expenditures) valued at \$100,000 and below.
- (5) Includes a \$5.5 million transfer from the Reserve Fund to the Miscellaneous Account 009798 for attorneys fees and damages associated with the Independent Living Center settlement.
- (6) Excludes transfers and appropriations for the remaining Independent Living Center settlement of \$16 million and the Willits settlement of \$12 million in the Second FSR.
- (7) Excludes a \$45,789,960.62 transfer from the Unappropriated Balance to the Miscellaneous Account 009798 for attorney fees and expenses for the Ardon settlement.
- (8) Adjusted Budget includes transfers to the Miscellaneous Account for administrative purposes proposed in the Second FSR.
- (9) Includes Judgement Obligation Bond funds totalling \$33.7 million divided into the following accounts: Police-\$23.2 million; Miscellaneous-\$8.5 million; Transportation-\$2 million.
- (10) Excludes a \$250,000 transfer from the Housing and Community Investment Department for monitoring fees associated with the Independent Living Center settlement.
- (11) Negative balances will offset with pending transfers from the Miscellaneous Account 009798.

**MAYOR'S OFFICE OF GANG REDUCTION AND YOUTH DEVELOPMENT (GRYD)**  
**FY 16-17 CONTRACTUAL SERVICES - \$24,574,437**

**PREVENTION - GRYD Zone/Secondary Area**

	Agency	GRYD ZONE	General Fund	CD 9 HACLA	CalGrip 15/16	Proyecto Palabra Extension	Proyecto Palabra Supplemental	Total Budget Amount	Expenditures	General Fund Balance	Grant Fund Balance	Balance Total
1	Alma Family Services	Hollenbeck II	480,000.00					480,000.00	93,980.70	386,019.30	-	386,019.30
2	Alma Family Services	Hollenbeck III	376,554.00		163,446.00			540,000.00	36,150.14	340,403.86	-	340,403.86
3	Asian American Drug Abuse Prog.	77th I	600,000.00					600,000.00	174,777.72	425,222.28	-	425,222.28
4	Asian American Drug Abuse Prog.	77th III	600,000.00					600,000.00	184,151.41	415,848.59	-	415,848.59
5	Barrio Action Youth & Family Serv.	Hollenbeck I	534,000.00					534,000.00	180,636.69	353,363.31	-	353,363.31
6	Brotherhood Crusade	Southwest II	800,000.00					800,000.00	177,504.05	622,495.95	-	622,495.95
7	Community Build, Inc.	77th II	600,000.00					600,000.00	278,115.99	321,884.01	-	321,884.01
8	Community Build, Inc.	Southwest I	800,000.00					800,000.00	355,370.93	444,629.07	-	444,629.07
9	Communities In Schools	Devonshire-Topanga	250,000.00					250,000.00	132,218.23	117,781.77	-	117,781.77
10	El Centro Del Pueblo	Rampart I	400,000.00					400,000.00	180,960.12	219,039.88	-	219,039.88
11	El Centro Del Pueblo	Rampart II	400,000.00					400,000.00	122,246.44	277,753.56	-	277,753.56
12	El Centro Del Pueblo	Northeast	600,000.00					600,000.00	216,846.72	383,153.28	-	383,153.28
13	El Nido Family Centers	Foothill	636,554.00		163,446.00			800,000.00	258,785.44	377,768.56	7.94	377,776.50
14	Latino Resource Organization	Pacific	100,000.00					100,000.00	-	100,000.00	-	100,000.00
15	New Directions for Youth	Mission	970,000.00					970,000.00	536,241.28	433,758.72	-	433,758.72
16	P.F.Bressee Foundation	Olympic	475,000.00					475,000.00	205,535.79	269,464.21	-	269,464.21
17	Soledad Enrichment Action	Newton I	400,000.00					400,000.00	157,053.33	242,946.67	-	242,946.67
18	Soledad Enrichment Action	Newton II	400,000.00					400,000.00	139,651.93	260,348.07	-	260,348.07
19	Toberman Neighborhood Center	Harbor	200,000.00					200,000.00	55,914.58	144,085.42	-	144,085.42
20	Watts Labor Community Action Cm	Southeast I	436,000.00					436,000.00	106,970.19	329,029.81	-	329,029.81
21	Watts Labor Community Action Cm	Southeast II	450,000.00					450,000.00	106,329.37	343,670.63	-	343,670.63
22	Watts Labor Community Action Cm	Southeast III	450,000.00					450,000.00	107,580.20	342,419.80	-	342,419.80
23	Youth Policy Institute	Hollywood	250,000.00					250,000.00	81,639.35	168,360.65	-	168,360.65
	<b>Sub Total</b>	<b>11,208,108.00</b>	<b>326,892.00</b>		-			<b>11,535,000.00</b>	<b>3,888,660.60</b>	<b>7,319,447.40</b>	<b>7.94</b>	<b>7,319,455.34</b>

**INTERVENTION - GRYD Zone/Secondary Area**

	Agency	GRYD ZONE	General Fund	CD 9 HACLA	CalGrip 15/16	Proyecto Palabra Extension	Proyecto Palabra Supplemental	Total Budget Amount	Expenditures	General Fund Balance	Grant Fund Balance	Balance Total
1	Faith Based Organization TBD							28,000.00	28,000.00	-	28,000.00	28,000.00
2	Alliance for Community Empowerment	Devonshire-Topanga	257,000.00					257,000.00	94,068.90	162,931.10	-	162,931.10
3	Chapter Two	77th II	555,000.00					555,000.00	155,964.18	399,035.82	-	399,035.82
4	Community Build, Inc.	Southwest I	555,000.00					555,000.00	245,699.15	309,300.85	-	309,300.85
5	Communities In Schools	Foothill	599,054.00		212,946.00			812,000.00	97,611.68	501,442.32	73,789.21	575,231.53
6	Communities In Schools	Mission	601,250.00					601,250.00	312,872.11	288,377.89	-	288,377.89
7	Communities In Schools	Valley				147,600.00	51,166.65	198,766.65	-	-	137,746.56	137,746.56
8	Community Partners/Urban Peace Institute	Southeast III	555,000.00					555,000.00	116,337.41	438,662.59	-	438,662.59
9	Developing Options	77th I	460,000.00					460,000.00	-	460,000.00	-	460,000.00
10	Going Beyond Boundaries	Newton I	555,000.00					555,000.00	226,873.83	328,126.17	-	328,126.17
11	PHFE/Aztecs Rising	Rampart I	555,000.00					555,000.00	312,872.71	242,127.29	-	242,127.29
12	PHFE/Aztecs Rising	Rampart II	555,000.00					555,000.00	-	555,000.00	-	555,000.00
13	PHFE/Aztecs Rising	Olympic	555,000.00					555,000.00	-	555,000.00	-	555,000.00
14	PHFE/Aztecs Rising	Hollywood	257,000.00					257,000.00	-	257,000.00	-	257,000.00
15	Soledad Enrichment Action	Northeast	555,000.00					555,000.00	160,359.96	394,640.04	-	394,640.04
16	Soledad Enrichment Action	77th III	555,000.00					555,000.00	148,519.19	406,480.81	-	406,480.81

	Agency	GRYD ZONE	General Fund	CD 9 HACLA	CalGrip 15/16	Proyecto Palabra Extension	Proyecto Palabra Supplemental	Total Budget Amount	Expenditures	General Fund Balance	Grant Fund Balance	Balance Total
17	Soledad Enrichment Action	Southeast II	555,000.00					555,000.00	157,179.78	397,820.22	-	397,820.22
18	Soledad Enrichment Action	Hollenbeck I	555,000.00					555,000.00	140,308.14	414,691.86	-	414,691.86
19	Soledad Enrichment Action	Hollenbeck II	555,000.00					555,000.00	139,937.90	415,062.10	-	415,062.10
20	Soledad Enrichment Action	Hollenbeck III	342,054.00		212,946.00			555,000.00	24,796.71	317,257.29	75,271.89	392,529.18
21	Soledad Enrichment Action	South, Central & Tattoo Removal				322,138.26	144,333.30	466,471.56		-	177,319.82	177,319.82
22	Toberman Neighborhood Center	Harbor	475,000.00					475,000.00	133,260.31	341,739.69	-	341,739.69
23	Venice 2000/HELPER Foundation	Pacific	257,000.00					257,000.00	99,776.98	157,223.02	-	157,223.02
24	Vermont Village	Southeast I	555,000.00					555,000.00	101,056.50	453,943.50	-	453,943.50
25	Volunteers of America	Newton II	555,000.00					555,000.00	77,286.28	477,713.72	-	477,713.72
26	Volunteers of America	Southwest II	555,000.00					555,000.00	88,483.65	466,516.35	-	466,516.35
Sub Total			11,573,358.00		425,892.00	469,738.26	223,499.95	12,692,488.21	2,833,265.37	7,868,824.86	464,127.48	9,232,220.11
<b>RE-ENTRY</b>												
	Agency	GRYD ZONE	General Fund	CD 9 HACLA	CalGrip 15/16	Proyecto Palabra Extension	Proyecto Palabra Supplemental	Total Budget Amount	Expenditures	General Fund Balance	Grant Fund Balance	Balance Total
1	Homeboy Industries		250,000.00					250,000.00	81,100.75	168,899.25	-	168,899.25
2	Soledad Enrichment Action		250,000.00					250,000.00	50,716.82	199,283.18	-	199,283.18
Sub Total			500,000.00		-	-	-	500,000.00	131,817.57	368,182.43	-	368,182.43
<b>OTHER</b>												
	Agency	GRYD ZONE	General Fund	CD 9 HACLA	CalGrip 15/16	Proyecto Palabra Extension	Proyecto Palabra Supplemental	Total Budget Amount	Expenditures	General Fund Balance	Grant Fund Balance	Balance Total
1	Community Partners (Rapid Response)		174,000.00	25,000.00				199,000.00	59,244.11	114,755.89	25,000.00	114,755.89
2	Community Partners (Safe Passage)			154,014.42				154,014.42			93,064.85	93,064.85
3	Various Vendors		50,000.00					50,000.00	-	50,000.00	-	50,000.00
4	Dr. Andrae Brown		130,000.00					130,000.00	75,000.00	55,000.00	-	55,000.00
5	CSULA (Cal State University, LA)		1,110,671.00		55,000.00			1,165,671.00	-	1,110,671.00	55,000.00	1,110,671.00
6	Harder & Company Community Research	Hollenbeck III	120,000.00		55,000.00			175,000.00	25,936.12	94,063.88	21,827.74	115,891.62
7	Social Solutions Global		150,208.40					150,208.40	74,504.64	75,703.76	-	75,703.76
8	Communities in Schools (tattoo removal)	Foothill	29,807.39					29,807.39	17,972.41	11,834.98	-	11,834.98
9	Onisko & Schultz		55,540.66					55,540.66	37,706.41	17,834.25	-	17,834.25
10	LAVITA		140,000.00					140,000.00	19,906.82	120,093.18	-	120,093.18
11	AppleOne		30,000.00					30,000.00		30,000.00	-	30,000.00
12	GunBuy Back		100,000.00					100,000.00		100,000.00	-	100,000.00
13	Unallocated		63,262.06					63,262.06	38,203.00	25,059.06	-	25,059.06
Sub Total			2,153,489.51	179,014.42	110,000.00	-	-	2,442,503.93	348,473.51	1,805,016.00	194,892.59	1,919,908.59
Totals			25,434,955.51	179,014.42	862,784.00	469,738.26	223,499.95	27,169,992.14	7,202,217.05	17,361,470.69	659,028.01	18,839,766.47

FY 16/17 Contractual Services Budget 24,574,437.00  
 Rollover early close-outs FY 15/16 860,518.51  
**FY 16/17 Balance Available** 25,434,955.51  
  
 GF 25,434,955.51  
 Grants 1,735,036.63  
**Total GF & Grants** 27,169,992.14  
 Unobligated 0.00

Rollover from previous fiscal years 226,203.27

**ATTACHMENT 17**  
**FY 2017-18 BUDGET ADJUSTMENTS**  
**TRANSFERS WITHIN AND BETWEEN FUNDS**

REQUESTING DEPARTMENT	TRANSFER FROM		TRANSFER TO	
	FUND/ACCOUNT	AMOUNT	FUND/ACCOUNT	AMOUNT
<b>Police</b>	<u>Fund 667/46, Supplemental Law Enforcement Services Fund</u>		<u>Fund 667/46, Supplemental Law Enforcement Services Fund</u>	
<i>Reappropriate prior year unused amounts</i>	46L201, Supp Law Enforcement (FY 1997)	\$ 65,850.30	46P170, Police (FY 2018)	\$ 6,012,719.68
	46P170, LAPD Salaries (FY 2000)	\$ 466,846.00		
	46R170, LAPD Salaries (FY 2001)	\$ 1,125,914.63		
	46S170, LAPD Salaries (FY 2002)	\$ 357,680.84		
	46T170, LAPD Salaries (FY 2003)	\$ 36,977.81		
	46V170, LAPD Salaries (FY 2004)	\$ 1,278,570.33		
	46H170, Police (FY 2012)	\$ 2,535,844.85		
	46J170, Police (FY 2013)	\$ 19,327.00		
	46L170, Police (FY 2015)	\$ 125,707.92		
	Subtotal	<u>\$ 6,012,719.68</u>		
<b>Fire</b>	<u>Fund 100/38, Fire (105/10)</u>		<u>Fund 100/38, Fire (105/10)</u>	
<i>SOBER Unit-Innovation Fund</i>	001010, Salaries General (2016-17)	\$ 58,500.00	001010, Salaries General (2017-18)	\$ 20,000.00
			001093, Overtime Constant Staffing (2017-18)	\$ 38,500.00
			Subtotal	<u>\$ 58,500.00</u>
<b>TOTAL ALL DEPARTMENTS AND FUNDS</b>		<b>\$ 6,071,219.68</b>		<b>\$ 6,071,219.68</b>

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Animal Services</b>						
GAE	2015	06 CO15115190M	RHO-CHEM LLC	1	\$ 20,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	06 AE16000019M	IDEXX DISTRIBUTION INC	1	\$ 10,150.75	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 30,150.75</b>	
<b>Building and Safety</b>						
GAE	2016	08 AE1648R002M	REED ELSEVIER INC	1	\$ 500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	08 AE1648R003M	CORELOGIC SOLUTIONS LLC	1	\$ 6,105.24	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	08 CO16126137M	US BANK	1	\$ 8,342.82	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 14,948.06</b>	
<b>City Administrative Officer</b>						
GAE	2015	10 CO15117696A	ATKINSON, ANDELSON, LOYA, RUUD & ROMO	1	\$ 53,628.36	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	10 CO15120100M	JOHN E BARTEL	1	\$ 86,961.15	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	10 CO16117696A	ATKINSON, ANDELSON, LOYA, RUUD & ROMO	1	\$ 50,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	10 CO16120100M	JOHN E BARTEL	1	\$ 139,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	10 CO16126444M	OLIVER WYMAN ACTUARIAL CONSULTING INC	1	\$ 200,331.92	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	10 CO16126670M	MATRIX CONSULTING GROUP	1	\$ 66,906.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	10 CO16127344M	ERNST & YOUNG US LLP	1	\$ 35,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	10 CO16127401M	M ARTHUR GENSLER JR & ASSOCIATES INC	1	\$ 18,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	10 CO16127425M	BAE URBAN ECONOMICS INC	1	\$ 26,458.60	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 676,286.03</b>	
<b>City Attorney</b>						
GAE	2010	12 FMISCO0108660A	LOUIE & STETTLER A LAW CORPORATION	1	\$ 3,333.34	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2010	12 FMISCO0110596A	TENNEHOUSE & MINASSIAN INC	1	\$ 6,583.28	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2012	12 CO12118698A	CHRISTIE PARKER & HALE LLP	1	\$ 13,205.94	A legal obligation/liability exists and/or a contingent liability exists.

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>City Attorney (continued)</b>						
GAEAE	2013	12 AE13001038M	ADAAG CONSULTING SERVICES	1	\$ 5,889.20	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13108308A	GURVITZ, MARLOWE & FERRIS, LLP	1	\$ 1,666.80	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13108315A	MARTIN & MARTIN, LLP	1	\$ 5,000.02	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13108316A	LAW OFFICES OF JEFFREY WEISS	1	\$ 4,537.75	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13108660A	LOUIE & STETTLER A LAW CORPORATION	1	\$ 1,666.67	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13110454A	CAMILO A BECERRA	1	\$ 8,333.34	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13110596A	TENNENHOUSE & MINASSIAN INC	1	\$ 1,666.67	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13115552A	KRONICK/MOSKOVITZ/T LEDEMANN/GIRARD APC	1	\$ 1,334.60	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13121421A	MEYERS NAVÉ RIBACK SILVER & WILSON APC	1	\$ 34,766.11	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2013	12 CO13122287A	GREINES/MARTIN/STEIN & RICHARD	1	\$ 26,949.60	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	12 CO14108296A	LIEBERT CASSIDY WHITMORE	1	\$ 9,578.58	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	12 CO14108308A	GURVITZ, MARLOWE & FERRIS, LLP	1	\$ 5,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	12 CO14109849A	LIEBERT CASSIDY WHITMORE	1	\$ 36,574.93	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	12 CO14109883A	LIEBERT CASSIDY WHITMORE	1	\$ 8,084.26	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	12 CO14110451A	ADELSON, TESTAN, BRUNDO, NOVELL & JIMENEZ APC	1	\$ 9,083.33	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	12 CO14110454A	CAMILO A BECERRA	1	\$ 5,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	12 CO14121421A	MEYERS NAVÉ RIBACK SILVER & WILSON APC	1	\$ 30,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15108296A	LIEBERT CASSIDY WHITMORE	1	\$ 165,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15108297A	LIEBERT CASSIDY WHITMORE	1	\$ 33,124.87	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15110450A	ARA AGHISHIAN APC	1	\$ 6,470.37	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15110452A	LEWIS BRISBOIS BISGAARD & SMITH LLP	1	\$ 1,666.66	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15113155A	LIEBERT CASSIDY WHITMORE	1	\$ 186,415.68	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>City Attorney (continued)</b>						
GAE	2015	12 CO15113455A	DRINKER BIDDLE & REATH LLP	1	\$ 1,682.10	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15115552A	KRONICK/MOSKOVITZ/TIEDMANN & GIRARD APC	1	\$ 3,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15118838A	MEYERS NAVÉ RIBACK SILVER & WILSON APC	1	\$ 116,976.46	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15120558A	Remcho, Johansen & Purcell LLP	1	\$ 181,148.28	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15121421A	MEYERS NAVÉ RIBACK SILVER & WILSON APC	1	\$ 6,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	12 CO15123475A	GREINES, MARTIN, STEIN AND RICHLAND LLP	1	\$ 8,376.58	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	12 AE16001049M	CORELOGIC SOLUTION LLC	1	\$ 1,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	12 AE16001060M	VARIOUS -EXPERT WITNESS	1	\$ 12,856.12	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16106214A	COLANTUONO HIGHSMITH & WHATLEY PC	1	\$ 152,254.77	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16110450A	ARA AGHISHIAN APC	1	\$ 9,999.99	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16110453A	DANIEL J DONAHUE	1	\$ 10,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16115552A	KRONICK/MOSKOVITZ/TIEDMANN & GIRARD APC	1	\$ 2,200.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16117391A	LIEBERT CASSIDY WHITMORE	1	\$ 16,469.57	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16120019A	MEYERS NAVÉ RIBACK SILVER & WILSON APC	1	\$ 9,641.88	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16122193A	OGLETREE/DEAKINS/NASH/SMOAK & STEWART PC	1	\$ 20,412.35	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16122286A	STEPTOE & JOHNSON LLP	1	\$ 9,495.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16124681M	CYCOM DATA SYSTEMS	1	\$ 8,200.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16124964M	IBP PARTNERS	1	\$ 32,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16125357M	CA DEPT OF PUBLIC WORKS	1	\$ 86,880.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16125810A	LOZANO SMITH LLP	1	\$ 166,051.35	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16126875M	STORETRIEVE LLC	1	\$ 13,753.78	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12 CO16127064A	LIEBERT CASSIDY WHITMORE	1	\$ 9,385.47	A legal obligation/liability exists and/or a contingent liability exists.

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>City Attorney (continued)</b>							
GAE	2016	12	CO16127065A	LIEBERT CASSIDY WHITMORE	1	\$ 30,006.58	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12	CO16127066A	LIEBERT CASSIDY WHITMORE	1	\$ 11,140.95	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12	CO16127302M	PARTNERS IN DIVERSITY	1	\$ 1,356.98	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12	CO16127650A	LIEBERT CASSIDY WHITMORE	1	\$ 43,199.02	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	12	CO16127651A	LIEBERT CASSIDY WHITMORE	1	\$ 20,374.78	A legal obligation/liability exists and/or a contingent liability exists.
					<b>Subtotal</b>	<b>\$ 1,595,794.01</b>	

## City Planning

GAE	2014	68	CO14116894M	RINCON CONSULTANTS INC	1	\$ 184,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	68	CO14116894M	RINCON CONSULTANTS INC	2	\$ 4,105.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	68	CO14116897M	ATKINS NORTH AMERICA, INC.	2	\$ 150,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	68	CO14116898M	TERRY A HAYES ASSOCIATES INC	6	\$ 10,462.32	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	68	CO14116898M	TERRY A HAYES ASSOCIATES INC	7	\$ 135,002.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	68	CO14116898M	TERRY A HAYES ASSOCIATES INC	10	\$ 86,726.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15116897M	ATKINS NORTH AMERICA, INC.	1	\$ 17,350.08	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15116898M	TERRY A HAYES ASSOCIATES INC	1	\$ 2,130.46	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15116898M	TERRY A HAYES ASSOCIATES INC	3	\$ 5,785.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15116898M	TERRY A HAYES ASSOCIATES INC	4	\$ 50,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15116902M	ITERIS INC	3	\$ 13,385.12	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15116902M	ITERIS INC	4	\$ 13,385.11	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15116902M	ITERIS INC	5	\$ 38,953.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15122003M	NBS GOVERNMENT FINANCE GROUP	2	\$ 7,160.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68	CO15124572M	RINCON CONSULTANTS INC	1	\$ 170,000.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>City Planning (continued)</b>						
GAE	2015	68 CO15124574M	EYESTONE JONES ENVIRONMENTAL LLC	1	\$ 64,563.58	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68 CO15124577M	TERRY A HAYES ASSOCIATES INC	1	\$ 119,173.61	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68 CO15124577M	TERRY A HAYES ASSOCIATES INC	2	\$ 132,994.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68 CO15124592M	FEHR & PEERS	1	\$ 1,214.81	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68 CO15124592M	FEHR & PEERS	2	\$ 36,286.36	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	68 CO15124592M	FEHR & PEERS	3	\$ 17,886.62	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	68 AE16MSC004M	REGISTRAR RECORDER		\$ 480.25	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16122003M	NBS GOVERNMENT FINANCE GROUP	1	\$ 20,030.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16122418M	CODE STUDIO INC	1	\$ 600,132.68	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16124493M	CHATTEL INC	1	\$ 4,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16124493M	CHATTEL INC	2	\$ 52,200.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16124526M	ALTA PLANNING + DESIGN	1	\$ 34,354.25	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16124559M	IMPACT SCIENCES INC	1	\$ 109,345.49	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16124575M	MICHAEL BAKER INTERNATIONAL INC	1	\$ 12,300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16124592M	FEHR & PEERS	2	\$ 190,748.77	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16126628M	BAE URBAN ECONOMICS INC	1	\$ 5,736.31	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	68 CO16126642M	MOORE IACOFANO GOLTSMAN INC	1	\$ 189,680.14	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 2,480,071.46</b>	
<b>Ethics Commission</b>						
GAE	2016	17 CO16124895M	COMMERCIAL PROGRAMMING SYSTEMS INC	1	\$ 47,398.86	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	17 CO16125158M	DEPARTMENT OF GENERAL SERVICES	1	\$ 1,842.50	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 49,241.36</b>	

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT	DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Controller</b>							
GAE	2014	26	CO14114340M	CGI TECHNOLOGIES AND SOLUTIONS INC	1	\$ 138,859.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2015	26	AE15260007M	API FUND FOR PAYROLL EDUCATION	1	\$ 510.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2015	26	AE15260008M	LYNDA.COM INC	1	\$ 3,700.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	26	CO15114340M	CGI TECHNOLOGIES AND SOLUTIONS INC	1	\$ 86,198.60	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	26	CO15125138M	BEACON ECONOMICS LLC	2	\$ 15,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	26	CO16125135M	BRONNER GROUP LLC	1	\$ 12,022.01	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	26	CO16125136M	KH CONSULTING GROUP	1	\$ 14,697.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	26	CO16125136M	KH CONSULTING GROUP	2	\$ 43,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	26	CO16125868M	KPMG, LLP	1	\$ 93,625.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	26	CO16127703M	CGI TECHNOLOGIES AND SOLUTIONS INC	1	\$ 485,774.00	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	26	TL162600005	PER DIEM AND AIRFARE-GFOA 2016	1	\$ 603.03	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	26	TL162600005	PER DIEM AND AIRFARE-GFOA 2016	2	\$ 117.80	A legal obligation/liability exists and/or a contingent liability exists.
					<b>Subtotal</b>	<b>\$ 894,106.94</b>	
<b>Council</b>							
GAE	2014	28	CO14118880M	CONVENTIONS SPORT & LEISURE INTERNATIONAL LLC	2	\$ 4,994.48	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	28	CO14123742M	KEYSER MARSTON ASSOCIATES	1	\$ 8,537.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	28	CO15122709M	CONVENTIONS SPORT & LEISURE INTERNATIONAL LLC	1	\$ 3,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	28	CO15123763P	ULISSES SANCHEZ	1	\$ 14,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	28	CO16125823P	ULISSES SANCHEZ	1	\$ 24,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	28	CO16126137M	US BANK	1	\$ 14,440.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	28	CO16126217P	RENEE WEITZER	1	\$ 12,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	28	CO16126281M	STEPHEN D OFNER JR	1	\$ 4,050.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>Council (continued)</b>							
GAE	2016	28	CO16126291P	JORGE LUIS MACIAS	1	\$ 13,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	28	CO16126687M	KEYSER MARSTON ASSOCIATES	2	\$ 4,510.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	28	CO16126936P	LAURA MARCHETTI	1	\$ 53,250.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	28	CO16127397P	TERESA M MORENO	1	\$ 380.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800001	Department of General Services	1	\$ 13,833.69	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800002	Department of General Services	1	\$ 3,621.56	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800003	Department of General Services	1	\$ 4,631.81	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800004	Department of General Services	1	\$ 8,468.68	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800005	Department of General Services	1	\$ 10,938.18	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800006	Department of General Services	1	\$ 14,269.94	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800007	Department of General Services	1	\$ 10,200.55	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800008	Department of General Services	1	\$ 6,517.88	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800009	Department of General Services	1	\$ 3,747.56	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800010	Department of General Services	1	\$ 7,910.32	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800011	Department of General Services	1	\$ 3,723.32	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800012	Department of General Services	1	\$ 5,625.94	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800013	Department of General Services	1	\$ 1,976.24	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800014	Department of General Services	1	\$ 25,780.15	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800015	Department of General Services	1	\$ 3,160.93	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800016	Department of General Services	1	\$ 44,930.20	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	28	ID162800017	Department of General Services	1	\$ 4,757.32	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 331,756.25</b>		

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

## ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Cultural Affairs</b>						
GAE	2014	30 CO14111935M	FLIGHT COMMUNITY SERVICES INC.	1	\$ 11,491.83	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	30 CO14111935M	FLIGHT COMMUNITY SERVICES INC.	2	\$ 11,527.61	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	30 CO14122443Y	COMMUNITY BUILD INC	1	\$ 550.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	30 CO14123578Y	COMMUNITY BUILD INC	1	\$ 784.90	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	30 CO14123696M	MELVYN GREEN ASSOCIATES	1	\$ 2,380.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15110666M	L TECH NETWORK SERVICES INC	1	\$ 135.76	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124009Y	SHAKESPEARE AT PLAY INC	1	\$ 4,900.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124179Y	CULTURE SHOCK LOS ANGELES DANCE TROUPE	1	\$ 4,560.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124268Y	CULTURAL HERITAGE FOUNDATION INC	1	\$ 6,520.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124279Y	COMMUNITY BUILD INC	1	\$ 101.24	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124280Y	GOLDEN STATE POPS ORCHESTRA/FRIENDS OF THE GSPO	1	\$ 1,712.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124295Y	THE RACHEL ROSENTHAL CO/C	1	\$ 3,800.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124420Y	OVERTONE INDUSTRIES	1	\$ 1,300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124666Y	FRIENDS OF THE FAMILY	1	\$ 3,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124669Y	POOR DOG GROUP	1	\$ 600.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124864Y	MELVYN GREEN ASSOCIATES	1	\$ 1,775.95	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15124979M	BARNSDALL ART PARK FOUNDATION	1	\$ 5,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15125091M	FLIGHT COMMUNITY SERVICES INC.	1	\$ 1,999.83	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15125596Y	AUTOMATA ARTS	1	\$ 3,800.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	30 CO15125755Y	THE RIGHTWAY FOUNDATION	1	\$ 9,424.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2015	30 AE15000031M	ROSA LOWINGER & ASSOCIATES	2	\$ 300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16120591Y	CALIFORNIA INSTITUTE OF THE ARTS	1	\$ 250,000.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Cultural Affairs (continued)</b>						
GAE	2016	30 CO16120938M	RMI INTERNATIONAL INC	1	\$ 37,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16125755Y	THE RIGHTWAY FOUNDATION	1	\$ 12,349.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16125829Y	SON OF SEMELE ENSEMBLE INC	1	\$ 5,080.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16125866Y	CRESCENDO YOUNG MUSICIANS GUILD	1	\$ 3,350.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16125891Y	THE MURAL CONSERVANCY	1	\$ 4,830.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16125990Y	ETC THEATRE COMPANY	1	\$ 3,570.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126014Y	EAGLE ROCK CULTURAL ASSOCIATION	1	\$ 7,045.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126035Y	THE FORD THEATRE FOUNDATION	1	\$ 13,960.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126042Y	DEAF WEST THEATRE COMPANY INC	1	\$ 10,120.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126059Y	CULTURAL HERITAGE FOUNDATION INC	1	\$ 7,600.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126069Y	LOS ANGELES NOMADIC DIVISION	1	\$ 7,150.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126070Y	LES FIGUES PRESS	1	\$ 3,420.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126077Y	GOLDEN STATE POPS ORCHESTRA/FRIENDS OF THE GSPO	1	\$ 3,760.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126191Y	INSTITUTE FOR MAXIMUM HUMAN POTENTIAL	2	\$ 2,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126496Y	THE WILL GEER THEATRICUM BOTANICUM	1	\$ 9,020.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126500Y	MATERIALS & APPLICATIONS	1	\$ 2,515.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126511Y	AUTOMATA ARTS	1	\$ 1,160.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126518Y	YIDDISHKAYT LOS ANGELES	1	\$ 11,640.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126615Y	EL CENTRO DEL PUEBLO /C	1	\$ 15.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126715Y	SOUTH ROBERTSON NEIGHBORHOODS COUNCIL	1	\$ 4,580.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126716Y	MELVYN GREEN ASSOCIATES	1	\$ 2,428.75	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126837Y	HIGHLAND PARK CHAMBER OF COMMERCE	1	\$ 4,830.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Cultural Affairs (continued)</b>						
GAE	2016	30 CO16126844Y	LULA WASHINGTON CONTEMPORARY DANCE FOUNDATION /C	1	\$ 500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126852Y	AVENUE 50 STUDIO, INC.	1	\$ 3,350.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16126870Y	501 SEE THREE ARTS	1	\$ 3,800.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127005Y	ONE NATIONAL GAY & LESBIAN ARCHIVES	1	\$ 4,080.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127026Y	BARNSDALL ART PARK FOUNDATION	1	\$ 5,846.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127039Y	AFRO-AMERICAN CHAMBER MUSIC SOCIETY	1	\$ 3,600.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127040Y	LUIS JAVIER RODRIGUEZ	1	\$ 5,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127240Y	ZOCALO PUBLIC SQUARE	1	\$ 7,350.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127300Y	NETWORK OF ENSEMBLE THEATERS INC	1	\$ 5,690.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127453Y	JULIANNA OSTROVSKY	1	\$ 8,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127454Y	RED HEN PRESS INC	1	\$ 450.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	30 CO16127456Y	FLIGHT COMMUNITY SERVICES INC.	1	\$ 6,262.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	30 AE16000031M	LEARNING MACHINE INC	2	\$ 328.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	30 AE16000095P	RAFAEL CARDENAS	1	\$ 3,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	30 ID160000001	Non-Departmental - Appropriations to Special Purpose Fund	1	\$ 286.28	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	30 ID160000001	Non-Departmental - Appropriations to Special Purpose Fund	2	\$ 286.28	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	30 ID160000001	Non-Departmental - Appropriations to Special Purpose Fund	3	\$ 249.62	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	30 ID160000001	Non-Departmental - Appropriations to Special Purpose Fund	4	\$ 379.34	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	30 ID160000002	Department of General Services	1	\$ 28,366.40	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	30 TL163000009		3	\$ 89.00	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 570,498.79</b>	

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Fire</b>						
GAEAE	2016	38 AE16380003M	DEPARTMENT OF FORESTRY	3 - 7	11,400.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	38 AE16380011M	REED ELSEVIER INC	2	3,180.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	38 AE16380012M	ENVIRO SERVICES COMPANY INC	1	7,988.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	38 AE16380014M	REGISTRAR-RECORDER/COUNTY	1	1,993.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	38 AE16380022M	DEPARTMENT OF JUSTICE	1	1,862.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	38 AE16380060M	UNITED FIREFIGHTERS OF LOS ANGELES CITY-LOCAL 112-IAFF- AFL-	1	2,097.35	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	38 CO16110666M	L TECH NETWORK SERVICES INC	1	13,035.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	38 CO16111811M	WACHOVIA BANK N A	2	9,202.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	38 CO16111811M	WACHOVIA BANK N A	3	10,844.96	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	38 CO16123241M	JUSTICE AND SECURITY STRATEGIES INC	1	7,128.83	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	38 CO16126376M	VERIZON SELECT SERVICES INC	3	870.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	38 CO16127400M	GARTNER INC	1	50,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	38 ID161003802	Department of Water and Power	1	1,576.00	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 121,177.14</b>	

### General Services

GAE	2014	40 CO14110665M	VERIZON CALIFORNIA INC	1	\$ 20,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	40 CO14110666M	L TECH NETWORK SERVICES INC	10	\$ 22,623.16	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	40 CO14122631K	TRL SYSTEMS INC	1	\$ 35,637.80	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	40 CO14122631K	TRL SYSTEMS INC	3	\$ 8,109.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2015	40 AE15400004M	DEPT OF TOXIC SUBSTANCES CNTRL	1	\$ 1,787.50	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2015	40 AE15400017M	ARB/PERP	1	\$ 6,273.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	40 CO15106801M	WELLS FARGO BANK NA	4	\$ 462,196.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	40 CO15107802L	WELLS FARGO BANK	95	\$ 9,147.06	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>General Services (continued)</b>						
GAE	2015	40 CO15110666M	L TECH NETWORK SERVICES INC	14	\$ 7,510.15	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	40 CO15120938MM	RMI INTERNATIONAL INC	2	\$ 1,873.97	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	40 CO15124073M	CLEAN HARBORS ENVIRONMENTAL SERVICES INC	2	\$ 729.35	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	40 CO15124112M	TAIT ENVIRONMENTAL SERVICES INC	1	\$ 1,285.01	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	40 CO15124373M	GLADSTEIN/NEANDROSS & ASSOCIATES LLC	2	\$ 11,043.75	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	40 ID154000028	Department of Building and Safety	1	\$ 49.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	40 ID154000028	Department of Building and Safety	2	\$ 211.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	40 ID154000035	Los Angeles City Fire Department	1	\$ 1,508.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	40 ID154000037	Department of Building and Safety	1	\$ 500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	40 ID154000038	Department of Building and Safety	1	\$ 3,498.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	40 ID154000040	Department of Building and Safety	1	\$ 2,125.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	40 ID154000042	Department of Building and Safety	1	\$ 318.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400003M	STATE BOARD OF EQUALIZATION	2	\$ 10,108.92	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400004M	DEPT OF TOXIC SUBSTANCES CNTRL	1	\$ 11,097.50	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400008M	SOUTH COAST AQMD	1	\$ 23,442.59	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400017M	ARB/PERP	1	\$ 17,680.02	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400019M	SOUTHERN CALIFORNIA EDISON COMPANY	1	\$ 309,365.77	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400025M	SOUTHERN CALIFORNIA GAS CO /C	1	\$ 220,007.61	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400082M	AMERICAN RED CROSS	1	\$ 405.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400084M	PARK UNIVERSITY ENTERPRISES INC	1	\$ 295.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400091M	NIGP	2	\$ 2,745.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400093M	ENCORP	1	\$ 1,200.00	A legal obligation/liability exists and/or a contingent liability exists.

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>General Services (continued)</b>						
GAEAE	2016	40 AE16400099M	INSTROTEK INC	1	\$ 5,598.09	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	40 AE16400126M	COUNTY OF LOS ANGELES	1	\$ 93.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16106801M	WELLS FARGO BANK NA	5	\$ 39,904.90	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16107802L	WELLS FARGO BANK NA	14	\$ 51,161.55	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16107802L	WELLS FARGO BANK NA	15	\$ 99,488.45	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16107802L	WELLS FARGO BANK NA	16	\$ 14,600.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16107802L	WELLS FARGO BANK NA	17	\$ 260,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16110666M	L TECH NETWORK SERVICES INC	1	\$ 513.91	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16110666M	L TECH NETWORK SERVICES INC	2	\$ 1,331.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16110666M	L TECH NETWORK SERVICES INC	3	\$ 6,046.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16111811M	WELLS FARGO BANK NA	2	\$ 2,890.37	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16114382M	DAVID VOLZ DESIGN LANDSCAPE ARCHITECTS INC	1	\$ 7,156.75	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16116410M	RD SYSTEMS INC	3	\$ 1,638.75	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16120924M	CENTURY MAINTENANCE INC	1	\$ 2,800.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16120938M	RMI INTERNATIONAL INC	1	\$ 466.40	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16120938M	RMI INTERNATIONAL INC	4	\$ 1,469.79	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16122078M	G4S SECURE SOLUTIONS (USA) INC	3	\$ 27,148.49	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16122796K	DCS TESTING/EQUIPMENT INC	1	\$ 2,300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16123396K	PALP INC.	2	\$ 41,996.70	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16123396K	PALP INC.	5	\$ 48.55	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16123396K	PALP INC.	10	\$ 7,524.70	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16123712M	AMERICAN TECHNOLOGIES INC	1	\$ 239.21	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>General Services (continued)</b>						
GAE	2016	40 CO16123712M	AMERICAN TECHNOLOGIES INC	5	\$ 392.65	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16123712M	AMERICAN TECHNOLOGIES INC	6	\$ 1,321.90	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16124073M	CLEAN HARBORS ENVIRONMENTAL SERVICES INC	1	\$ 16,473.96	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16124073M	CLEAN HARBORS ENVIRONMENTAL SERVICES INC	2	\$ 1,645.38	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16124073M	CLEAN HARBORS ENVIRONMENTAL SERVICES INC	3	\$ 211.74	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16124112M	TAIT ENVIRONMENTAL SERVICES INC	1	\$ 1,402.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16125847M	DEDICATED BUILDING SERVICES LLC	7	\$ 1,126.94	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126137M	US BANK	1	\$ 13,462.35	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126376M	VERIZON SELECT SERVICES INC	1	\$ 12,723.99	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126376M	VERIZON SELECT SERVICES INC	6	\$ 6,534.78	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126376M	VERIZON SELECT SERVICES INC	8	\$ 15,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126758K	CLEAN UP AMERICA INC	1	\$ 2,313.54	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126758K	CLEAN UP AMERICA INC	4	\$ 742.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126758K	CLEAN UP AMERICA INC	7	\$ 390.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126758K	CLEAN UP AMERICA INC	10	\$ 308.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126950M	WELLS FARGO BANK NA	6	\$ 139,096.48	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126951M	WELLS FARGO BANK NA	2	\$ 854,605.10	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16126951M	WELLS FARGO BANK NA	3	\$ 82,159.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16127214M	OWEN GROUP	1	\$ 300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	40 CO16127245M	ASSETWORKS LLC	1	\$ 1,562,009.67	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	40 ID164000026	Non-Departmental - Appropriations to Special Purpose Fund	1	\$ 688.28	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	40 ID164000027	Non-Departmental - Appropriations to Special Purpose Fund	1	\$ 255.04	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>General Services (continued)</b>							
GAEID	2016	40	ID164000028	Department of Building and Safety	1	\$ 210.60	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	40	ID164000029	Non-Departmental - Appropriations to Special Purpose Fund	2	\$ 1,882.02	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	40	ID164000031	Department of Building and Safety	1	\$ 318.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	40	ID164000035	Los Angeles City Fire Department	1	\$ 6,816.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	40	ID164000038	Department of Water and Power	1	\$ 1,000.00	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 4,490,579.69</b>		
<b>Housing and Community Investment</b>							
GAE	2016	43	CO16108077M	DELIA TORRES	1 & 2	\$ 861.24	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	43	CO16126319Y	THE CHILDREN'S COLLECTIVE INC.	2	\$ 81,836.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	43	CO16116410M	RD SYSTEM	1	\$ 229.85	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	43	AE16000019M	ADCO SERVICES INC.	1	\$ 1,250.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	43	ID160000002	DWP - POWER	5	\$ 14,859.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	43	ID160000003	DWP - WATER	4 & 5	\$ 4,198.39	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	43	ID160000003	DWP - WATER	4 & 5	\$ 422.29	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	43	AE16000020M	SOUTHERN CALIFORNIA GAS CO.	3	\$ 3,188.78	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	43	AE16000034M	REGISTRAR-RECORDER/COUNTY	1	\$ 397.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	43	ID160000002	DWP - POWER	3 & 6	\$ 11,101.72	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	43	ID160000003	DWP - WATER	3 & 6	\$ 5,743.04	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	43	ID160000009	DEPT. BUILDING & SAFETY	1	\$ 174.96	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	43	ID160000012	DPW SANITATION	1	\$ 6,594.25	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 130,856.52</b>		

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Information Technology Agency</b>						
GAE	2015	32	CO15122480M	3DI Inc.	1 \$ 271,420.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	32	CO16122480M	3DI Inc.	1 \$ 617,314.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	32	CO16123755A	Best Best & Krieger LLP	1 \$ 275,415.05	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 1,164,149.05</b>	
<b>Mayor</b>						
GAE	2014	46	CO14120856M	CHILDRENS HOSPITAL OF LOS ANGELES	1 \$ 135,965.40	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	46	CO14121585M	CHILDRENS HOSPITAL OF LOS ANGELES	1 \$ 69,400.87	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	46	CO15120856M	CHILDRENS HOSPITAL OF LOS ANGELES	1 \$ 174,152.70	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	46	CO15121585M	CHILDRENS HOSPITAL OF LOS ANGELES	1 \$ 102,019.70	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	46	AE16460007M	WEST PUBLISHING CORPORATION	1 \$ 3,198.88	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16118557M	UNIVERSITY OF SO CALIF /C	1 \$ 4,702.68	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16121583M	CAL STATE LA UNIVERSITY AUXILIARY SERVICES INC	1 \$ 41,382.83	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16123011P	LYNNETTE AMERIAN	1 \$ 1,112.07	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16123605M	APPLEONE EMPLOYMENT SERVICE	1 \$ 2,007.15	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16124228M	COMMUNITY PARTNERS	1 \$ 4,486.54	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16124474M	SOCIAL SOLUTIONS GLOBAL INC	1 \$ 20,336.02	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16124588M	SOLEDAD ENRICHMENT ACTION INC	1 \$ 20,764.36	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16124966M	HOMEBOY INDUSTRIES	1 \$ 52,417.23	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16125873Y	PEACE OVER VIOLENCE	1 \$ 3,518.17	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16125875Y	CASA DE LA FAMILIA	2 \$ 1,089.83	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16125886P	BRET VANDENBOS	3 \$ 24,500.00	A legal obligation/liability exists and/or a contingent liability exists.

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>Mayor (continued)</b>							
GAE	2016	46	CO16125916Y	JEWISH FAMILY SERVICE OF LOS ANGELES	1	\$ 501.01	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16125917Y	CASA DE LA FAMILIA	2	\$ 127.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16125918Y	Good Shepherd Shelter of	2	\$ 209.58	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126259M	COMMUNITIES IN SCHOOLS OF SAN FERNANDO VALLEY INC	1	\$ 14,433.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126264M	VERMONT VILLAGE COMMUNITY DEVELOPMENT CORPORATION	1	\$ 143,250.01	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126265M	P F BRESEE FOUNDATION /C	1	\$ 12,089.90	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126266M	VENICE 2000 / HELPER FOUNDATION	1	\$ 7,798.78	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126268M	LATINO RESOURCE ORGANIZATION INC	1	\$ 25,323.63	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126269M	COMMUNITY BUILD INC	1	\$ 5,507.29	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126269M	COMMUNITY BUILD INC	2	\$ 4,290.11	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126270M	ALMA FAMILY SERVICES	1	\$ 162,662.97	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126270M	ALMA FAMILY SERVICES	2	\$ 179,147.46	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126271M	COMMUNITY BUILD INC	1	\$ 40,876.39	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126274M	CHAPTER TWO INC	1	\$ 46,801.39	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126275M	TOBERMAN NEIGHBORHOOD CENTER INC	1	\$ 27,222.89	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126277M	NEW DIRECTIONS FOR YOUTH INC	1	\$ 221,865.33	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126279M	SOLEDAD ENRICHMENT ACTION INC	1	\$ 30,688.66	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126279M	SOLEDAD ENRICHMENT ACTION INC	2	\$ 14,675.95	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126279M	SOLEDAD ENRICHMENT ACTION INC	3	\$ 37,633.14	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126279M	SOLEDAD ENRICHMENT ACTION INC	4	\$ 34,900.52	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126279M	SOLEDAD ENRICHMENT ACTION INC	5	\$ 32,968.41	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126279M	SOLEDAD ENRICHMENT ACTION INC	6	\$ 16,877.12	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>Mayor (continued)</b>							
GAE	2016	46	CO16126280M	TOBERMAN NEIGHBORHOOD CENTER INC	1	\$ 29,426.60	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126288M	SOLEDAD ENRICHMENT ACTION INC	1	\$ 43,370.43	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126288M	SOLEDAD ENRICHMENT ACTION INC	2	\$ 30,203.41	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126323M	COMMUNITY PARTNERS	1	\$ 4,760.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126405M	WATTS LABOR COMMUNITY ACTION COMMITTEE	1	\$ 206,710.31	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126405M	WATTS LABOR COMMUNITY ACTION COMMITTEE	2	\$ 137,804.70	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126405M	WATTS LABOR COMMUNITY ACTION COMMITTEE	3	\$ 131,874.67	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126406M	VOLUNTEERS OF AMERICA OF LOS ANGELES /C	1	\$ 136,017.59	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126406M	VOLUNTEERS OF AMERICA OF LOS ANGELES /C	2	\$ 117,317.27	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126407M	THE PUBLIC HEALTH FOUNDATION ENTERPRISES INC	1	\$ 98,156.61	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126407M	THE PUBLIC HEALTH FOUNDATION ENTERPRISES INC	2	\$ 168,684.97	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126407M	THE PUBLIC HEALTH FOUNDATION ENTERPRISES INC	3	\$ 114,839.12	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126407M	THE PUBLIC HEALTH FOUNDATION ENTERPRISES INC	4	\$ 42,094.72	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126414M	ZOCALO PUBLIC SQUARE	1	\$ 26,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126442M	ONISKO & SCHOLZ LLP	1	\$ 186.08	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126488M1	EX OFFENDERS FELLOWSHIP NETWORK	1	\$ 58,748.98	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126671M	EL CENTRO DEL PUEBLO /C	1	\$ 27,135.83	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126671M	EL CENTRO DEL PUEBLO /C	2	\$ 72,843.58	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126671M	EL CENTRO DEL PUEBLO /C	3	\$ 307,182.59	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126674M	COMMUNITY PARTNERS	1	\$ 15,576.22	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126864P	XAVIER L THOMPSON	2	\$ 18,750.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16126997M	COMMUNITIES IN SCHOOLS OF SAN FERNANDO VALLEY INC	1	\$ 190.00	A legal obligation/liability exists and/or a contingent liability exists.

# FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Mayor (continued)</b>						
GAE	2016	46	CO16127177M	COMMUNITY PARTNERS	1 \$ 50,717.43	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	46	CO16127219M	COMMUNITY PARTNERS	1 \$ 44,663.80	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	46	ID164600001	Department of General Services	1 \$ 4,190.49	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	46	TL164600020		2 \$ 247.70	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	46	TL164600038		1 \$ 260.82	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	46	TL164600063		1 \$ 523.52	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	46	TL164600069		1 \$ 99.64	A legal obligation/liability exists and/or a contingent liability exists.
GAETL	2016	46	TL164600081		1 \$ 163.32	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 3,610,145.37</b>	
<b>Personnel</b>						
GAEAE	2008	66	FMISAE8A08037M	MOU 3	1 \$ 105,675.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	66	AE16AX1005M	MOU 36	1 \$ 57,895.73	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 163,570.73</b>	
<b>Police</b>						
GAEAE	2016	70	AE16000018P	J DAVID WHEAT JR DVM	\$ 10,280.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	70	AE16000059M	MIHM INC	\$ 31,875.01	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	70	AE16000510M	UNIVERSITY OF CALIFORNIA @ DAVIS	\$ 660.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	70	AE16000541P	DANIEL R SALING	\$ 1,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	70	AE16000546M	MOTOROLA SOLUTIONS INC	\$ 37,603.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	70	AE16000550P	DAVID B HART	\$ 1,200.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70	CO16119255P	CHARLES ESAU	\$ 18,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70	CO16120938M	RMI INTERNATIONAL INC	\$ 63,395.88	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70	CO16122078M	G4S SECURE SOLUTIONS (USA) INC	\$ 1,044.79	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Police (continued)</b>						
GAE	2016	70 CO16123049M	LYNDEN J & ASSOCIATES INC		\$ 1,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16123051M	JONNELL AGNEW		\$ 1,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16123117M	WAVE TECHNOLOGY SOLUTIONS GR0UP		\$ 24,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16123897M	MOTOROLA SOLUTIONS INC		\$ 874,523.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16124017M	A S S I SECURITY		\$ 145,359.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16124598M	KENNEDY COURT REPORTERS INCORPORATED		\$ 2,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16124895M	COMMERCIAL PROGRAMMING SYSTEMS INC		\$ 110,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16125328M	PRUNO VET ENTERPRISES INC		\$ 14,311.36	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16125329M	VCA ANIMAL HOSPITALS, INC.		\$ 13,432.57	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16125361M	SUPERIOR COURT OF CALIFORNIA		\$ 71,230.79	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16125714M	MGT OF AMERICA INC		\$ 14,400.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16126588M	GEORGE J SULLIVAN		\$ 7,815.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16126875M	STORETRIEVE LLC		\$ 61,030.20	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16127304M	MIHM INC		\$ 13,200.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16127383M	MICROSOFT CORP		\$ 141,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16127403M	BLACK & WHITE GARAGE INC		\$ 222.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16127404M	HOLLYWOOD TOW SERVICE INC		\$ 6,730.25	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16127407M	A T S NORTHEAST TOW INC		\$ 2,592.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16127412M	BRUFFYS INC		\$ 9,032.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 CO16127413M	KELMARK TOW LLC		\$ 6,100.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	70 OR16140180M	MULTIVENDOR		\$ 198.06	A legal obligation/liability exists and/or a contingent liability exists.
					<b>Subtotal</b>	<b>\$ 1,685,235.91</b>

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Public Works - Board</b>						
GAE	2015	74 CO15122641M	COALITION FOR RESPONSIBLE COMMUNITY DEVELOPMENT	4	\$ 198,559.09	A legislative appropriation for a specific project which cannot be completed within the allowable time frame. However, such appropriations cannot be carried on indefinitely and the CAO will re-evaluate the carry forward if the project has not been completed.
GAE	2016	74 CO16122588M	SUN VALLEY CHAMBER OF COMMERCE	3	\$ 40,000.00	A legislative appropriation for a specific project which cannot be completed within the allowable time frame. However, such appropriations cannot be carried on indefinitely and the CAO will re-evaluate the carry forward if the project has not been completed.
GAE	2016	74 CO16122589M	LOS ANGELES CONSERVATION CORPS INC	2	\$ 234,669.56	A legislative appropriation for a specific project which cannot be completed within the allowable time frame. However, such appropriations cannot be carried on indefinitely and the CAO will re-evaluate the carry forward if the project has not been completed.
GAE	2016	74 CO16122619M	HOLLYWOOD BEAUTIFICATION TEAM/C	2	\$ 5,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	74 CO16124509M	LOS ANGELES CONSERVATION CORPS INC	1	\$ 101,302.34	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 579,530.99</b>	
<b>Public Works - Contract Administration</b>						
GAE	2016	CO16127447M	THAI COMMUNITY DEVELOPMENT CENTER /C	1	\$ 32,856.60	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	AE16760027M	IRANIAN PUBLISHING INC	1	\$ 250.00	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 33,106.60</b>	
<b>Public Works - Engineering</b>						
GAEAE	2016	78 AE16000007M	AMERICAN SOCIETY OF CIVIL ENGINEERS	1	\$ 700.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	78 CO16111811M	WELLS FARGO BANK NA	4	\$ 141.38	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	78 CO161118156M	IRON MOUNTAIN INFORMATION MANAGEMENT INC	1	\$ 6,945.24	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 7,786.62</b>	

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Public Works - Sanitation</b>						
GAE	2016	82 CO16102929A	PI PROPERTIES NO 97 LLC	1	\$ 8,846.50	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	82 ID151000591	DEPARTMENT OF GENERAL SERVICES	1	\$ 184.07	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000540	DEPARTMENT OF GENERAL SERVICES	1	\$ 940.50	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	82 CO14113341M	DCSE INC	1	\$ 13,740.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	82 CO15121830M	INFLECTION POINT SOLUTIONS, LLC	1	\$ 11,800.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	82 CO15125401M	PACWEST AMERICAN SCALE LLC	1	\$ 26,311.56	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2015	82 AE15100060M	DAVID W ECCLES JR	1	\$ 12,683.64	A legal obligation/liability exists and/or a contingent liability exists.
GEAID	2014	82 ID141000053	DEPARTMENT OF GENERAL SERVICES	1	\$ 3,435.91	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	82 ID151000559	LOS ANGELES FIRE DEPARTMENT	1	\$ 1,232.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	82 ID151000561	LOS ANGELES FIRE DEPARTMENT	1	\$ 534.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	82 ID151000562	DEPARTMENT OF GENERAL SERVICES	1	\$ 219.22	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	82 ID151000636	DEPARTMENT OF GENERAL SERVICES	1	\$ 2,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000532	LOS ANGELES FIRE DEPARTMENT	1	\$ 1,726.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000533	DEPARTMENT OF GENERAL SERVICES	1	\$ 4,271.30	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000534	DEPARTMENT OF GENERAL SERVICES	1	\$ 2,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16121334M	CLEAN HARBORS ENVIRONMENTAL SERVICES INC	8	\$ 10,938.01	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16121334M	CLEAN HARBORS ENVIRONMENTAL SERVICES INC	9	\$ 126.83	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16120938M	RMI INTERNATIONAL INC	2	\$ 4,313.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16120938M	RMI INTERNATIONAL INC	3	\$ 4,337.77	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000506	DEPARTMENT OF GENERAL SERVICES	1	\$ 15,586.95	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000568	DEPARTMENT OF GENERAL SERVICES	1	\$ 9,374.15	A legal obligation/liability exists and/or a contingent liability exists.

## FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS

ATTACHMENT 18

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Public Works - Sanitation (continued)</b>						
GAE	2016	82 CO16118834M	ON-SITE TRUCK WASH INC	2	\$ 12,717.42	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16118834M	ON-SITE TRUCK WASH INC	3	\$ 5,372.31	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16118834M	ON-SITE TRUCK WASH INC	4	\$ 5,972.09	A legal obligation/liability exists and/or a contingent liability exists.
GEAID	2014	82 ID141000473	DEPARTMENT OF GENERAL SERVICES	1	\$ 16,705.77	A legal obligation/liability exists and/or a contingent liability exists.
GEAID	2014	82 ID141000473	DEPARTMENT OF GENERAL SERVICES	2	\$ 2,781.11	A legal obligation/liability exists and/or a contingent liability exists.
GEAID	2015	82 ID151000581	DEPARTMENT OF GENERAL SERVICES	1	\$ 2,494.56	A legal obligation/liability exists and/or a contingent liability exists.
GEAID	2015	82 ID151000582	DEPARTMENT OF GENERAL SERVICES	2	\$ 5,184.28	A legal obligation/liability exists and/or a contingent liability exists.
GEAID	2015	82 ID151000583	DEPARTMENT OF GENERAL SERVICES	1	\$ 11,093.58	A legal obligation/liability exists and/or a contingent liability exists.
GEAID	2015	82 ID151000623	DEPARTMENT OF GENERAL SERVICES	1	\$ 3,517.18	A legal obligation/liability exists and/or a contingent liability exists.
GEA	2015	82 CO15116087M	TIME WARNER CABLE INFORMATION	1	\$ 746.66	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2014	82 ID141000476	DEPARTMENT OF GENERAL SERVICES	2	\$ 699.72	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	82 ID151000584	DEPARTMENT OF GENERAL SERVICES	1	\$ 4,545.37	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000516	DEPARTMENT OF GENERAL SERVICES	1	\$ 1,724.52	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2014	82 ID141000475	DEPARTMENT OF GENERAL SERVICES	1	\$ 6,580.23	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16125847M	DEDICATED BUILDING SERVICES LLC	2	\$ 5,886.25	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16093688M	BROWNING-FERRIS INDUSTRIES OF CA	1	\$ 8,195.25	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16116087M	TIME WARNER CABLE INFORMATION	1	\$ 2,051.40	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16118156M	IRON MOUNTAIN INFORMATION	1	\$ 727.57	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	82 CO16125401M	PACWEST AMERICAN SCALE LLC	1	\$ 270.28	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000515	DEPARTMENT OF GENERAL SERVICES	1	\$ 27,978.67	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000517	DEPARTMENT OF GENERAL SERVICES	1	\$ 13,087.08	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	82 ID161000518	DEPARTMENT OF GENERAL SERVICES	1	\$ 4,650.50	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Public Works - Sanitation (continued)</b>						
GAEID	2016	82 ID161000578	DEPARTMENT OF GENERAL SERVICES	1	\$ 3,917.78	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 282,500.99</b>	
<b>Public Works - Street Services</b>						
GAE	2014	86	CO14122329M	FOUR SEASONS LANDSCAPE AND PROPERTY SERVICES INC	1 \$ 97,516.88	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	86	CO14123915M	MARIPOSA LANDSCAPES INC	1 \$ 69,591.40	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	86	CO14123988M	TRIMMING LAND CO INC	1 \$ 104,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	86	CO14124007M	TRIMMING LAND CO INC	1 \$ 8,742.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2014	86	CO14124008M	TRIMMING LAND CO INC	1 \$ 20,330.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2014	86	ID140000013	DEPARTMENT OF WATER AND POWER	1,2,3,4 \$ 22,740.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO14123915M	MARIPOSA LANDSCAPES INC	2 \$ 17,004.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15122100M	TRUGREEN LANDCARE LLC	1 \$ 223,764.10	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15122101M	TRUGREEN LANDCARE LLC	2 \$ 102,275.65	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15122102M	TRUGREEN LANDCARE LLC	2 \$ 259,091.27	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15122103M	BMC LANDSCAPE MANAGEMENT INC	3 \$ 30,990.18	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15125478M	UNITED PACIFIC SERVICES INC	1 \$ 21,594.31	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15125479M	UNITED PACIFIC SERVICES INC	1 \$ 28,876.94	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15125480M	UNITED PACIFIC SERVICES INC	1 \$ 17,132.60	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15125539M	MARIPOSA LANDSCAPES INC	1 \$ 22,375.95	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	86	CO15125588M	TRIMMING LAND CO INC	1 \$ 44,287.76	A legal obligation/liability exists and/or a contingent liability exists.
GAEAE	2016	86	AE16000002M	DEPARTMENT OF GENERAL SERVICES	1 \$ 148,110.94	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16122315M	AMERICAN INTEGRATED SERVICES INC	1 \$ 38,212.52	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16124655M	STERNDALH ENTERPRISES INC	1 \$ 91,430.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>Public Works - Street Services (continued)</b>							
GAE	2016	86	CO16125984M	MOHAMED YEHIA SHAHIN	1,2	\$ 146,340.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16126376M	VERIZON CALIFORNIA INC	1	\$ 730.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127657M	TRIMMING LAND CO INC	1	\$ 121,395.79	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127658M	TRIMMING LAND CO INC	1	\$ 97,648.43	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127659M	TRIMMING LAND CO INC	1	\$ 360,420.98	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127661M	UNITED PACIFIC SERVICES INC	1	\$ 678,308.87	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127662M	UNITED PACIFIC SERVICES INC	1	\$ 137,585.43	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127663M	THRIFTY TREE SERVICE INC	1	\$ 73,314.23	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127664M	THRIFTY TREE SERVICE INC	1	\$ 319,218.63	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127665M	MARIPOSA LANDSCAPES INC	1	\$ 208,036.09	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	86	CO16127666M	WEST COAST ARBORISTS INC	1	\$ 239,366.19	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	86	ID160000001	NON-DEPARTMENTAL - APPROPRIATIONS TO SPECIAL PURPOSE FUND	1	\$ 16,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	86	ID160000008	DEPARTMENT OF WATER AND POWER	1,2,3	\$ 12,724.10	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 3,779,155.24</b>		
<b>Transportation</b>							
GAEID	2016	94	ID16100A001	DEPARTMENT OF GENERAL SERVICES	1	\$ 24,505.78	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	94	ID16100E003	DEPARTMENT OF WATER AND POWER	1	\$ 6,725.42	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	94	ID16100E003	DEPARTMENT OF WATER AND POWER	2	\$ 3,358.60	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 34,589.80</b>		
<b>Zoo</b>							
GAEID	2016	87	ID160000010	Department of Transportatin	1	\$ 1,309.93	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	87	ID160000010	Department of Transportatin	2	\$ 4,000.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Zoo continued</b>						
GAEID	2016	87	ID160000011	Bureau of sanitation	1 \$ 1,500.00	A legal obligation/liability exists and/or a contingent liability exists.
					<b>Subtotal</b> \$ <b>6,809.93</b>	
<b>Non-Departmental - Capital Finance Administration</b>						
GAE	2016	53	CF16150600M		4 \$ 1,300,000.00	A legal obligation/liability exists and/or a contingent liability exists.
					<b>Subtotal</b> \$ <b>1,300,000.00</b>	
<b>Non-Departmental - General City Purposes</b>						
GAE	2013	56	CO13122200M	PEOPLE ORGANIZED FOR WESTSIDE RENEWAL	1 \$ 25,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2013	56	ID135600025	Non-Departmental - Appropriations to Special Purpose Fund	1 \$ 154,058.12	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	1 \$ 3,300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	2 \$ 1,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	3 \$ 300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	5 \$ 250.00	A legal obligation/liability exists and/or a contingent liability exists.
<b>Non-Departmental - General City Purposes (continued)</b>						
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	6 \$ 3,188.91	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	7 \$ 1,300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	8 \$ 12,586.16	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	9 \$ 5,264.32	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	10 \$ 4,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	11 \$ 5,337.78	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	12 \$ 4,750.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	13 \$ 6,350.30	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	14 \$ 16,749.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2014	56	CF14CF971776M	Council District Community Services Account	15 \$ 8,340.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>Non-Departmental - General City Purposes (continued)</b>							
GAEID	2014	56	ID145600034	Non-Departmental - Appropriations to Special Purpose Fund	1	\$ 486,650.13	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2014	56	ID145600034	Non-Departmental - Appropriations to Special Purpose Fund	2	\$ 313,204.71	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	1	\$ 17,350.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	3	\$ 1,400.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	5	\$ 1,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	6	\$ 23,820.27	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	7	\$ 2,846.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	8	\$ 12,300.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	9	\$ 6,871.25	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	10	\$ 4,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	11	\$ 3,736.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	12	\$ 3,485.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	13	\$ 4,950.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	14	\$ 29,800.85	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2015	56	CF15CF971776M	Council District Community Services Account	15	\$ 7,250.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	56	CO15125144M	EL SERENO STALLIONS FOOTBALL AND CHEER, INC.	1	\$ 10,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2015	56	CO15125841M	AON HEWITT INVESTMENT CONSULTING INC	1	\$ 249,165.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	56	ID155600023	Department of Recreation and Parks	1	\$ 869.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	56	ID155600053	Non-Departmental - Appropriations to Special Purpose Fund	1	\$ 2,961,174.03	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	56	ID15CF140600	Non-Departmental - Appropriations to Special Purpose Fund	1	\$ 225,019.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	1	\$ 6,240.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	3	\$ 1,100.00	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**
**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON	
<b>Non-Departmental - General City Purposes (continued)</b>							
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	4	\$ 597.46	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	5	\$ 603.93	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	6	\$ 2,600.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	7	\$ 2,050.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	8	\$ 1,800.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	9	\$ 3,600.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	10	\$ 8,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	11	\$ 1,291.80	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	12	\$ 1,250.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	13	\$ 4,536.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	14	\$ 12,350.00	A legal obligation/liability exists and/or a contingent liability exists.
GAENV	2016	56	CF16CF971776M	Council District Community Services Account	15	\$ 4,919.16	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	56	CO16124148M	MACIAS GINI & O'CONNELL LLP	1	\$ 98,913.25	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	56	CO16125874M	CHILDRENS INSTITUTE, INC	1	\$ 7,861.43	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	56	CO16125876M	THE UNIVERSITY CORPORATION	2	\$ 29,937.37	A legal obligation/liability exists and/or a contingent liability exists.

**FINANCIAL MANAGEMENT SYSTEM (FMS) ENCUMBRANCE EXEMPTIONS**

**ATTACHMENT 18**

DOCUMENT CODE	FY	DEPT DOCUMENT ID	VENDOR NAME	DOC ACCTNG LINE	OPEN AMOUNT	JUSTIFICATION/REASON
<b>Non-Departmental - General City Purposes (continued)</b>						
GAE	2016	56	CO16126908M	EL SERENO STALLIONS FOOTBALL AND CHEER, INC.	1 \$ 15,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	56	CO16127492M	LIFE AFTER UNCIVIL RUTHLESS ACTS /C	1 \$ 25,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	56	CO16127569M	COALITION FOR RESPONSIBLE COMMUNITY DEVELOPMENT	1 \$ 7,500.00	A legal obligation/liability exists and/or a contingent liability exists.
GAE	2016	56	CO16127583M	LOS ANGELES BROTHERHOOD CRUSADE - BLACK UNITED FUND, INC	1 \$ 15,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	56	ID16CF150600	Non-Departmental - Appropriations to Special Purpose Fund	1 \$ 2,831,902.70	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	56	ID16CF150600	Non-Departmental - Appropriations to Special Purpose Fund	2 \$ 2,100,000.00	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 9,799,218.93</b>	
<b>Non-Departmental - Water and Electricity</b>						
GAEID	2010	60	FMISID0000021	Department of Water and Power	1 \$ 300,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2010	60	FMISID0000022	Department of Water and Power	1 \$ 251,141.40	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2014	60	ID140000023	Department of Water and Power	1 \$ 973,245.30	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2014	60	ID140000092	Department of Water and Power	1 \$ 53,278.57	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	60	ID150000021	Department of Water and Power	1 \$ 247,359.45	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	60	ID150000022	Department of Water and Power	1 \$ 733,829.34	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2015	60	ID150000023	Department of Water and Power	1 \$ 1,382,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	60	ID160000021	Department of Water and Power	1 \$ 46,845.99	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	60	ID160000022	Department of Water and Power	1 \$ 737,263.25	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	60	ID160000023	Department of Water and Power	1 \$ 1,750,000.00	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	60	ID160000041	Department of Water and Power	1 \$ 56,286.30	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	60	ID160000042	Department of Water and Power	1 \$ 139,558.24	A legal obligation/liability exists and/or a contingent liability exists.
GAEID	2016	60	ID160000083	Board of Public Works - Street Lighting	1 \$ 98,941.87	A legal obligation/liability exists and/or a contingent liability exists.
				<b>Subtotal</b>	<b>\$ 6,769,749.71</b>	
				<b>Grand Total</b>	<b>\$ 40,601,016.87</b>	